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# Leptogenesis and low energy neutrino data

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S. Blanchet, PDB, "The minimal model of leptogenesis", NJP Focus issue on Baryogenesis arXiv 1211.0512 [hep-ph]

PDB and Luca Marzola arXiv 1308.1107

PDB, M.Re Fiorentin, S.King, in preparation (see poster by Michele Re Fiorentin)

# The double side of Leptogenesis

Cosmology,  
Early Universe

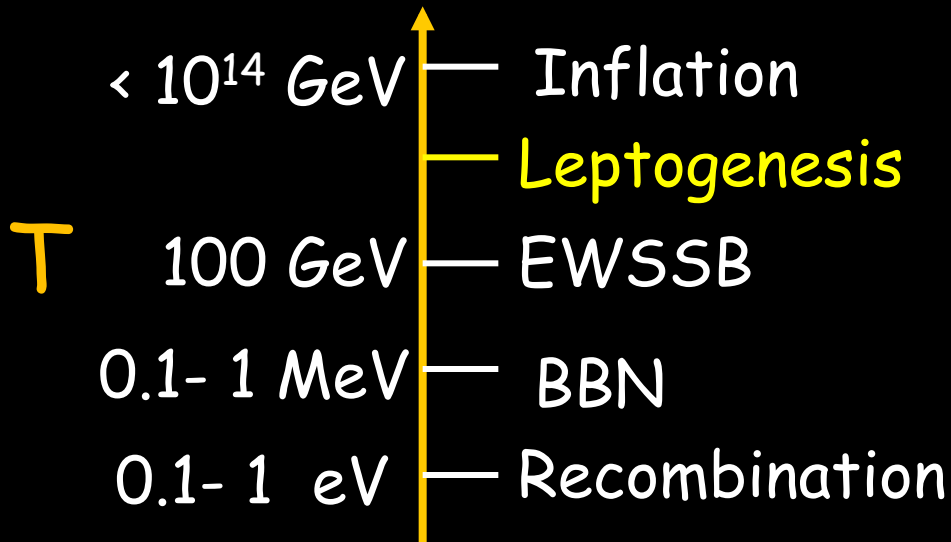


Neutrino Physics,  
New Physics

- Cosmological Puzzles :

1. Dark matter
2. Matter - antimatter asymmetry
3. Inflation
4. Accelerating Universe

- New stage in early Universe history :



Leptogenesis complements  
low energy neutrino  
experiments  
testing the  
seesaw mechanism  
high energy parameters

In this case one would like to  
answer.....



# ...two important questions:

1. Can we get an insight on neutrino parameters from leptogenesis?

In other words: can leptogenesis provide a way to understand current neutrino parameters measurements and even predict future ones?

2. Vice-versa: can we probe leptogenesis with low energy neutrino data?

A common approach in the LHC era: some hopes only by lowering the typical expected scale of leptogenesis ( $\sim 10^{10}$  GeV) in order to have additional testable effects (LHC signals, LFV, electric dipole moments, non-unitary leptonic mixing matrix...)

⇒ "TeV Leptogenesis"

Is there an alternative approach based on usual high energy scale leptogenesis and relying just on low energy neutrino data?

After all LHC has not found signals of new physics at the TeV scale (not so far) but our knowledge of the low energy neutrino parameters is experiencing a strong renewed fast progress

# Neutrino mixing parameters („pre-T2K“)

$$|\nu_\alpha\rangle = \sum U_{\alpha i} |\nu_i\rangle$$

$$U_{\alpha i} = \begin{pmatrix} U_{e1} & U_{e2} & U_{e3} \\ U_{\mu 1} & U_{\mu 2} & U_{\mu 3} \\ U_{\tau 1} & U_{\tau 2} & U_{\tau 3} \end{pmatrix}$$

**Maki-Nakagawa-Sakata-Pontecorvo matrix**

$$= \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_{23} & s_{23} \\ 0 & -s_{23} & c_{23} \end{pmatrix} \cdot \begin{pmatrix} c_{13} & 0 & s_{13}e^{-i\delta} \\ 0 & 1 & 0 \\ -s_{13}e^{i\delta} & 0 & c_{13} \end{pmatrix} \cdot \begin{pmatrix} c_{12} & s_{12} & 0 \\ -s_{12} & c_{12} & 0 \\ 0 & 0 & 1 \end{pmatrix} \cdot \begin{pmatrix} e^{i\rho} & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & e^{i\sigma} \end{pmatrix}$$

**Atmospheric**

**Reactor, Accel., LBL  
CP violating phase**

**Solar, Reactor**

**$\beta\beta 0\nu$  decay**

$$c_{ij} = \cos \theta_{ij}, \text{ and } s_{ij} = \sin \theta_{ij}$$

- best-fit point and  $1\sigma$  ( $3\sigma$ ) ranges:

$$\theta_{12} = 34.5 \pm 1.4 \left( {}^{+4.8}_{-4.0} \right), \quad \Delta m_{21}^2 = 7.67 {}^{+0.22}_{-0.21} \left( {}^{+0.67}_{-0.60} \right) \times 10^{-5} \text{ eV}^2,$$

$$\theta_{23} = 43.1 {}^{+4.4}_{-3.5} \left( {}^{+10.1}_{-8.0} \right), \quad \Delta m_{31}^2 = \begin{cases} -2.39 \pm 0.12 \left( {}^{+0.37}_{-0.40} \right) \times 10^{-3} \text{ eV}^2, \\ +2.49 \pm 0.12 \left( {}^{+0.39}_{-0.36} \right) \times 10^{-3} \text{ eV}^2, \end{cases}$$

$$\theta_{13} = 3.2 {}^{+4.5}_{-3.6} \left( {}^{+9.6}_{-5.4} \right), \quad \delta_{\text{CP}} \in [0, 360];$$

(Gonzalez-Garcia, Maltoni 08)

# Neutrino mixing parameters

Non-vanishing  
 $\theta_{13}$

- T2K :  $\sin^2 2\theta_{13} = 0.03 - 0.28$  (90% CL NO)
- DAYA BAY:  $\sin^2 2\theta_{13} = 0.092 \pm 0.016 \pm 0.005$
- RENO, MINOS, DOUBLE CHOOZ, new T2K data,

Recent  
global  
analyses

$$\theta_{13} = 7.7^\circ \div 10.2^\circ \text{ (95\% CL)}$$

$$\theta_{23} = 36.3^\circ \div 40.9^\circ \text{ (95\% CL)}$$

$$\delta_{\text{best fit}} \sim \pi$$

(Normal  
Ordering )

(Fogli, Lisi, Marrone  
Montanino, Palazzo,  
Rotunno 2012)

Analogous results by Gonzalez-Garcia, Maltoni and Schwetz but  $\delta_{\text{best fit}} \sim -\pi/3$  and  $\theta_{23}$  in first octant favoured only at  $1.5 \sigma$  for normal order and at  $0.9 \sigma$  for inverted ordering

Results by Forero, Tortola, Valle neither favour a specific value of  $\delta$  nor  $\theta_{23}$  in the first octant

New results presented at this meeting: talks by Suzuki (SK), Malek (T2K),...

