

# Lecture 2

## Evolution and resummation

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# Outlines

- Resummation technique
- $k_T$  resummation
- BFKL equation
- Threshold resummation
- DGLAP equation
- Joint resummation

# Introduction

- Factorization introduces factorization scale into hard kernel and PDF

$$F(Q^2) = H(Q, \mu_f) \otimes \phi(Q_0, \mu_f)$$

- Higher-order corrections produce

$$\ln(Q/\mu_f), \ln(\mu_f/Q_0)$$

- due to splitting of log in F

$$\ln(Q/Q_0) = \ln(Q/\mu_f) + \ln(\mu_f/Q_0)$$

- Set  $\mu_f = Q$  to eliminate log in H
- Need PDF inputs  $\phi(Q_0, Q)$  for arbitrary Q?

# RG equations

- Factorization scale does not exist in QCD diagrams, but is introduced when physical quantity factorized into H and PDF

- Renormalization-group equations

$$\mu_f \frac{d}{d\mu_f} F(Q^2) = 0$$

$$\mu_f \frac{d}{d\mu_f} \phi(Q_0, \mu_f) = \gamma_\phi \phi(Q_0, \mu_f)$$

$$\mu_f \frac{d}{d\mu_f} H(Q, \mu_f) = -\gamma_\phi H(Q, \mu_f)$$

- $\gamma_\phi$  is anomalous dimension of PDF

# RG evolution

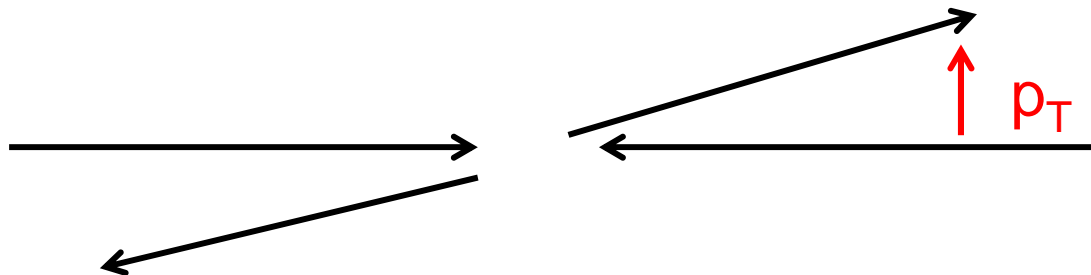
- Solution of RG equations describes Q evolution of PDF

$$\phi(Q_0, Q) = \phi(Q_0, Q_0) \exp\left(\int_{Q_0}^Q \frac{d\mu_f}{\mu_f} \gamma_\phi(\mu_f)\right)$$

- Evolution from summation of  $\ln(Q/Q_0)$
- just need to extract PDF at  $Q_0$ ,  $\phi(Q_0, Q_0)$
- PDF at other Q is known via evolution
- Evolution increases predictive power of factorization theorem

# Extreme kinematics

- QCD processes in extreme kinematic region, such as low  $p_T$  and large  $x$ , generate double logs
- Limited phase space for real corrections
- Low  $p_T$  jet, photon,  $W$ , ... requires small  $p_T$  real gluon emissions
- Top pair production requires large  $x$



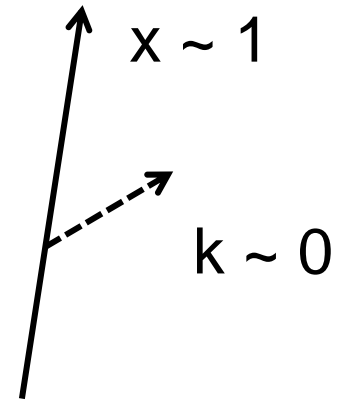
# Double logs

- Large  $x$  demands soft real gluon emission
- Cancellation between virtual and real corrections is not exact
- Large double logs are produced,

$$\alpha_s \ln^2(E/p_T), \alpha_s \ln(1-x)/(1-x)$$

$E$  being beam energy

- Sum logs to all orders---resummation
- Resummation improves perturbation



# Evolution and resummation

- RG evolution (UV dynamics) can be found in standard textbook
- Cover evolution equations involving splitting kernels (IR dynamics): DGLAP equation for PDF (collinear factorization), BFKL equation for TMD ( $k_T$  factorization)
- Cover threshold resummation for large  $x$  (collinear factorization) and  $k_T$  resummation for small  $k_T$  ( $k_T$  factorization)

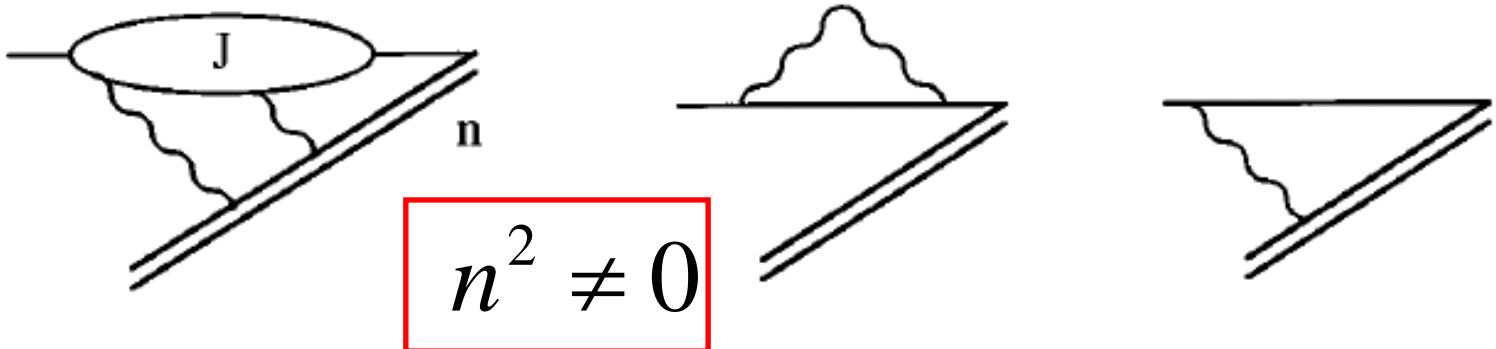


# Resummation technique

# Jet function in covariant gauge

- Quark jet function at amplitude level

$$J(p, n) = \left\langle 0 \left| P \exp \left[ ig \int_0^\infty dz n \cdot A(nz) \right] q(0) \right| p \right\rangle$$



- NLO diagrams contain double logarithms
- Perform resummation in covariant gauge

# Key idea

- Derive differential equation  $p^+ dJ/dp^+ = CJ$
- C contains only single logarithm
- Treat C by RG equation
- Solve differential equation, and solution resums double logarithms
- J depends on Lorentz invariants  $p \cdot n$ ,  $n^2$
- Feynman rules for Wilson lines show scale invariance in  $n$ ,  $n_\nu/n \cdot l$
- J must depend on  $(p \cdot n)^2/n^2$

# Derivative with respect to n

- Derivative with respect to p can be replaced by derivative with respect to n

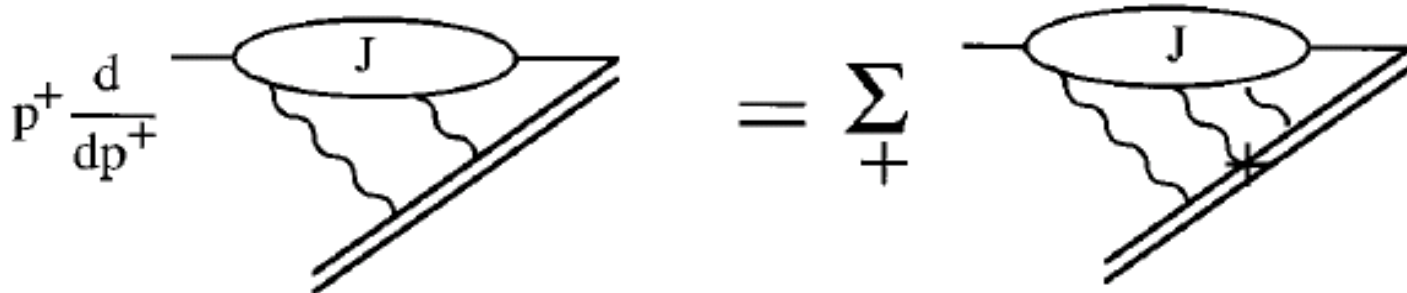
$$p^+ \frac{d}{dp^+} J = - \frac{n^2}{v \cdot n} v_\alpha \frac{d}{dn_\alpha} J$$

- Collinear dynamics independent of n
- Variation does not contain collinear dynamics, the reason why C contains only single logarithm
- Variation effect can be factorized

# Special vertex

- $n$  appears only in Wilson lines

$$-\frac{n^2}{v \cdot n} v_\alpha \frac{d}{dn_\alpha} \frac{n_\mu}{n \cdot l} = \frac{n^2}{v \cdot n} \left( \frac{v \cdot l}{n \cdot l} n_\mu - v_\mu \right) \frac{1}{n \cdot l} \equiv \frac{\hat{n}_\mu}{n \cdot l}$$



- If gluon momentum  $l$  parallel to  $p$ ,  $v \cdot l$  vanishes.
- Contraction of  $v$  with  $J$ , dominated by collinear dynamics, also vanishes