# Neutrino masses: $m_1 < m_2 < m_3$

neutrino mixing data

2 possible schemes: **normal** or **inverted**

$$m_3^2 - m_2^2 = \Delta m_{\text{atm}}^2 \text{ or } \Delta m_{\text{sol}}^2$$

$$m_{\text{atm}} \equiv \sqrt{\Delta m_{\text{atm}}^2 + \Delta m_{\text{sol}}^2} \simeq 0.05 \text{ eV}$$

$$m_2^2 - m_1^2 = \Delta m_{\text{sol}}^2 \text{ or } \Delta m_{\text{atm}}^2$$

$$m_{\text{sol}} \equiv \sqrt{\Delta m_{\text{sol}}^2} \simeq 0.009 \text{ eV}$$

**Tritium  $\beta$  decay:  $m_e < 2 \text{ eV}$**

(Mainz + Troitzk 95% CL)

**$\beta\beta 0\nu$ :  $m_{\beta\beta} < 0.34 - 0.78 \text{ eV}$**

(CUORICINO 95% CL, similar bound from Heidelberg-Moscow)

**$m_{\beta\beta} < 0.14 - 0.38 \text{ eV}$**

(EXO-200 90% CL)

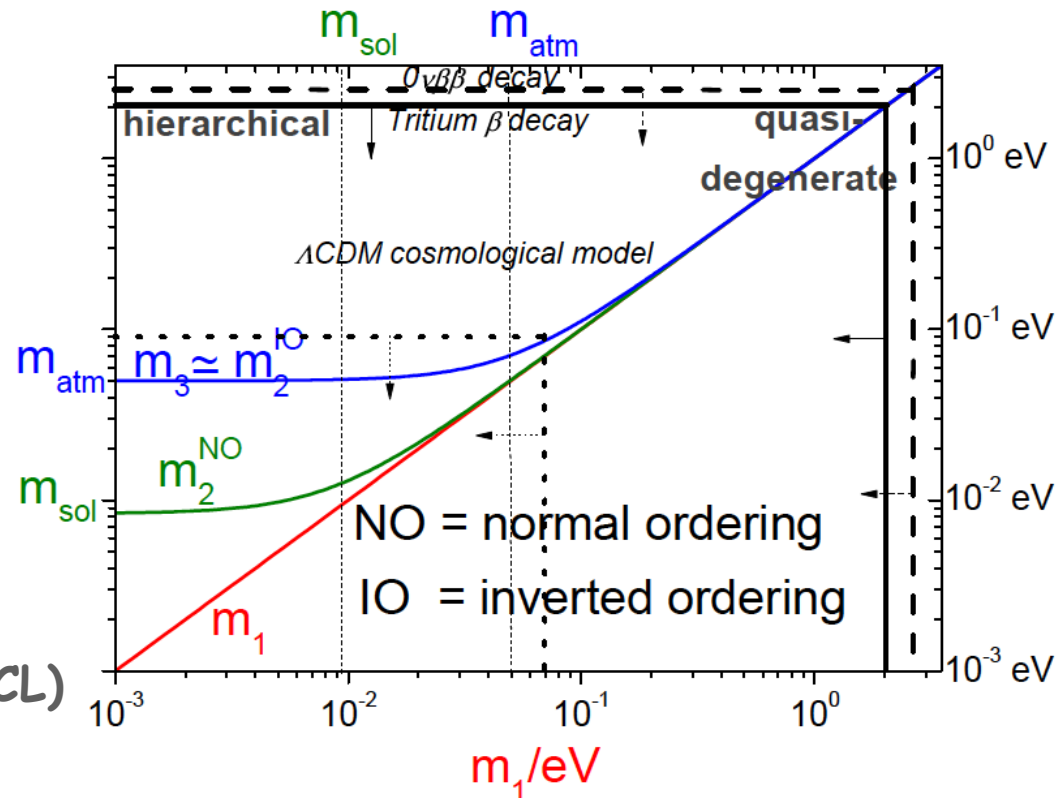
**$m_{\beta\beta} < 0.2 - 0.4 \text{ eV}$**

(GERDA 90% CL)

**CMB+BAO+H0 :  $\Sigma m_i < 0.23 \text{ eV}$**

(Planck+high l+WMAPpol+BAO 95%CL)

$\Rightarrow m_1 < 0.07 \text{ eV}$



# Minimal scenario of Leptogenesis

(Fukugita, Yanagida '86)

## 1. Type I seesaw Lagrangian

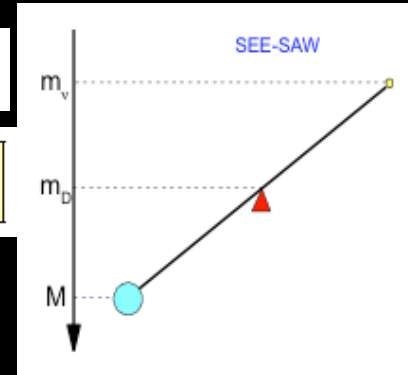
$$\mathcal{L}_{\text{mass}}^{\nu} = -\frac{1}{2} \left[ (\bar{\nu}_L^c, \bar{\nu}_R) \begin{pmatrix} 0 & m_D^T \\ m_D & M \end{pmatrix} \begin{pmatrix} \nu_L \\ \nu_R^c \end{pmatrix} \right] + h.c.$$

In the **see-saw limit** ( $M \gg m_D$ ) the spectrum of mass eigenstates splits in 2 sets:

- 3 light neutrinos  $\nu_1, \nu_2, \nu_3$  with masses

$$\text{diag}(m_1, m_2, m_3) = -U^\dagger m_D \frac{1}{M} m_D^T U^*$$

- 3 new heavy RH neutrinos  $N_1, N_2, N_3$  with masses  $M_3 > M_2 > M_1 \gg m_D$



*Both light and heavy neutrinos are predicted to be Majorana neutrinos*

## 2. Thermal production of the RH neutrinos $\Rightarrow T_{\text{RH}} \gtrsim M_i / (2 \div 10)$

On average one  $N_i$  decay produces a B-L asymmetry given by the **total CP asymmetries**

$$\epsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

$$\Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}}$$

Predicted baryon-to-photon number ratio

Successful leptogenesis bound :  $\eta_B = \eta_B^{\text{CMB}} = (6.1 \pm 0.1) \times 10^{-10}$

# Seesaw parameter space

Imposing  $\eta_B = \eta_B^{\text{CMB}}$  one would like to establish links with  $U$  and  $m_i$

Problem: too many parameters

(Casas, Ibarra'01)  $m_\nu = -m_D \frac{1}{M} m_D^T \Leftrightarrow \boxed{\Omega^T \Omega = I}$

Orthogonal  
parameterisation

$$\boxed{m_D} = \boxed{U \begin{pmatrix} \sqrt{m_1} & 0 & 0 \\ 0 & \sqrt{m_2} & 0 \\ 0 & 0 & \sqrt{m_3} \end{pmatrix} \Omega \begin{pmatrix} \sqrt{M_1} & 0 & 0 \\ 0 & \sqrt{M_2} & 0 \\ 0 & 0 & \sqrt{M_3} \end{pmatrix}} \quad \left( \begin{array}{lcl} U^\dagger U & = & I \\ U^\dagger m_\nu U^* & = & -D_m \end{array} \right)$$

(in basis where charged lepton and Majorana mass matrices are diagonal)

The **6 parameters in the orthogonal matrix  $\Omega$**  encode the **3 life times** and the **3 total CP asymmetries** of the RH neutrinos and is an invariant

A parameter reduction would help and can occur if:

- if  $\eta_B(U, m_i; \lambda_1, \dots, \lambda_9) = \eta_B^{\text{CMB}}$  is a maximum condition (or close to)
- cancellation in the asymmetry calculation:  $\eta_B = \eta_B(U, m_i; \lambda_1, \dots, \lambda_{M \leq 9})$
- By imposing some (model dependent) conditions on  $m_D$ , one can reduce the number of parameters and arrive to a new parameterisation where  
 $\Omega = \Omega(U, m_i; \lambda'_1, \dots, \lambda'_{N \leq M})$  and  $M_i = M_i(U, m_i; \lambda'_1, \dots, \lambda'_{N \leq M})$



# Vanilla leptogenesis

## 1) Flavor composition of final leptons is neglected

$$N_i \xrightarrow{\Gamma} l_i H^\dagger$$

$$N_i \xrightarrow{\bar{\Gamma}} \bar{l}_i H$$

**Total CP asymmetries**

$$\varepsilon_i \equiv -\frac{\Gamma_i - \bar{\Gamma}_i}{\Gamma_i + \bar{\Gamma}_i}$$

$$N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \Rightarrow \eta_B = a_{\text{sph}} \frac{N_{B-L}^{\text{fin}}}{N_{\gamma}^{\text{rec}}} \quad \text{baryon-to-photon number ratio}$$

## 2) Hierarchical heavy RH neutrino spectrum: $M_2 \gtrsim 3 M_1$

## 3) $N_3$ does not interfere with $N_2$ -decays: $(m_D^\dagger m_D)_{23} = 0$

From the last  
two assumptions

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_1 \kappa_1^{\text{fin}}$$

## 4) Barring fine-tuned mass cancellations in the seesaw

$$\varepsilon_1 \leq \varepsilon_1^{\text{max}} \simeq 10^{-6} \left( \frac{M_1}{10^{10} \text{ GeV}} \right) \frac{m_{\text{atm}}}{m_1 + m_3}$$

(Davidson, Ibarra '02)

## 5) Efficiency factor from simple Boltzmann equations

$$\begin{aligned} \frac{dN_{N_1}}{dz} &= -D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) \\ \frac{dN_{B-L}}{dz} &= -\varepsilon_1 \frac{dN_{N_1}}{dz} - W_1 N_{B-L} \end{aligned}$$

$z \equiv \frac{M_1}{T}$

decays

inverse decays

wash-out

decay  
parameter

$$K_1 \equiv \frac{\Gamma_{N_1}(T=0)}{H(T=M_1)}$$

$$\kappa_1(z; K_1, z_{\text{in}}) = - \int_{z_{\text{in}}}^z dz' \left[ \frac{dN_{N_1}}{dz'} \right] e^{-\int_{z'}^z dz'' W_1(z'')}$$

(Buchmuller, PDB, Plumacher '04)

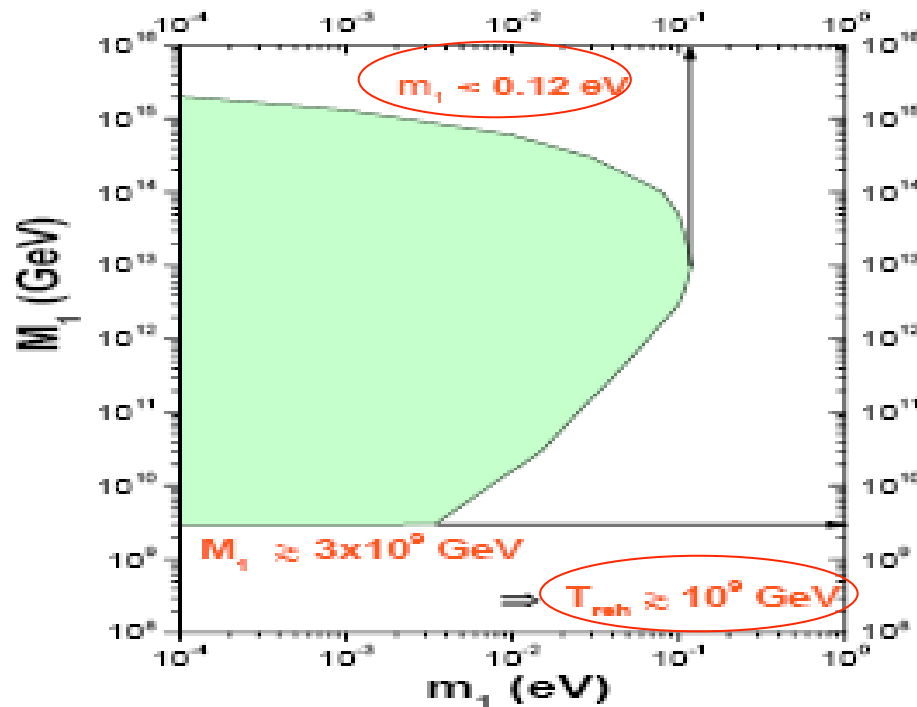
# Neutrino mass bounds in vanilla leptogenesis