# Soft factorization

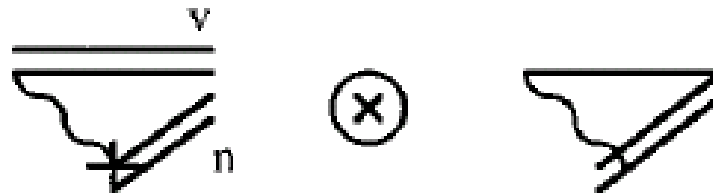
- Two-loop diagrams as example



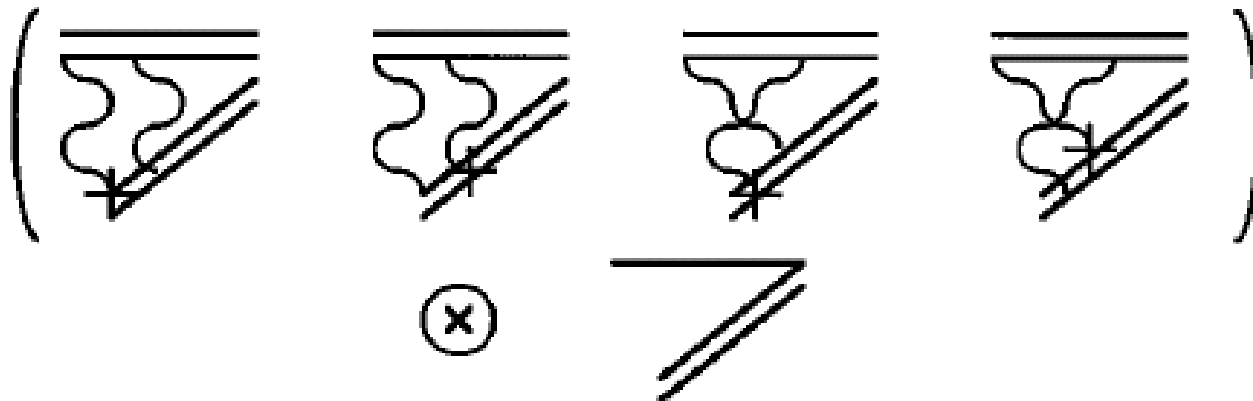
- If  $l$  flowing through special vertex is soft, but another is not, only 1<sup>st</sup> diagram dominates
- Another gluon with finite momentum smears soft divergence in other three diagrams

# Soft kernel

- Factorizing the soft gluon with eikonal approximation

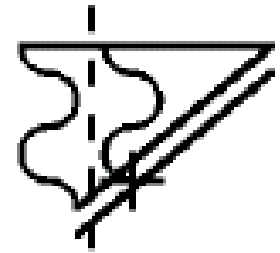


- If another gluon is also soft, we get two-loop soft kernel  $K$



# Hard factorization

- If  $l$  flowing through special vertex is hard, only 2<sup>nd</sup> diagram dominates,
- It suppresses others, as another gluon is not hard
- Factorize hard kernel with Fierz transformation
- If both gluons are hard, they contribute to two-loop hard kernel



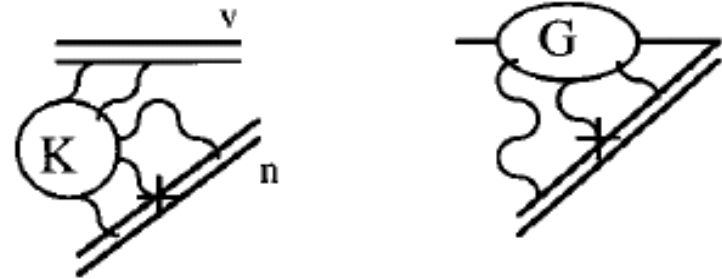



# Differential equation


- Extending the factorization to all orders

$$p^+ \frac{d}{dp^+} J = [K(m/\mu, \alpha_s(\mu)) + G(p^+ \nu/\mu, \alpha_s(\mu))] J$$

- Kernels K and G are described by



- At LO, K = 

- G =  - 

# Integrals for kernels

$$\begin{aligned}
 K &= -ig^2 C_F \mu^\epsilon \int \frac{d^{4-\epsilon} l}{(2\pi)^{4-\epsilon}} \frac{\hat{n}_\mu}{n \cdot l} \frac{g^{\mu\nu}}{l^2 - m^2} \frac{v_\nu}{v \cdot l} - \delta K \\
 &= -ig^2 C_F \mu^\epsilon \int \frac{d^{4-\epsilon} l}{(2\pi)^{4-\epsilon}} \frac{n^2}{(n \cdot l)^2 (l^2 - m^2)} - \delta K
 \end{aligned}$$

$$\begin{aligned}
 G &= -ig^2 C_F \mu^\epsilon \int \frac{d^{4-\epsilon} l}{(2\pi)^{4-\epsilon}} \frac{\hat{n}_\mu}{n \cdot l} \frac{g^{\mu\nu}}{l^2} \left( \frac{\not{p} + \not{l}}{(p+l)^2} \gamma_\nu - \frac{v_\nu}{v \cdot l} \right) \\
 &\quad - \delta G,
 \end{aligned}$$

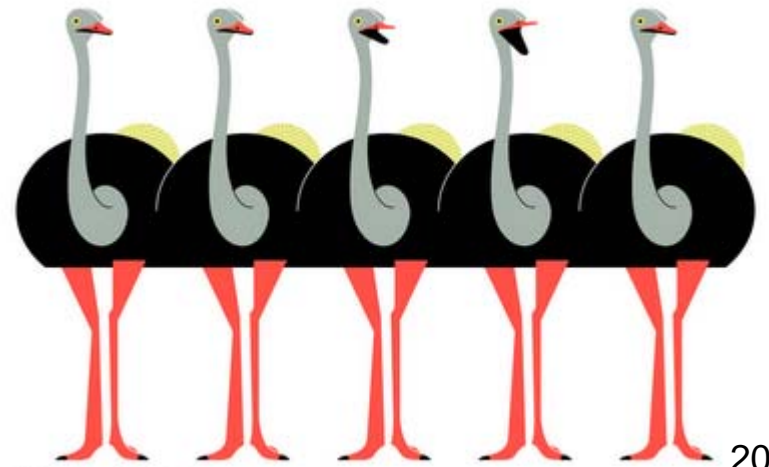
- $\delta K$  ,  $\delta G$  additive counterterm

- Chinese saying: One stone, two birds



# Goals of this lecture

- Now one stone, five birds:
- Dokshitzer-Gribov-Lipatov-Altarelli-Parisi (DGLAP) equation
- Threshold resummation
- Balitsky-Fadin-Kuraev-Lipatov (BFKL) equation
- $k_T$  resummation
- Joint resummation



# Master equation for TMD

- Consider TMD

$$\phi(x, k_T, p^+) = \int \frac{dy^-}{2\pi} \int \frac{d^2 y_T}{4\pi^2} e^{-ixp^+ y^- + ik_T \cdot y_T} \langle p | \bar{q}(y^-, \mathbf{y}_T) \frac{1}{2} \gamma^+ q(0) | p \rangle$$

- Three scales:  $(1-x)p^+$   $xp^+$   $k_T$ .

$\phi$  depends on  $p^+$  via the ratio  $(p \cdot n)^2 / n^2$

- Derivative

$$p^+ \frac{d}{dp^+} \phi = - \frac{n^2}{v \cdot n} v_\alpha \frac{d}{dn_\alpha} \phi$$

# Kernels (in axial gauge)

- Soft kernel  $K$  (virtual + real)

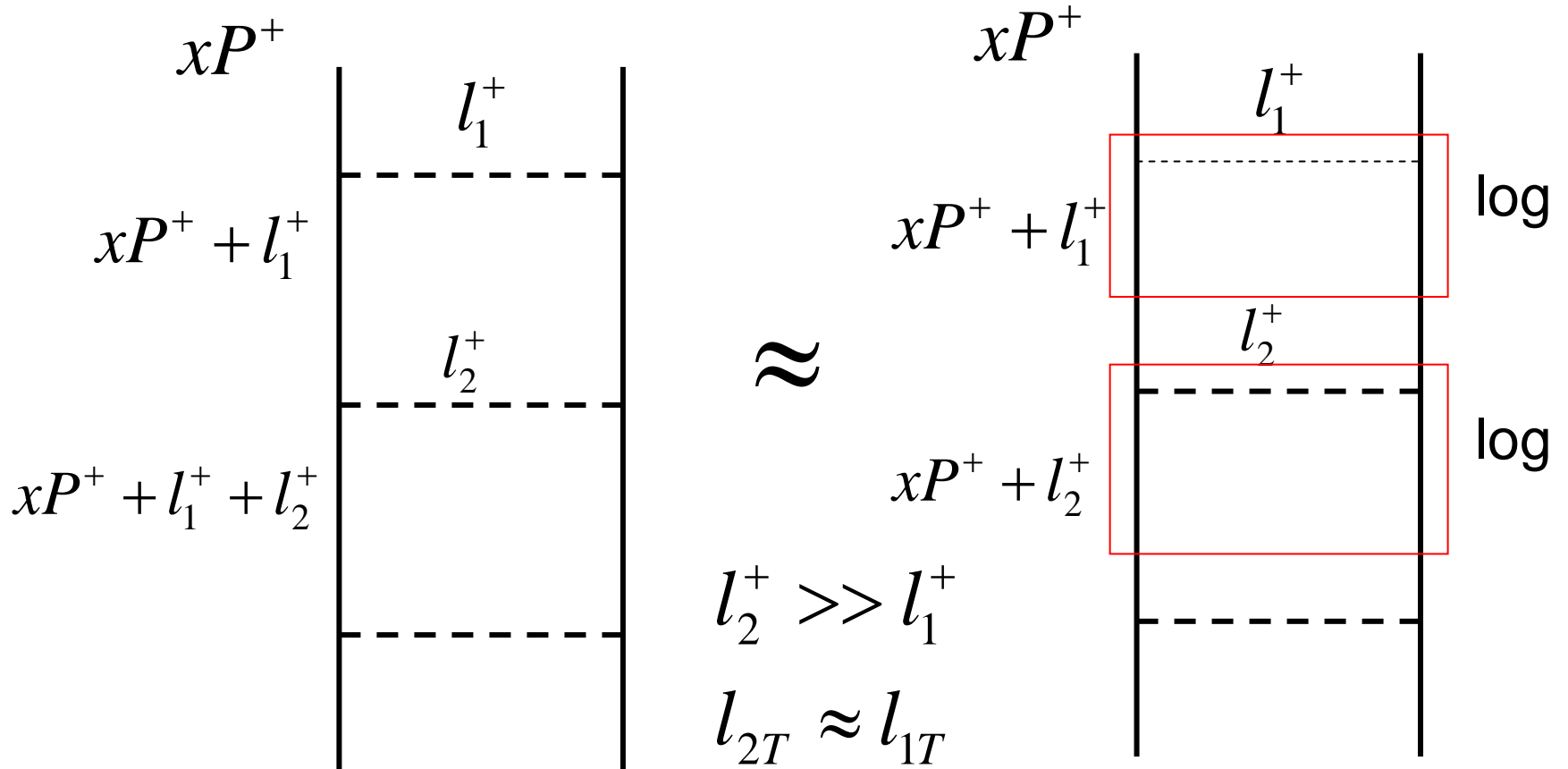


- Hard kernel  $G$  (virtual – soft subtraction)