(Davidson, Ibarra '02; Buchmüller, PDB, Plümacher '02, '03, '04; Giudice et al. '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1) \leq \eta_B^{\text{max}} = 0.01 \varepsilon_1^{\text{max}}(m_1, M_1) \kappa_1^{\text{fin}}(K_1^{\text{max}})$$

Imposing:

$$\eta_B^{\text{max}}(m_1, M_1) \geq \eta_B^{\text{CMB}}$$



No dependence on the leptonic mixing matrix  $U$

# Independence of the initial conditions

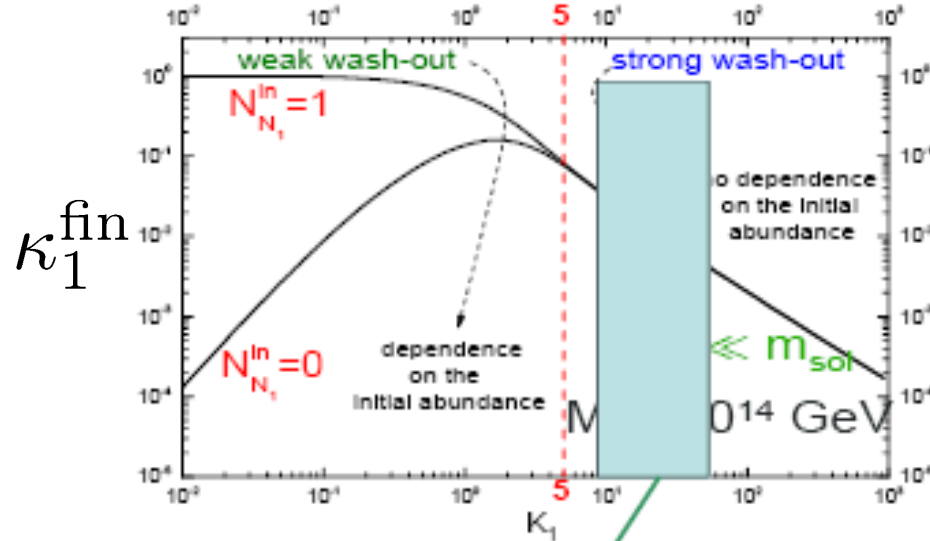
The early Universe „knows“ the neutrino masses ...

(Fukugita, Yanagida '86  
Buchmüller, PDB, Plümacher '04)

$$\eta_B \simeq 0.01 \varepsilon_1(m_1, M_1, \Omega) \kappa_1^{\text{fin}}(K_1)$$

decay parameter

$$K_1 \equiv \frac{\Gamma_{N_1}}{H(T = M_1)} \sim \frac{m_{\text{sol, atm}}}{m_* \sim 10^{-3} \text{ eV}} \sim 10 \div 50$$