# $k_T$ resummation and BFKL equation

# Rapidity ordering





# Approximation under rapidity ordering

- TMD is independent of  $l_+$  under rapidity ordering. Soft approximation

$$\phi(x + l^+ / p^+, |\mathbf{k}_T + \mathbf{l}_T|) \approx \phi(x, |\mathbf{k}_T + \mathbf{l}_T|)$$

- $k_T$  and  $l_T$  are of the same order
- $l_+$  integrated up to infinity, the scale  $(1 - x)p^+$  does not exist
- Usually work in conjugate space in  $b$  via Fourier transformation

# $k_T$ resummation

- Two scales  $xp^+$   $k_T$ .
- Solution of resummation equation sums double logs of  $b$

$$\phi(x, b, p^+) = \Delta_k(b, xp^+) \phi^{(0)}(x)$$

- Sudakov exponential

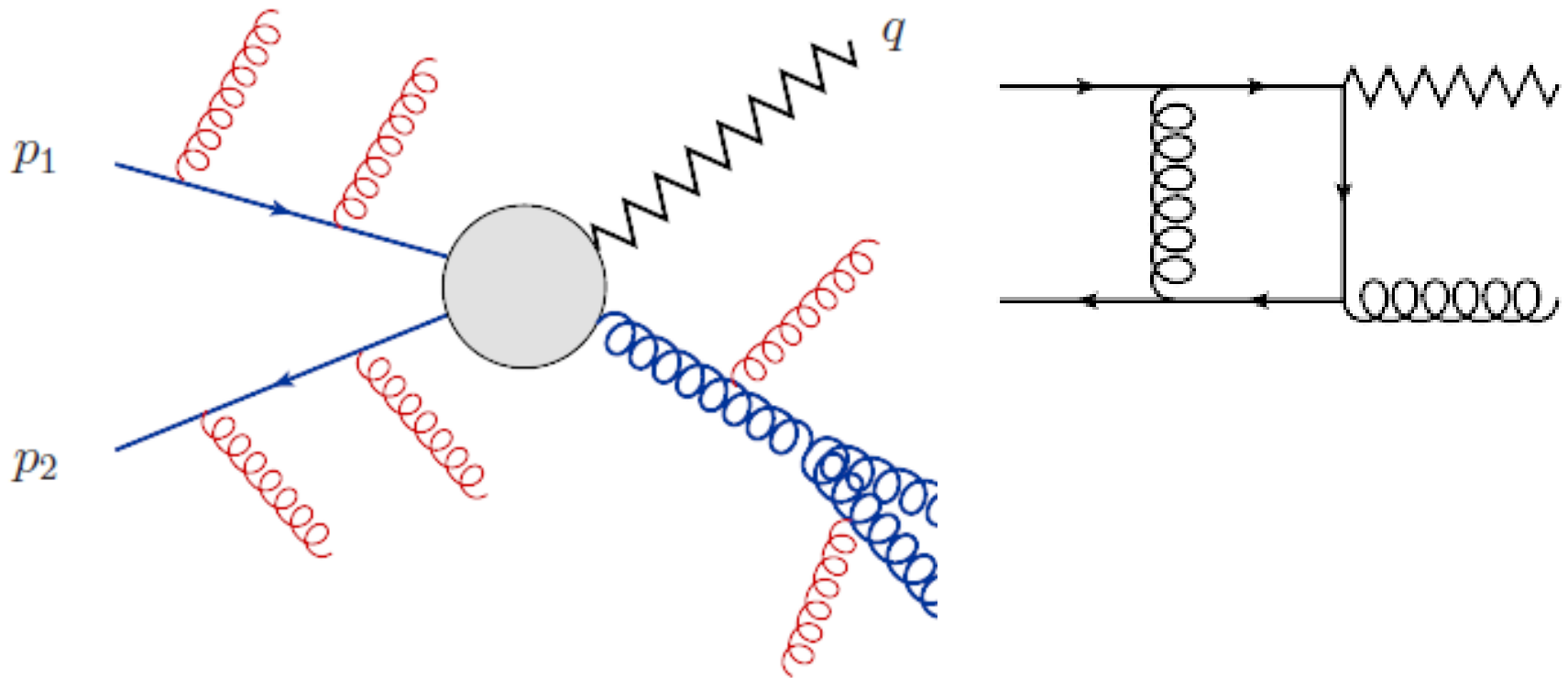
$$\Delta_k(b, xp^+) = \exp \left[ -2 \int_{1/b}^{xp^+} \frac{dp}{p} \int_{1/b}^p \frac{d\mu}{\mu} \gamma_K(\alpha_s(\mu)) \right]$$

- Anomalous dimension

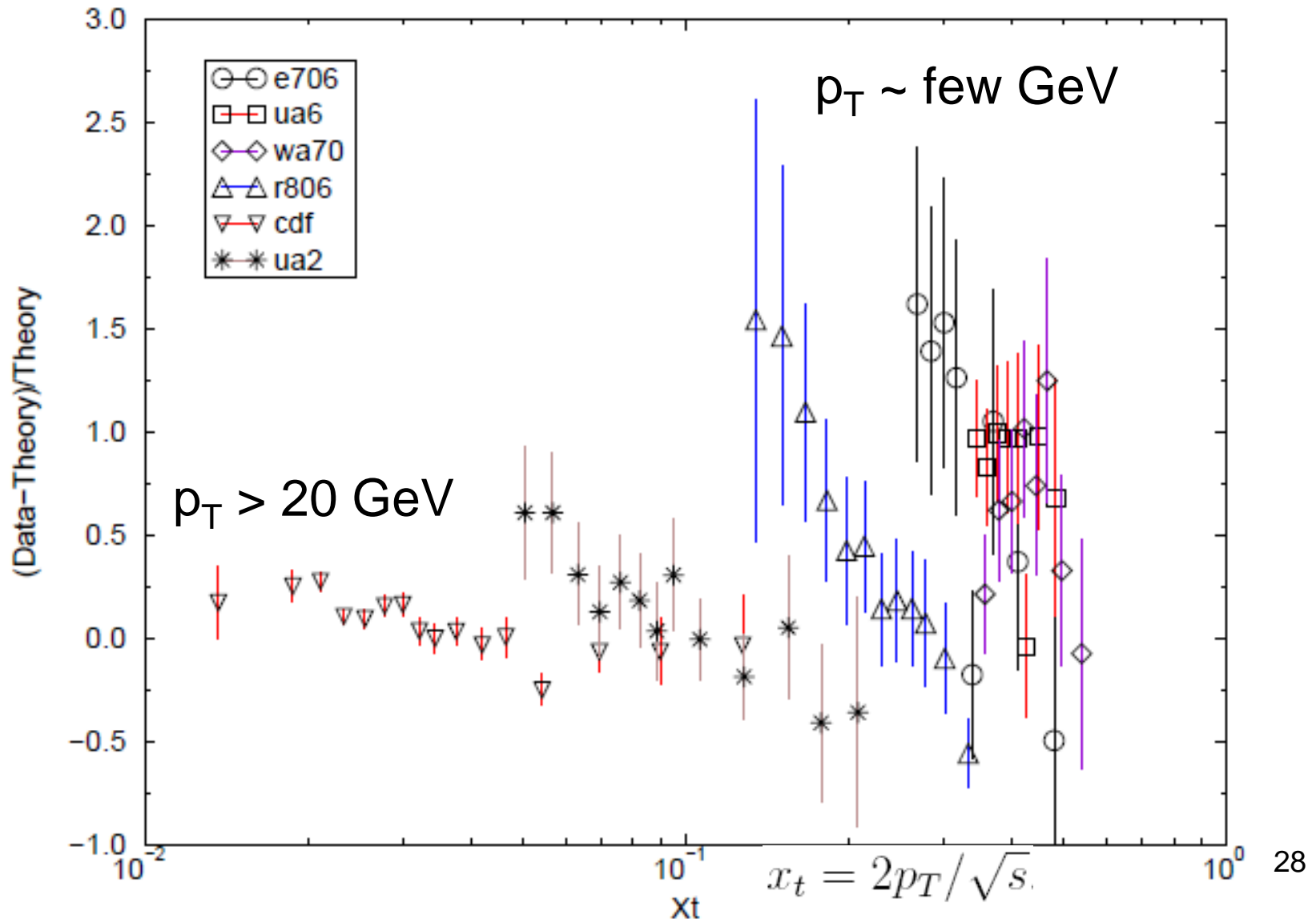
$$\gamma_K = \frac{\alpha_s}{\pi} C_F + \left( \frac{\alpha_s}{\pi} \right)^2 C_F \left[ C_A \left( \frac{67}{36} - \frac{\pi^2}{12} \right) - \frac{5}{18} n_f \right]$$