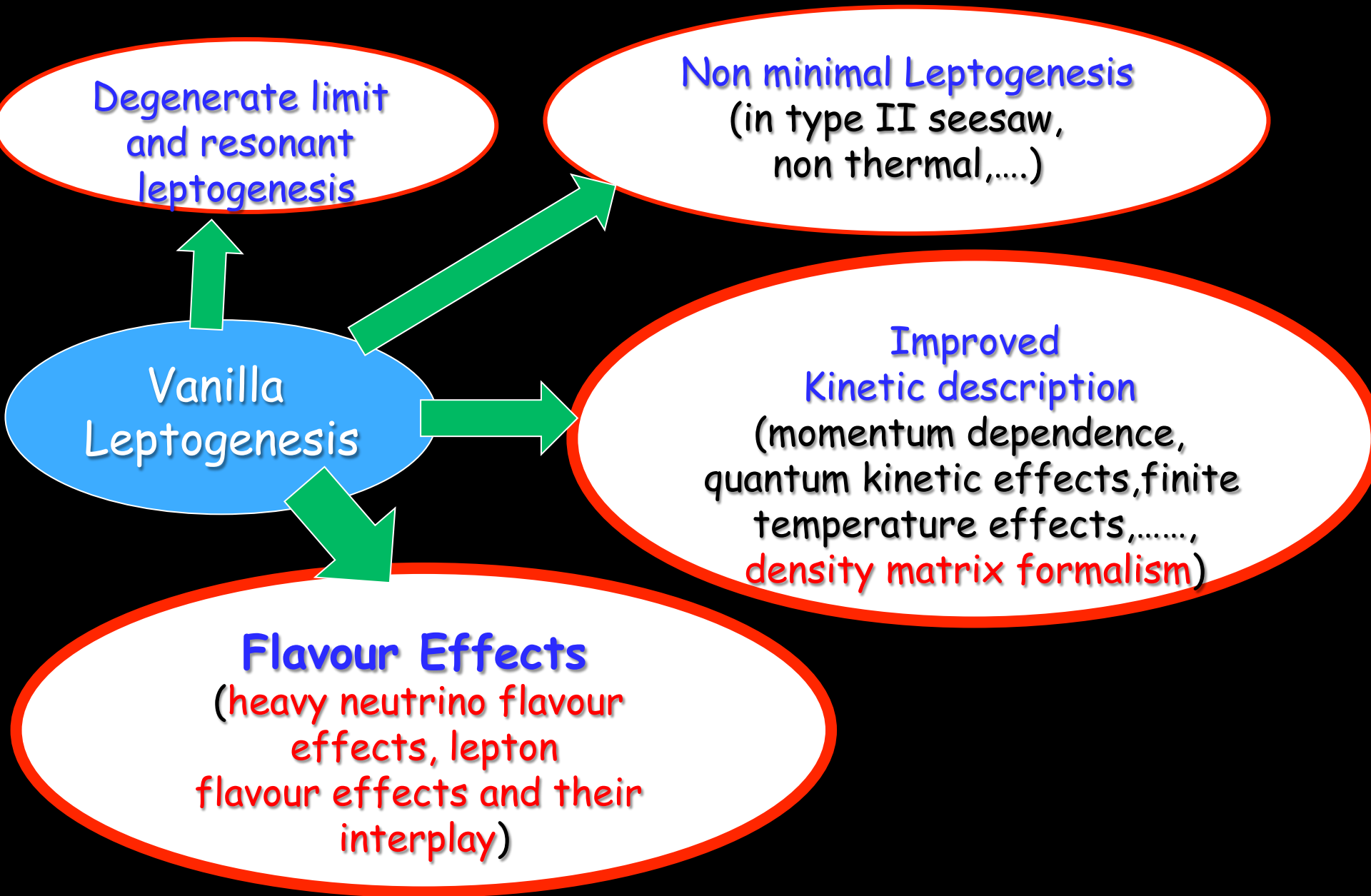


$$K_{\text{sol}} \simeq 9 \lesssim K_1 \lesssim 50 \simeq K_{\text{atm}}$$

wash-out of  
a pre-existing  
asymmetry

$$N_{B-L}^{\text{p, final}} = N_{B-L}^{\text{p, initial}} e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{\text{f, } N_1}$$

# Beyond vanilla Leptogenesis



# Lepton flavour effects

(Abada, Davidson, Losada, Josse-Michaux, Riotto '06; Nardi, Nir, Roulet, Racker '06; Blanchet, PDB, Raffelt '06; Riotto, De Simone '06)

## Flavor composition of lepton quantum states:

$$|l_1\rangle = \sum_{\alpha} \langle l_{\alpha} | l_1 \rangle |l_{\alpha}\rangle \quad (\alpha = e, \mu, \tau)$$

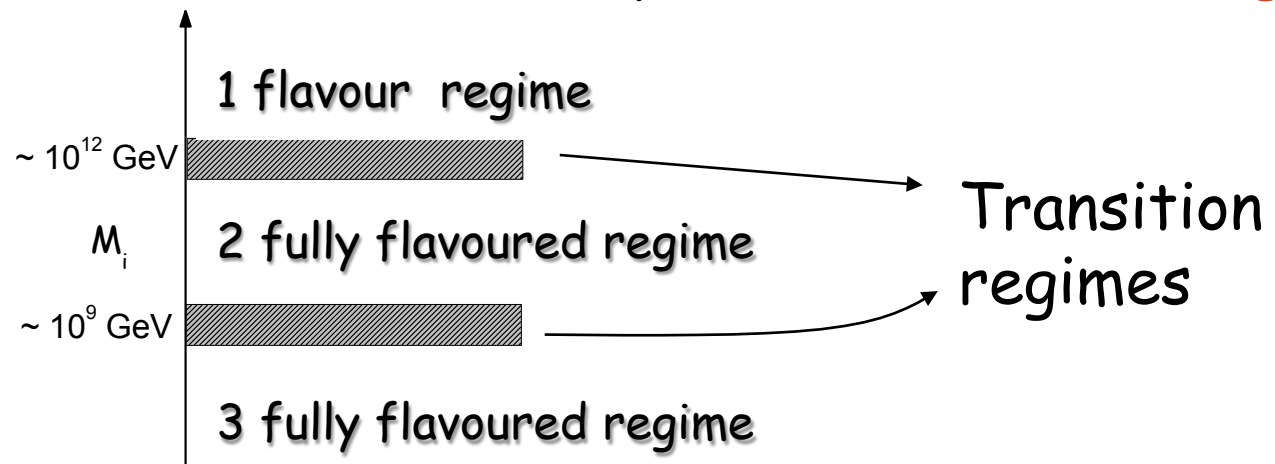
$$P_{1\alpha} \equiv |\langle l_1 | \alpha \rangle|^2$$

$$|\bar{l}'_1\rangle = \sum_{\alpha} \langle l_{\alpha} | \bar{l}'_1 \rangle |\bar{l}_{\alpha}\rangle$$

$$\bar{P}_{1\alpha} \equiv |\langle \bar{l}'_1 | \bar{\alpha} \rangle|^2$$

For  $T \lesssim 10^{12} \text{ GeV} \Rightarrow \tau$ -Yukawa interactions  $(\bar{l}_{L\tau} \phi f_{\tau\tau} e_{R\tau})$   
 are fast enough to break the coherent evolution of  $|l_1\rangle$  and  $|\bar{l}'_1\rangle$   
 $\Rightarrow$  they become an incoherent mixture of a  $\tau$  and of a  $\mu+e$  component

At  $T \lesssim 10^9 \text{ GeV}$  then also  $\mu$ -Yukawas in equilibrium  $\Rightarrow$  3-flavor regime





# Two fully flavoured regime

- Classic Kinetic Equations (in their simplest form)

$$\frac{dN_{N_1}}{dz} = -D_1 (N_{N_1} - N_{N_1}^{\text{eq}})$$

$$\frac{dN_{\Delta_\alpha}}{dz} = -\varepsilon_{1\alpha} \frac{dN_{N_1}}{dz} - P_{1\alpha}^0 W_1 N_{\Delta_\alpha}$$

$$\Rightarrow N_{B-L} = \sum_{\alpha} N_{\Delta_\alpha} \quad (\Delta_\alpha \equiv B/3 - L_\alpha)$$

$$P_{1\alpha} \equiv |\langle l_\alpha | l_1 \rangle|^2 = P_{1\alpha}^0 + \Delta P_{1\alpha}/2 \quad \left( \sum_{\alpha} P_{1\alpha}^0 = 1 \right)$$

$$\bar{P}_{1\alpha} \equiv |\langle \bar{l}_\alpha | \bar{l}_1 \rangle|^2 = P_{1\alpha}^0 - \Delta P_{1\alpha}/2 \quad \left( \sum_{\alpha} \Delta P_{1\alpha} = 0 \right)$$

( $\alpha = \tau, e, \mu$ )

$$\Rightarrow \varepsilon_{1\alpha} \equiv -\frac{P_{1\alpha} \Gamma_1 - \bar{P}_{1\alpha} \bar{\Gamma}_1}{\Gamma_1 + \bar{\Gamma}_1} = P_{1\alpha}^0 \varepsilon_1 + \Delta P_{1\alpha}(\Omega, U)/2$$