# Direct photon

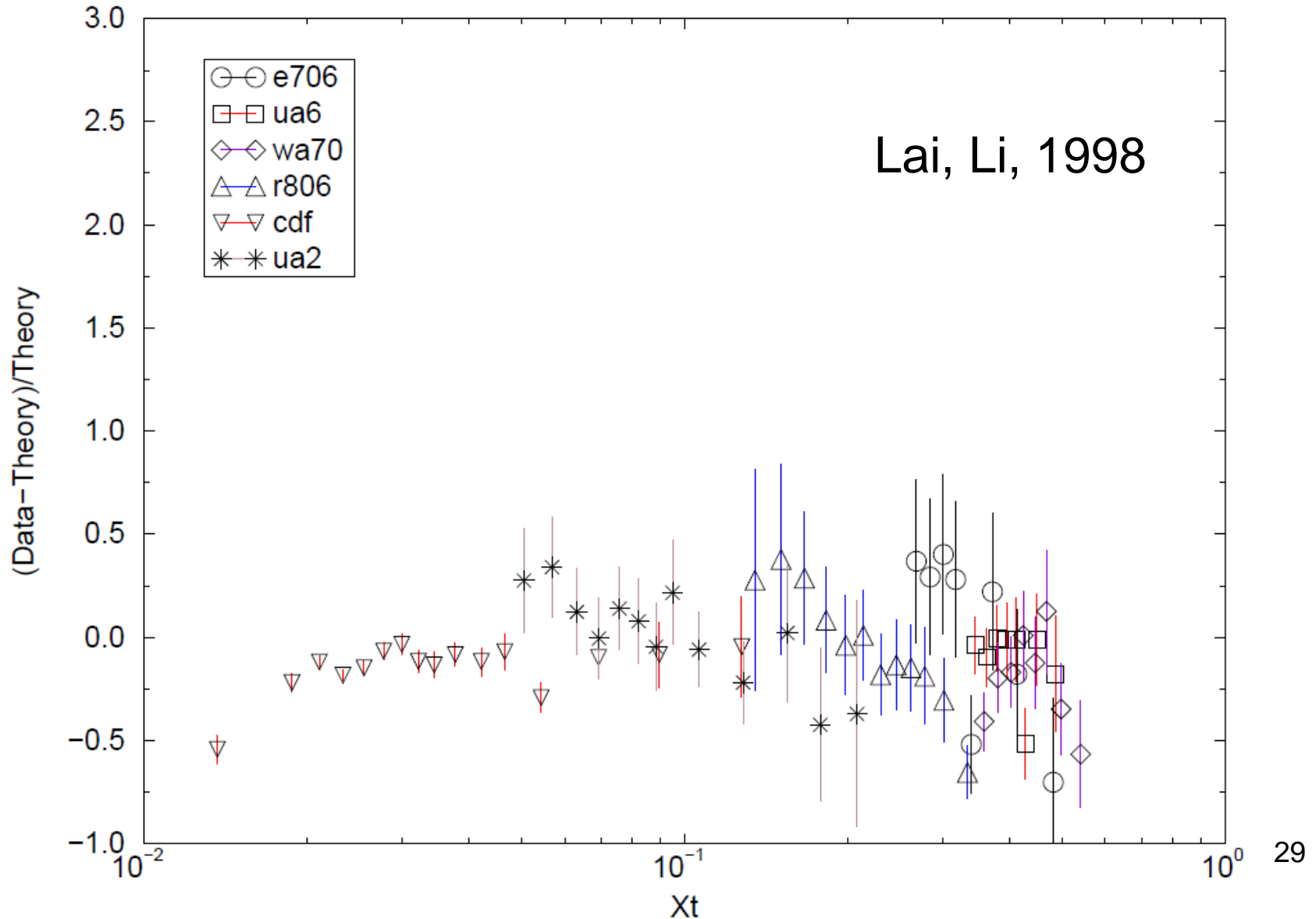
- Soft and collinear radiation at low  $p_T$



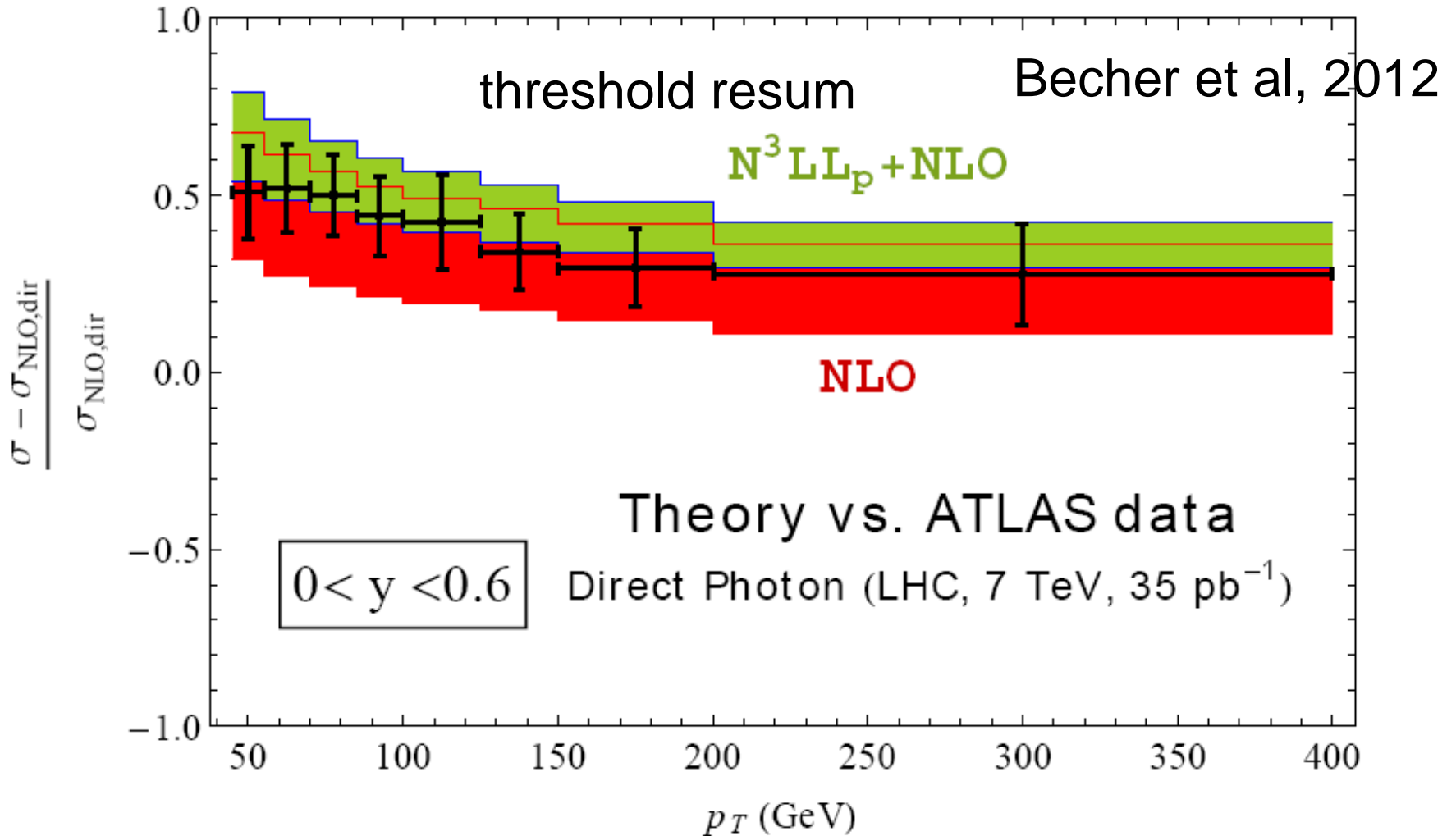
# Direct photon before resum



# Direct photon after $k_T$ resum



# High $p_T$ data of direct photon



$k_T$  resum for low  $p_T$ , threshold resum for high  $p_T$ ?

# Small x

- At small x with  $xp^+ \sim k_T$

$$p^+ \frac{d}{dp^+} \phi(x, k_T, p^+) = -x \frac{d}{dx} \phi(x, k_T, p^+)$$

- Two scale system reduces to single-scale
- Consider gluon TMD,  $C_F = 4/3 \rightarrow N_c = 3$

$$F(x, k_T) = \frac{1}{p^+} \int \frac{dy^-}{2\pi} \int \frac{d^2 y_T}{4\pi} e^{-i(xp^+ y^- - \mathbf{k}_T \cdot \mathbf{y}_T)} \\ \times \frac{1}{2} \sum_{\sigma} \langle p, \sigma | F_{\mu}^+(y^-, y_T) F^{\mu+}(0) | p, \sigma \rangle$$

# BFKL equation

- Only soft scale exists. Drop G
- Keep soft kernel with a UV cutoff

$$\bar{\phi}(x, k_T, p^+) = ig^2 N_c \int \frac{d^4 l}{(2\pi)^4} N_{\nu\beta}(l) \frac{\hat{v}^\beta v^\nu}{v \cdot l} \left[ \frac{\theta(k_T^2 - l_T^2)}{l^2} \phi(x, k_T, p^+) + 2\pi i \delta(l^2) \phi(x, |\mathbf{k}_T + \mathbf{l}_T|, p^+) \right],$$

- BFKL equation

$$\bar{\alpha}_s = N_c \alpha_s / \pi$$

$$\frac{d\phi(x, k_T, p^+)}{d \ln(1/x)} = \bar{\alpha}_s \int \frac{d^2 l_T}{\pi l_T^2} \left[ \phi(x, |\mathbf{k}_T + \mathbf{l}_T|, p^+) - \theta(k_T^2 - l_T^2) \phi(x, k_T, p^+) \right]$$



# Leading-log BFKL

- High-energy cross section predicted by LL BFKL

$$\hat{\sigma} \approx \frac{1}{\hat{t}} \left( \frac{\hat{s}}{|\hat{t}|} \right)^{\omega_P - 1}$$

momentum transfer squared center-of-mass energy-squared

- Pomeron intercept  $\omega_P$

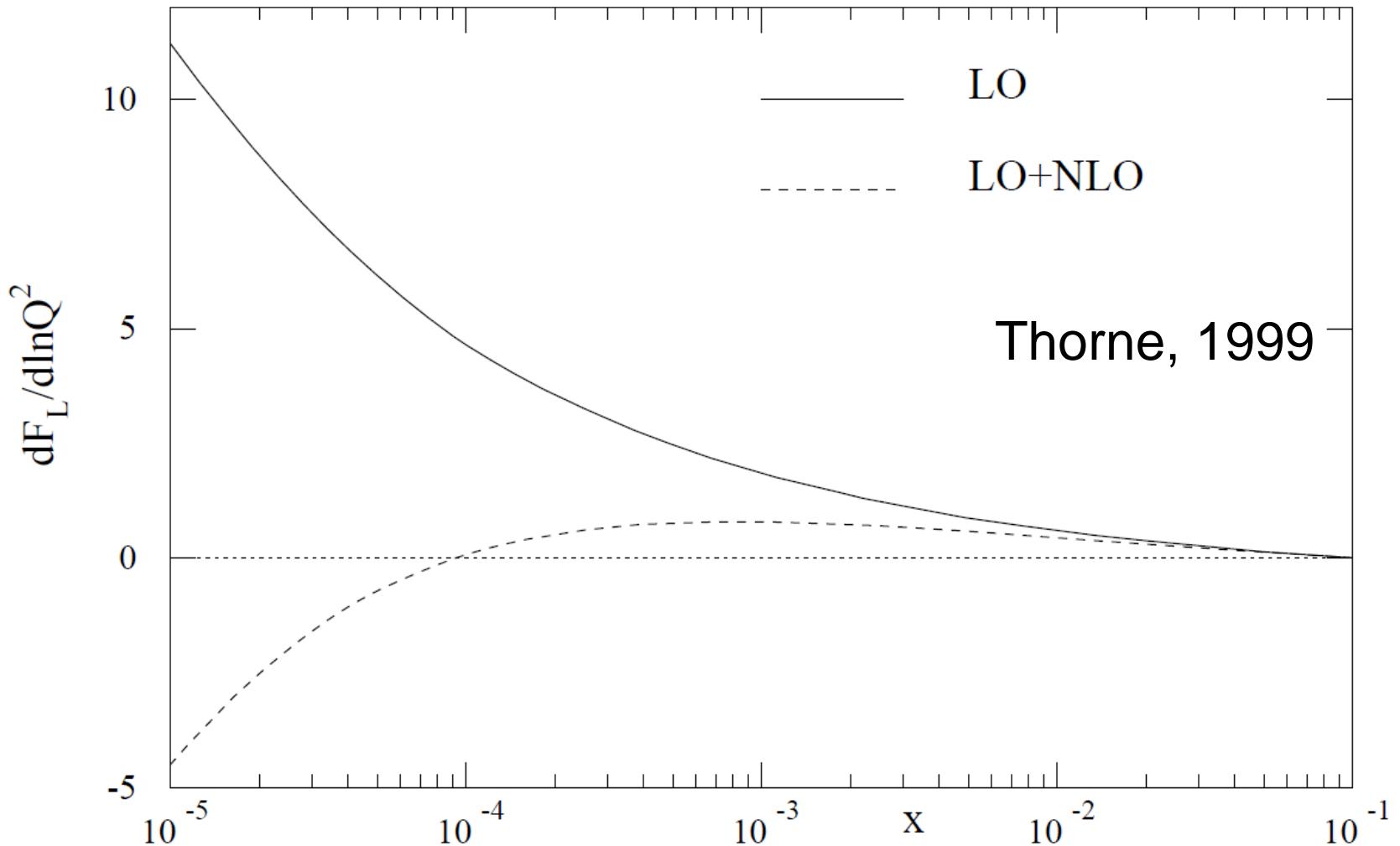
$$\omega_P - 1 = \frac{4N_c \alpha_s \ln 2}{\pi}$$

- Violate Froissart (unitarity) bound

$$\sigma_{\text{tot}} \leq \text{const.} \times \ln^2 s$$

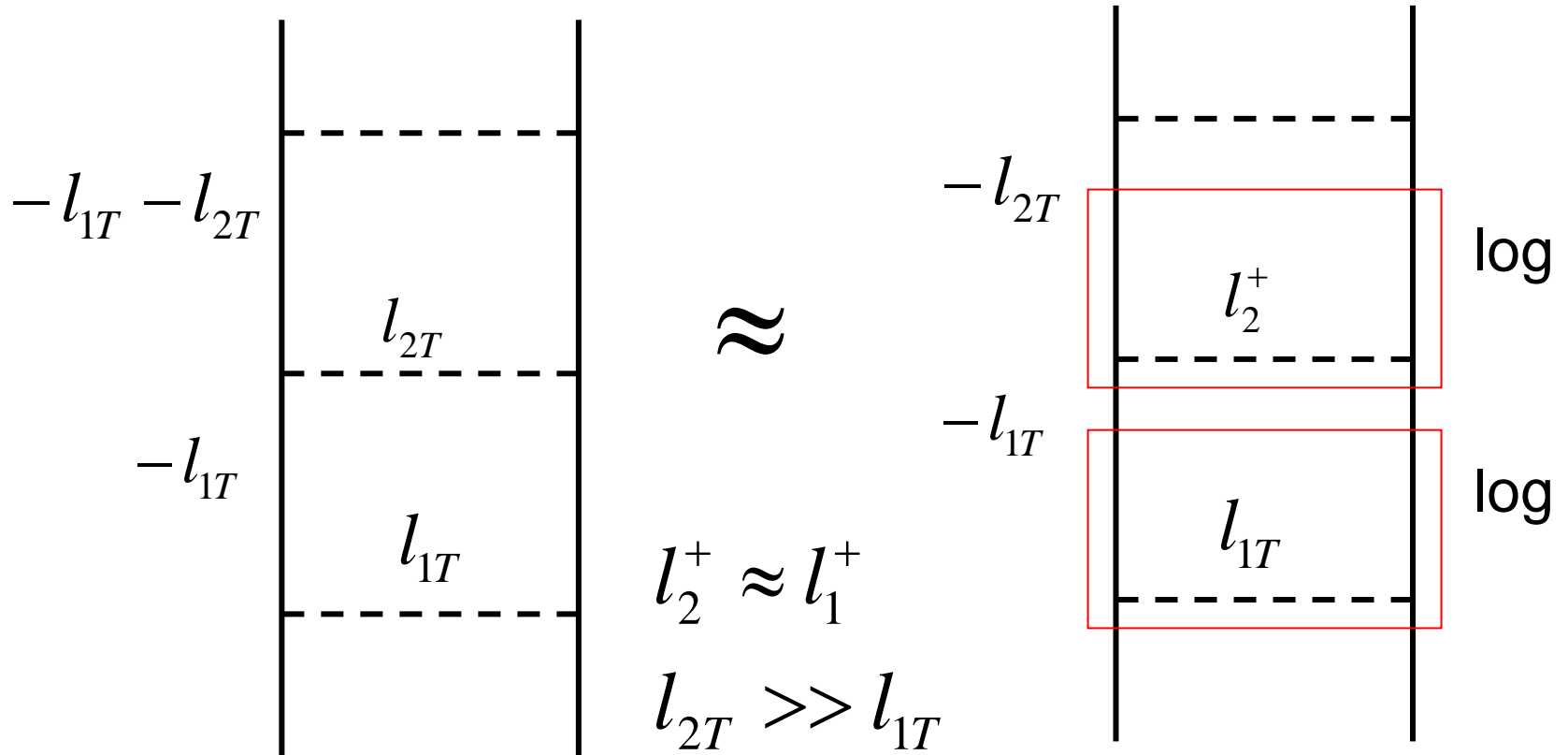
# NLL BFKL

- Unsatisfactory prediction of LL BFKL called for NLL corrections---but too big



# Threshold resummation and DGLAP equation

# $k_T$ ordering



# Approximation under $k_T$ ordering

- TMD is independent of  $l_T$  under  $k_T$  ordering
- Soft approximation

$$\phi(x + l^+ / p^+, |\mathbf{k}_T + \mathbf{l}_T|) \approx \phi(x + l^+ / p^+, k_T)$$

- $x$  and  $l^+/p^+$  are of the same order
- Integrate over  $k_T$ , TMD  $\rightarrow$  PDF
- $l_T$  integrated up to infinity, the scale  $k_T$  disappears

# Threshold resummation

- At large  $x$ , two scales  $(1-x)p^+$   $xp^+$
- Mellin transformation

$$\bar{\phi}_{sr}(N, p^+) = \int_0^1 dx x^{N-1} \bar{\phi}_{sr}(x, p^+)$$
$$xp^+ \sim p^+ \quad (1-x)p^+ \sim p^+/N$$

- Rewrite  $p^+ \frac{d\phi}{dp^+} = \frac{p^+}{N} \frac{\partial \phi}{\partial (p^+/N)}$

# Solution

- Solution of resummation equation sums double logs of N

$$\phi(N, p^+) = \Delta_t(N, p^+) \phi^{(0)}$$

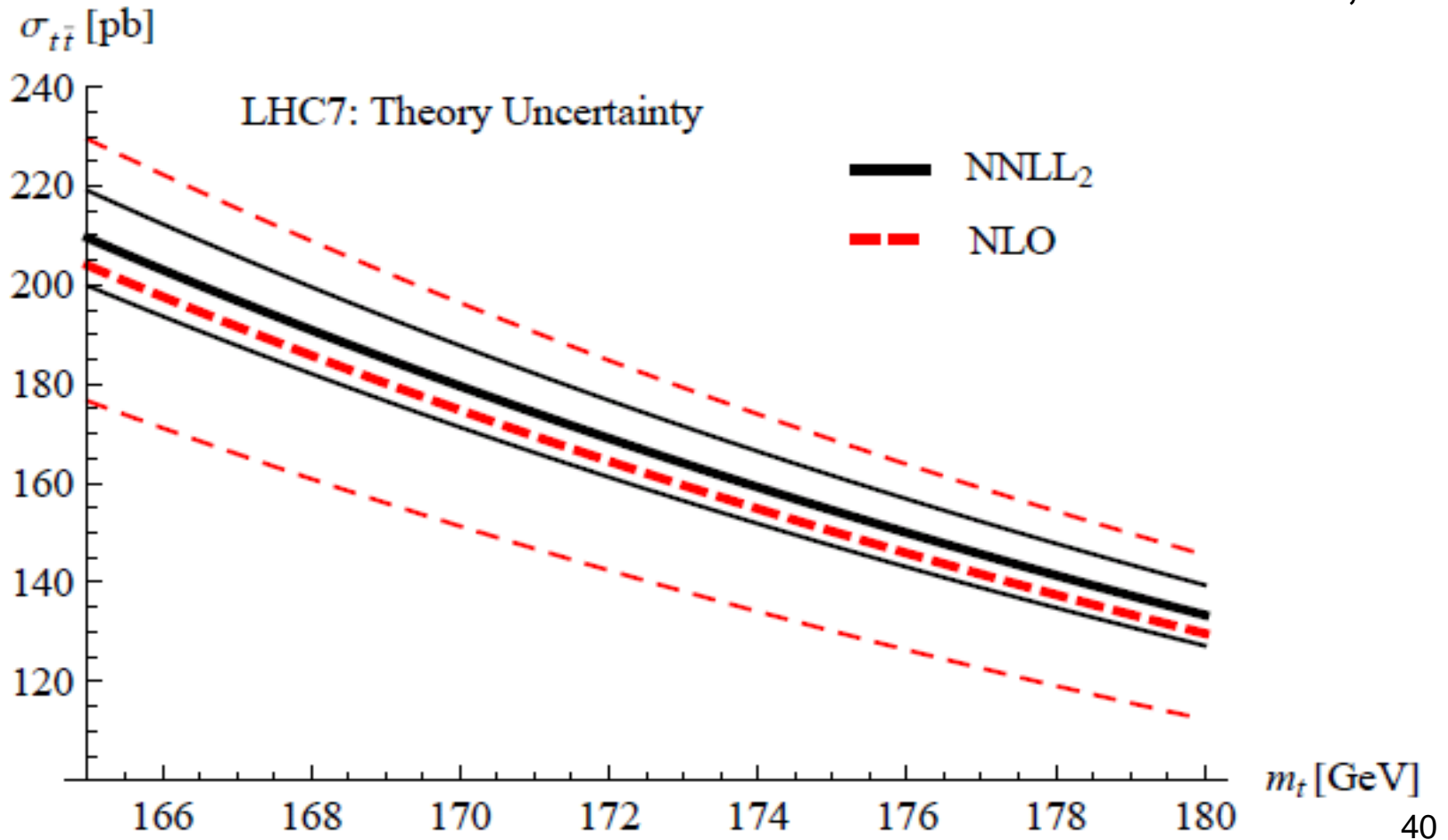
$$\Delta_t(N, p^+) = \exp \left[ -2 \int_{p^+/N}^{p^+} \frac{dp}{p} \int_p^{p^+} \frac{d\mu}{\mu} \gamma_K(\alpha_s(\mu)) \right]$$