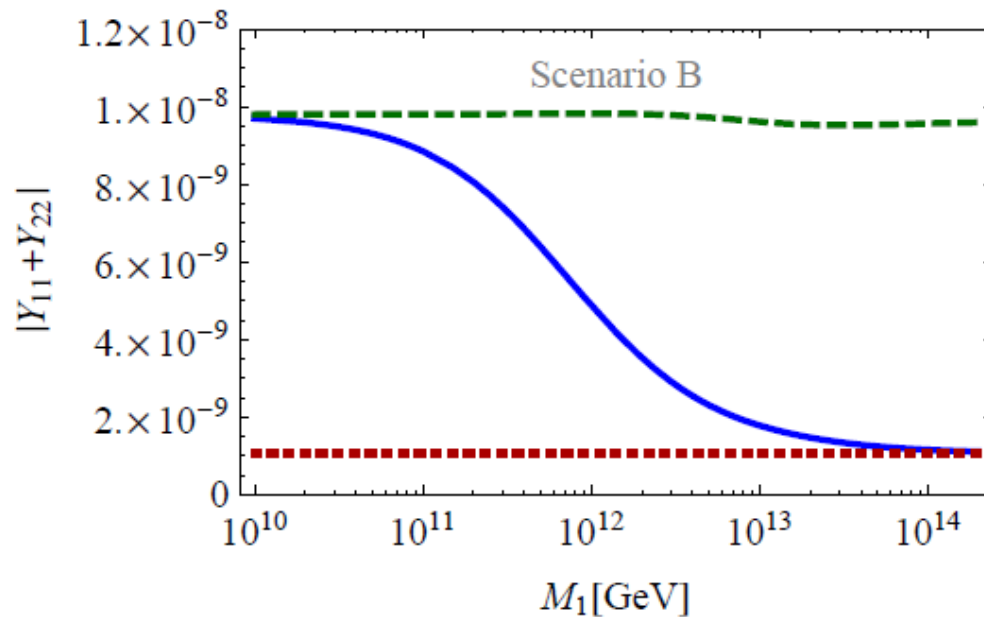
$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_{\alpha} \varepsilon_{1\alpha} \kappa_{1\alpha}^{\text{fin}} \simeq 2 \varepsilon_1 \kappa_1^{\text{fin}} + \frac{\Delta P_{1\alpha}}{2} [\kappa_{1\alpha}^{\text{fin}} - \kappa_{1\beta}^{\text{fin}}]$$

Vanilla leptogenesis result

# Density matrix and CTP formalism to describe the transition regimes

(De Simone, Riotto '06; Beneke, Gabrecht, Fidler, Herranen, Schwaller '10)

$$\frac{dY_{\alpha\beta}}{dz} = \frac{1}{szH(z)} \left[ (\gamma_D + \gamma_{\Delta L=1}) \left( \frac{Y_{N_1}}{Y_{N_1}^{\text{eq}}} - 1 \right) \epsilon_{\alpha\beta} - \frac{1}{2Y_{\ell}^{\text{eq}}} \left\{ \gamma_D + \gamma_{\Delta L=1}, Y \right\}_{\alpha\beta} \right] - \left[ \sigma_2 \text{Re}(\Lambda) + \sigma_1 |\text{Im}(\Lambda)| \right] Y_{\alpha\beta}$$



# Additional contribution to CP violation:

(Nardi, Racker, Roulet '06)

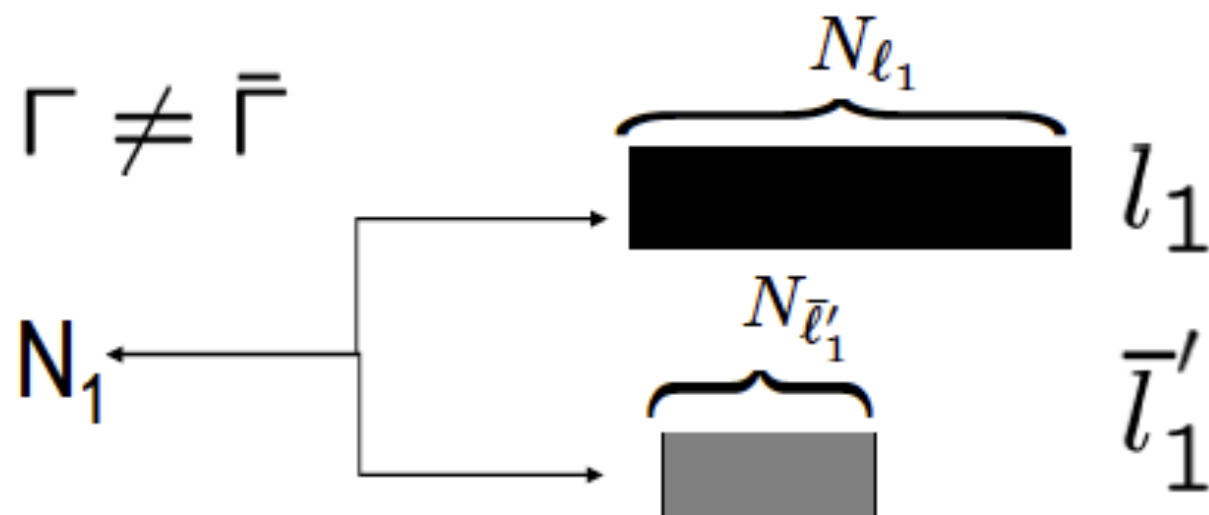
( $a = \tau, e+\mu$ )

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

depends on U!