- Equivalent expression

$$\Delta_t(N, p^+) = \exp \left[ - \int_0^1 dz \frac{z^{N-1} - 1}{1-z} \int_{(1-z)^2}^1 \frac{d\lambda}{\lambda} \gamma_K(\alpha_s(\sqrt{\lambda} p^+)) \right]$$

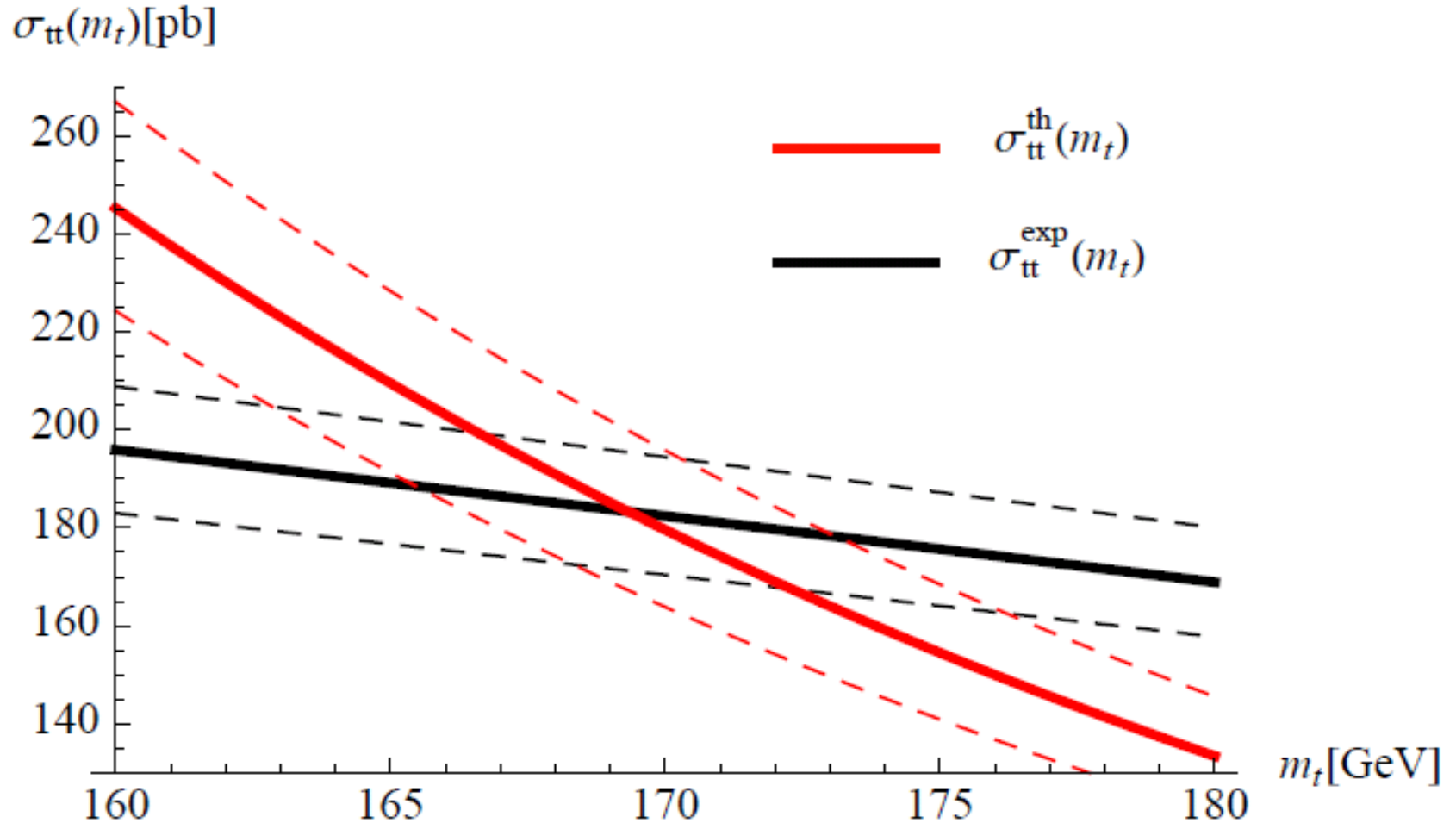
# Top-quark pair production with NNLL threshold resummation

Beneke et al., 2011





# Determination of top mass



# DGLAP equation

- Intermediate  $x$ ,  $xp^+ \sim (1-x)p^+$
- Single scale
- DGLAP equation

$$p^+ \frac{d}{dp^+} \phi(x, p^+) = \int_x^1 \frac{d\xi}{\xi} P(x/\xi, p^+) \phi(\xi, p^+)$$

$$P(z, p^+) = \frac{\alpha_s(p^+)}{\pi} C_F \frac{2}{(1-z)_+}$$

- $z \rightarrow 1$  limit of splitting kernel

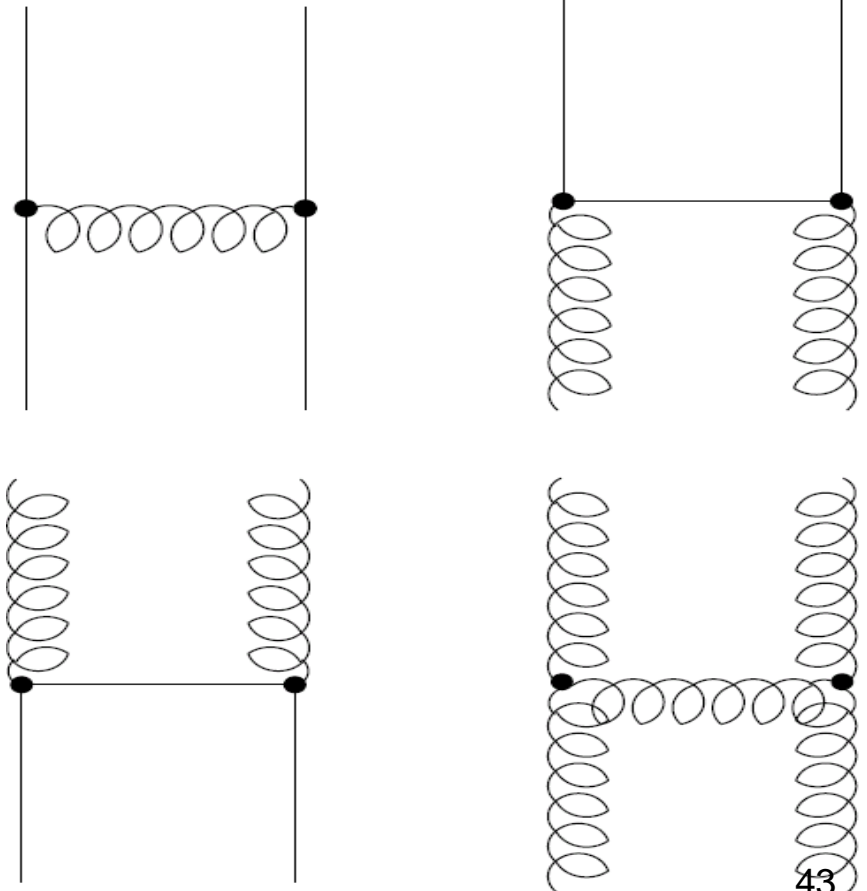
$$P_{qq} = (\alpha_s C_F / \pi) (1 + z^2) / (1 - z)_+$$

# Complete DGLAP kernels

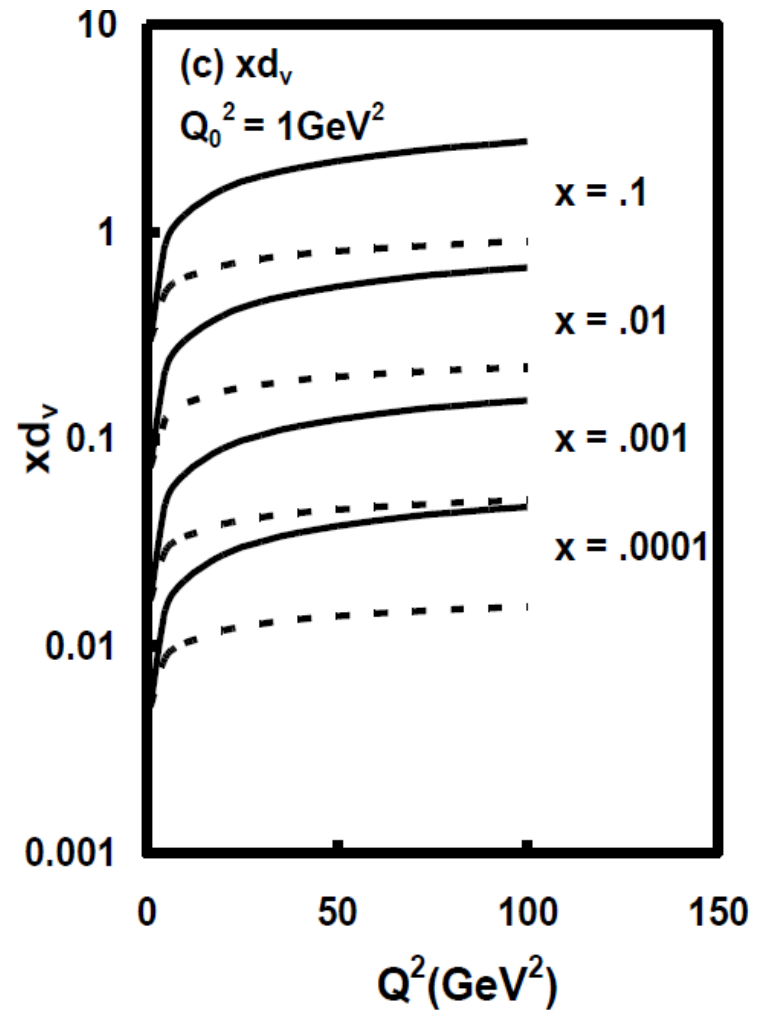
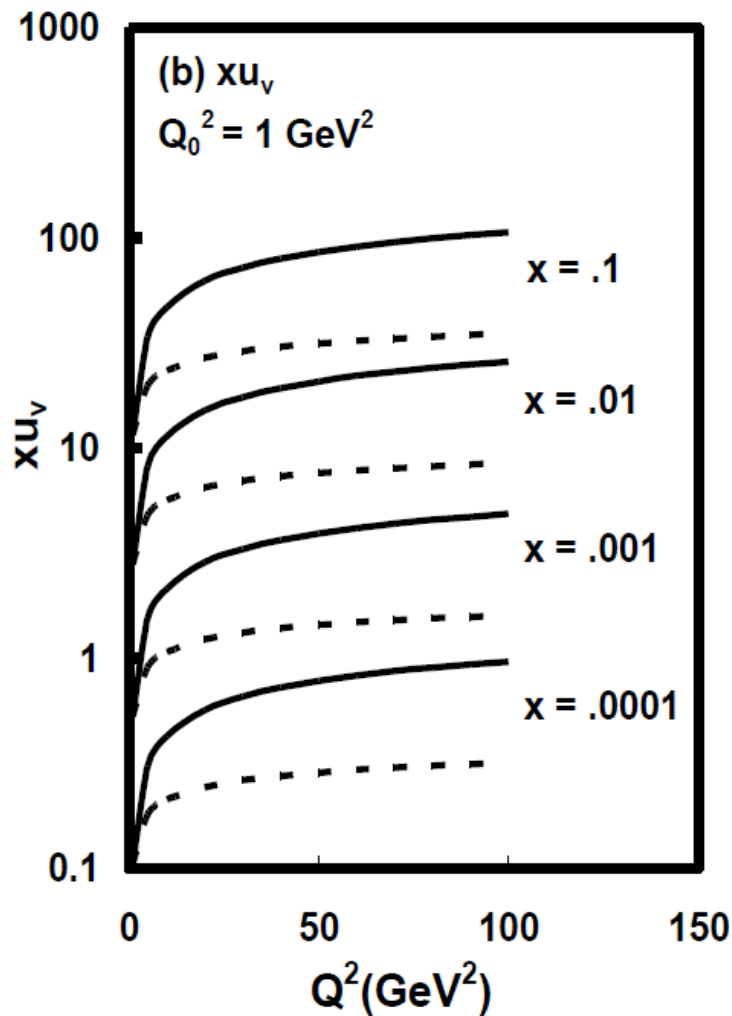
$$\frac{\partial}{\partial \ln Q^2} \begin{pmatrix} q_S \\ g \end{pmatrix} = \begin{pmatrix} P_{qq} & P_{qg} \\ P_{gq} & P_{gg} \end{pmatrix} \otimes \begin{pmatrix} q_S \\ g \end{pmatrix}$$

$$a(x) \otimes b(x) \equiv \int_x^1 \frac{d\omega}{\omega} a(\omega) b\left(\frac{x}{\omega}\right)$$

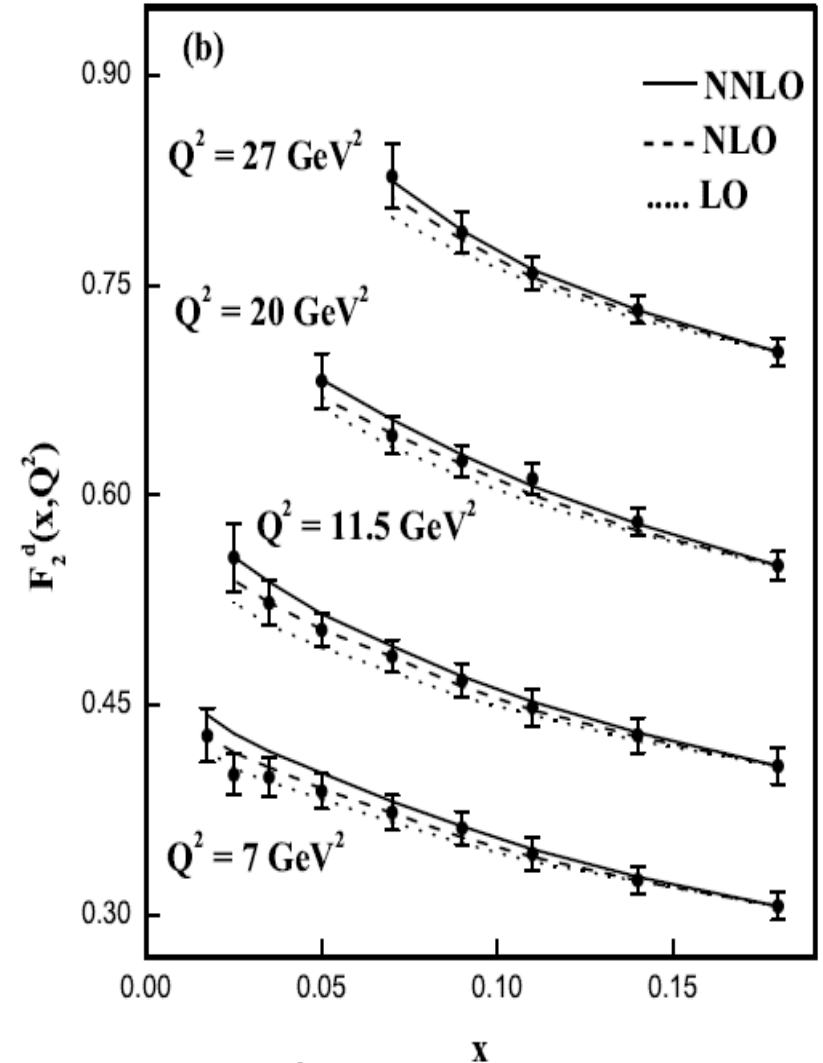
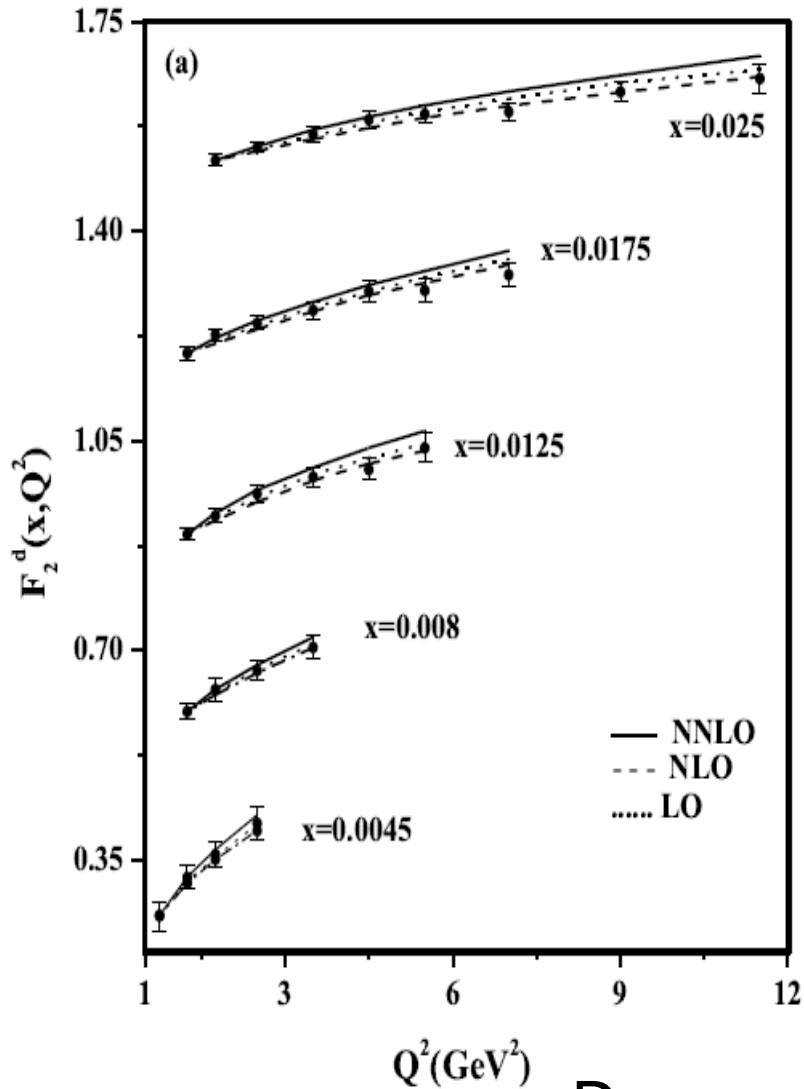
splitting kernels have been  
calculated to two loops



# Valence quark LO evolution



# Deuteron structure function



Devee et al, 2012, NMC data

# Joint resummation

Li, 1999;

Laenen et al., 2000

- $k_T$  resummation

$$\phi(x, b, p^+) = \exp \left[ -2 \int_{1/b}^{xp^+} \frac{dp}{p} \int_{1/b}^p \frac{d\mu}{\mu} \gamma_K(\alpha_s(\mu)) \right] \phi^{(0)}$$

- Threshold resummation

$$\phi(N, p^+) = \exp \left[ -2 \int_0^1 dz \frac{z^{N-1} - 1}{1-z} \int_{1-z}^1 \frac{d\lambda}{\lambda} \gamma_K(\alpha_s(\lambda p^+)) \right] \phi^{(0)}$$

- Same anomalous dimension
- Unification of the two resummations?