1)

$$\Gamma \neq \bar{\Gamma}$$

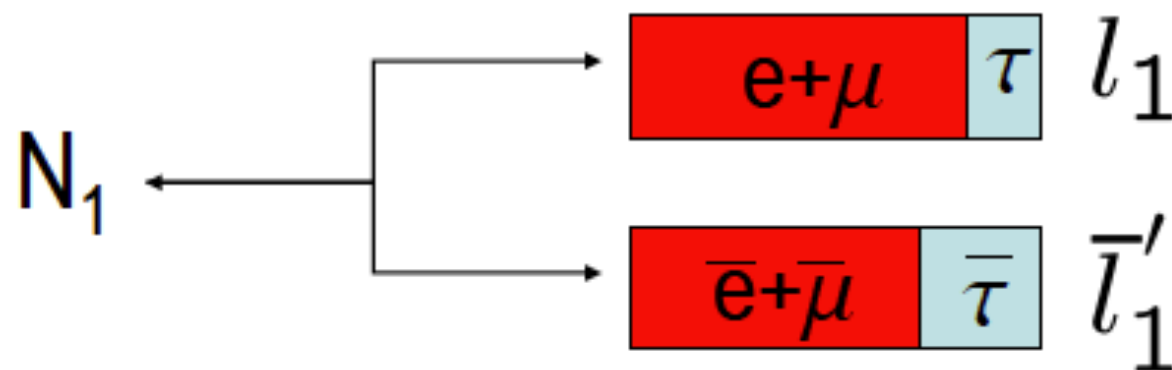


$$\Rightarrow P_{1\alpha}^0 \varepsilon_1$$

2)

$$|\bar{l}'_1\rangle \neq CP|l_1\rangle$$

+



$$\Rightarrow \frac{\Delta P_{1\alpha}}{2}$$

# Low energy phases can be the only source of CP violation

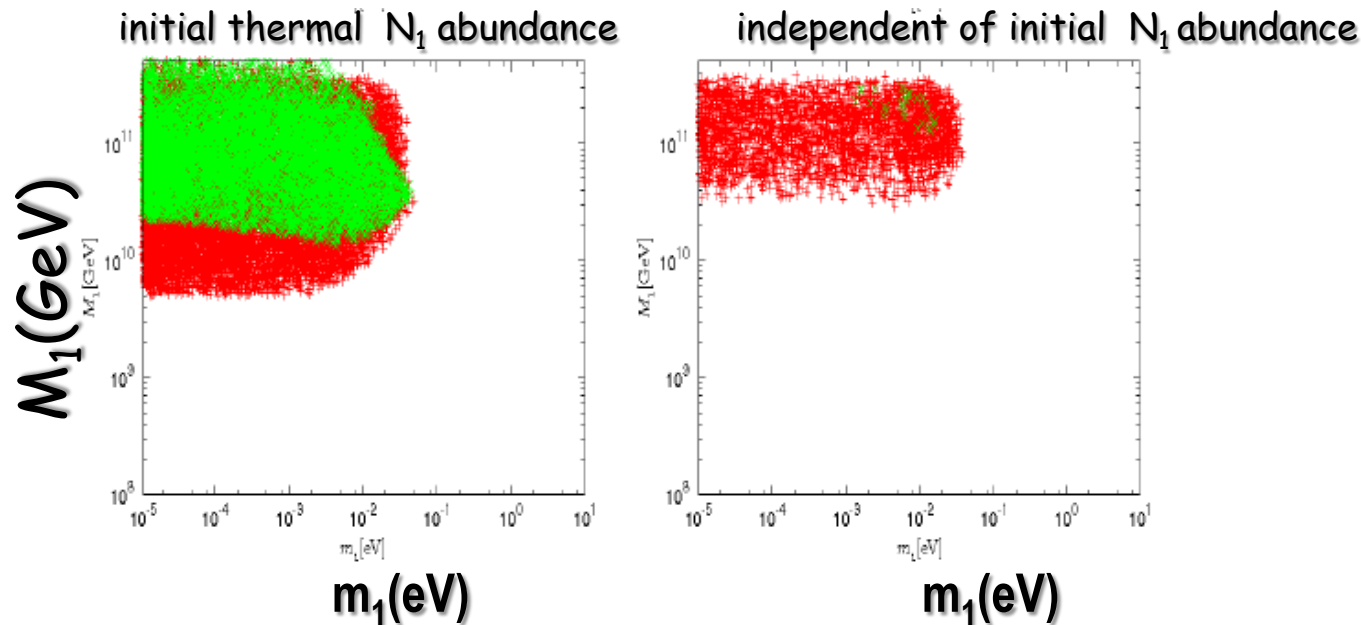
(Nardi et al. '06; Blanchet, PDB '06; Pascoli, Petcov, Riotto '06; Anisimov, Blanchet, PDB '08)

- Assume real  $\Omega \Rightarrow \varepsilon_1 = 0 \Rightarrow \varepsilon_{1\alpha} = \cancel{P_{1\alpha}^0} \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$

$\Rightarrow N_{B-L} \Rightarrow \cancel{2\varepsilon_1} k_1^{\text{fin}} + \Delta P_{1\alpha} (k_{1\alpha}^{\text{fin}} - k_{1\beta}^{\text{fin}}) \quad (\alpha = \tau, e+\mu)$

- Assume even vanishing Majorana phases

$\Rightarrow \delta$  with non-vanishing  $\theta_{13}$  ( $J_{CP} \neq 0$ ) would be the only source of CP violation  
(and testable)



Green points:  
only Dirac phase  
with  $\sin \theta_{13} = 0.2$   
 $|\sin \delta| = 1$

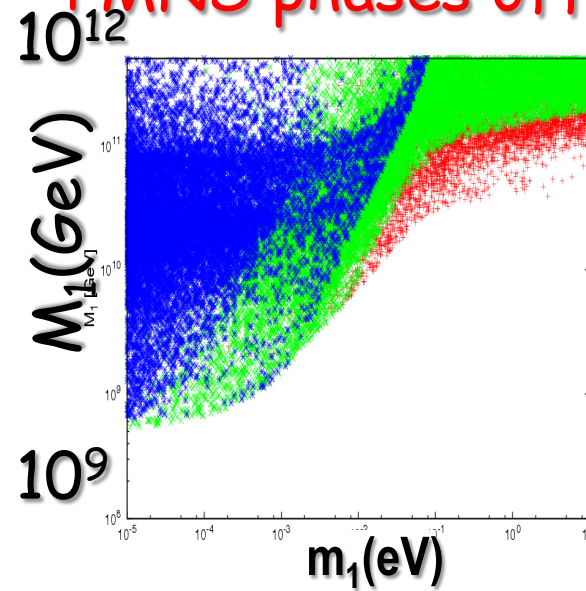
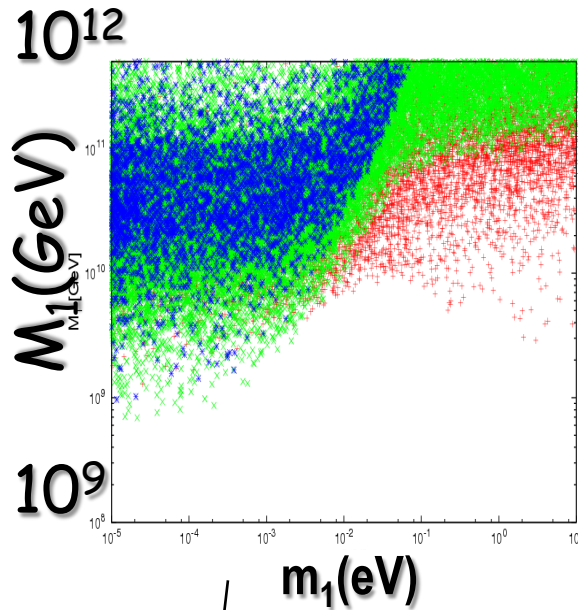
Red points:  
only Majorana  
phases