# General soft approximation

- Keep both  $l_+$  and  $l_T$  dependence

$$\phi(x + l^+ / p^+, |\mathbf{k}_T + \mathbf{l}_T|, p^+)$$

- Joint resummation

$$\phi(N, b, p^+) = \exp \left[ -2 \int^{p^+} \frac{dp}{p} \int \frac{d\mu}{\mu} \gamma_K(\alpha_s(\mu)) \right] \phi^{(0)}$$

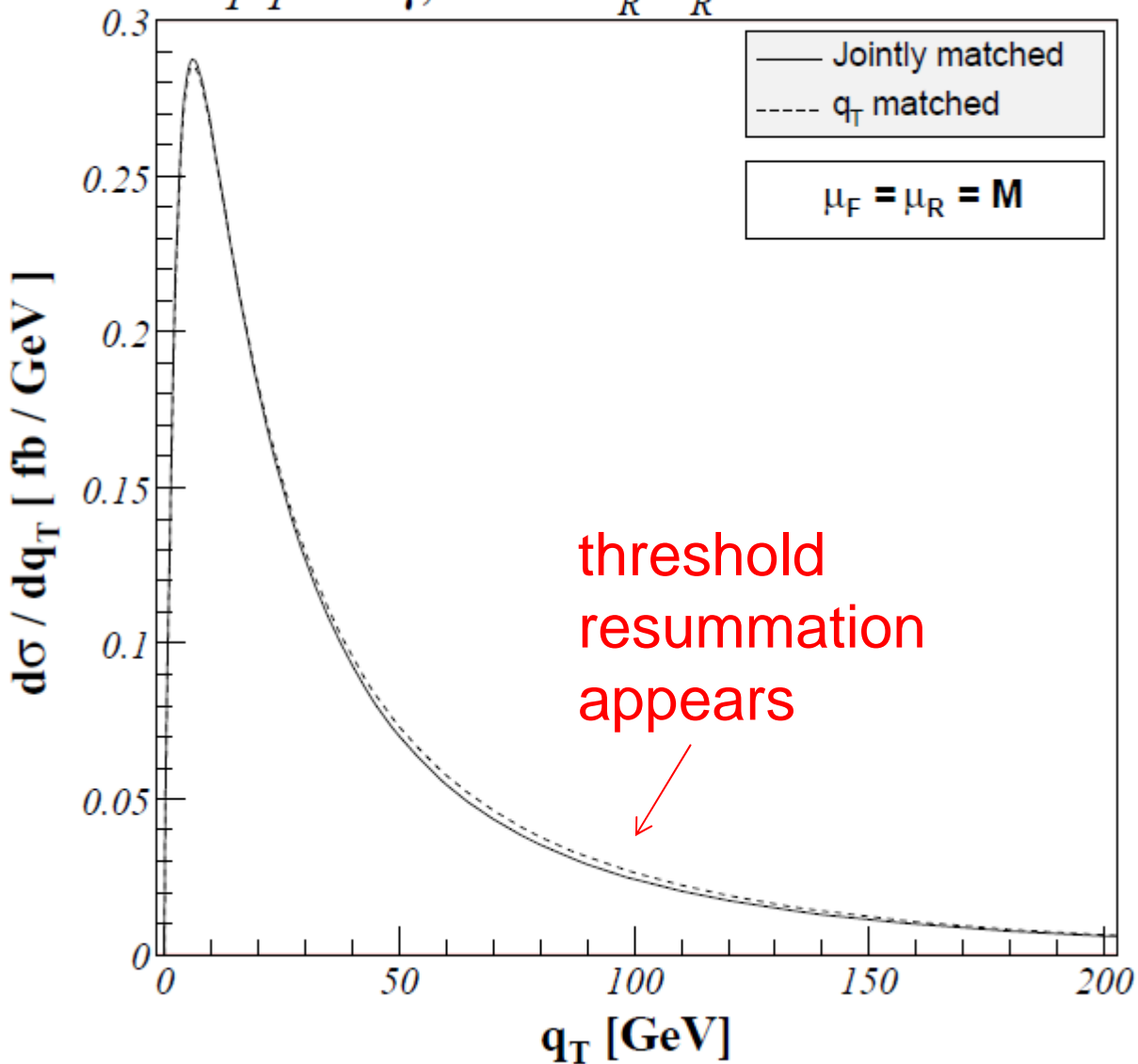
$$\bar{N} = N e^{\gamma_E} \quad \chi(\bar{N}, \bar{b}) = \frac{\bar{N}}{1 + \eta \bar{b} / \bar{N}} + \bar{b}$$

$$\bar{b} = b M e^{\gamma_E} / 2$$

- Large  $b$ , joint  $\longrightarrow$   $k_T$  resummation
- Large  $N$ , joint  $\longrightarrow$  threshold resummation

# Resummation effect

$pp \rightarrow \gamma, Z^0 \rightarrow \tilde{e}_R \tilde{e}_R^*$  at the LHC

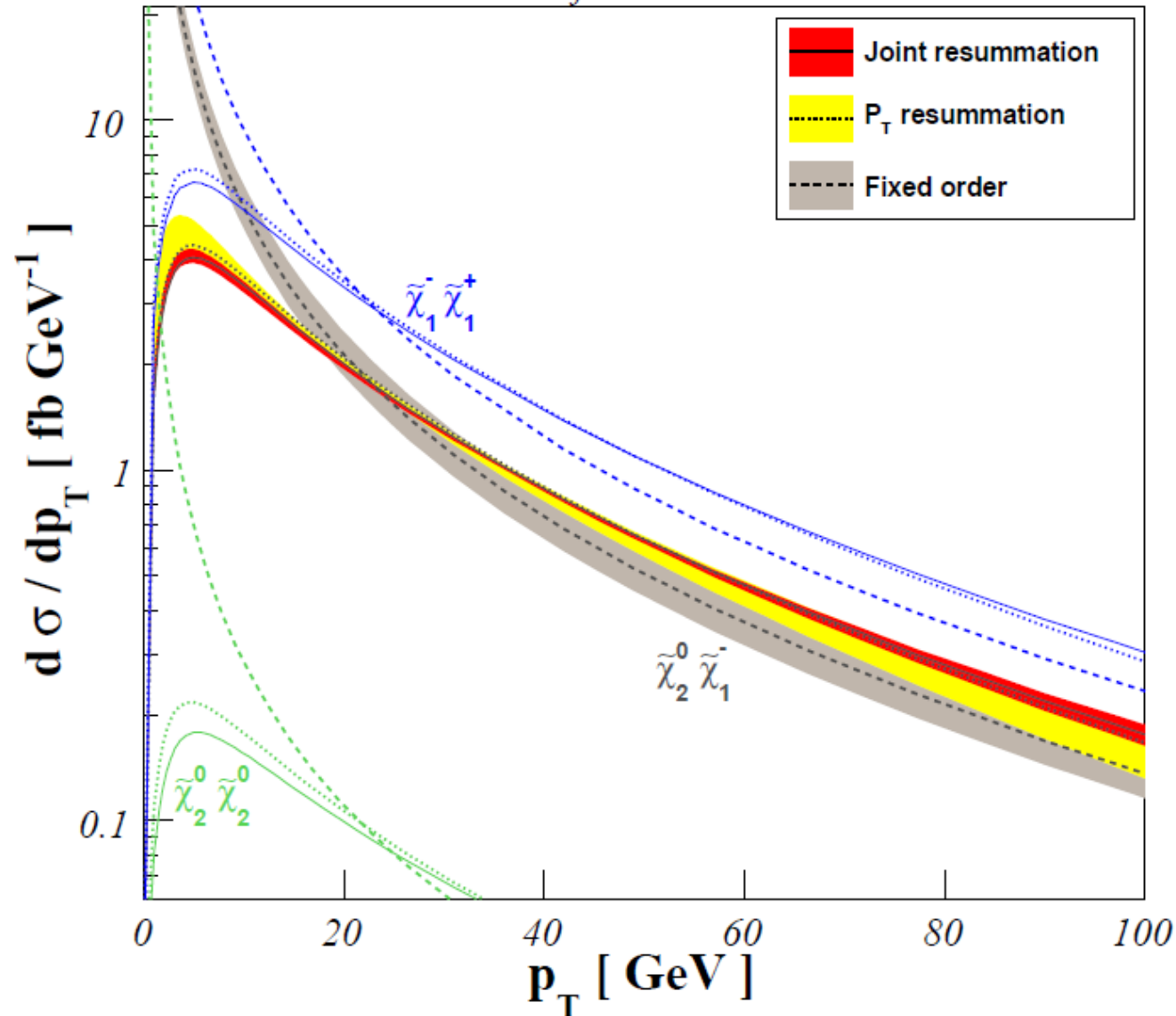


Fuks, 2007



# Resummation effect

$pp \rightarrow \tilde{\chi}_i \tilde{\chi}_j$  at the LHC (7 TeV)



Debove et al,  
2011

# Summary

- Sophisticated evolution and resummation techniques have been developed in PQCD
- Predictive power enhanced
- Perturbation improved
- Precision increased
- Resummation of other logs need to be developed: rapidity logs, non-global logs,...