- It is interesting that the same source of CP violation in neutrino oscillations could be the only source successful leptogenesis

# Upper bound on $m_1$ in $N_1$ -dominated leptogenesis

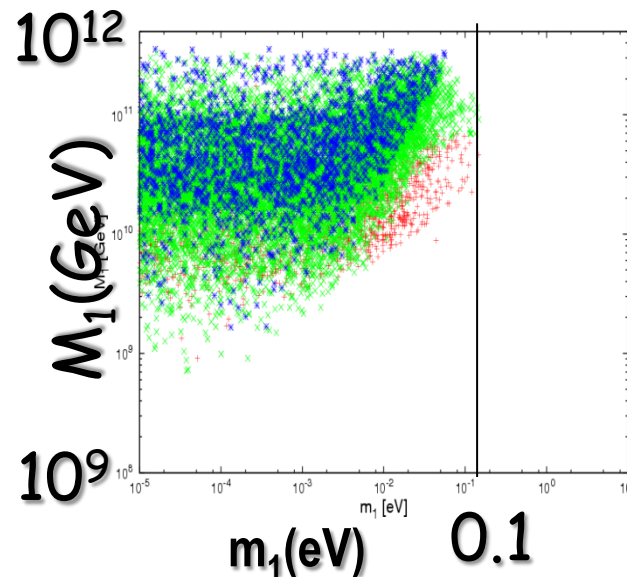
(Abada et al. '07; Blanchet,PDB,Raffelt;Blanchet,PDB '08)

PMNS phases off



$$M_1 \lesssim 10^{12} \text{ GeV}/W_1(T_B)$$

imposing a condition of validity of Boltzmann equations



# Heavy neutrino flavours: the $N_2$ -dominated scenario

(PDB '05)

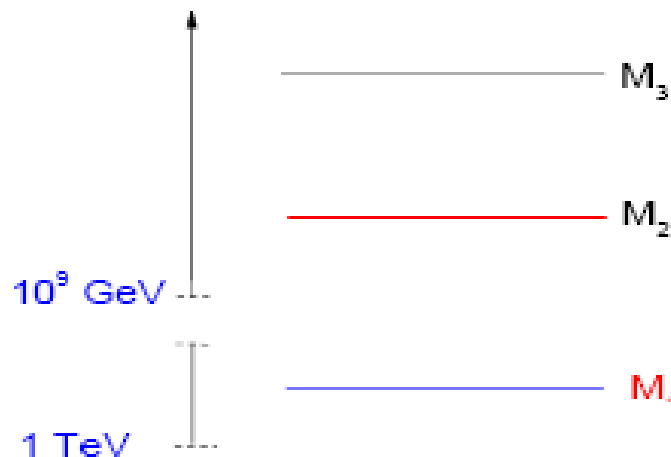
If light flavour effects are neglected the asymmetry from the next-to-lightest ( $N_2$ ) RH neutrinos is typically negligible:

$$N_{B-L}^{f, N_2} = \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_1} \ll N_{B-L}^{f, N_1} = \varepsilon_1 \kappa(K_1)$$

...except for a special choice of  $\Omega = R_{23}$  when  $K_1 = m_1/m_* \ll 1$  and  $\varepsilon_1 = 0$ :

$$\Rightarrow N_{B-L}^{\text{fin}} = \sum_i \varepsilon_i \kappa_i^{\text{fin}} \simeq \varepsilon_2 \kappa_2^{\text{fin}} \quad \varepsilon_2 \lesssim 10^{-6} \left( \frac{M_2}{10^{10} \text{ GeV}} \right)$$

The lower bound on  $M_1$  disappears and is replaced by a lower bound on  $M_2$  ...  
that however still implies a lower bound on  $T_{\text{reh}}$ !





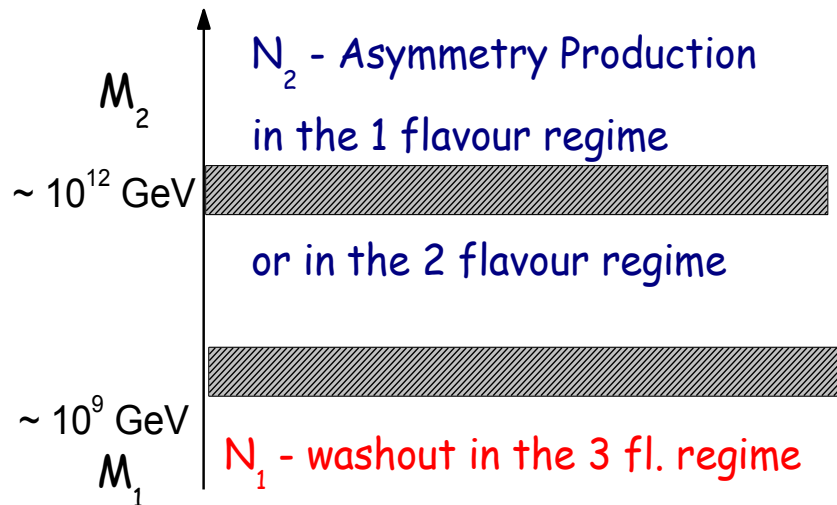
# $N_2$ -flavoured leptogenesis

(Vives '05; Blanchet, PDB '06; Blanchet, PDB '08; PDB, M. Re Fiorentin, S. King '13)

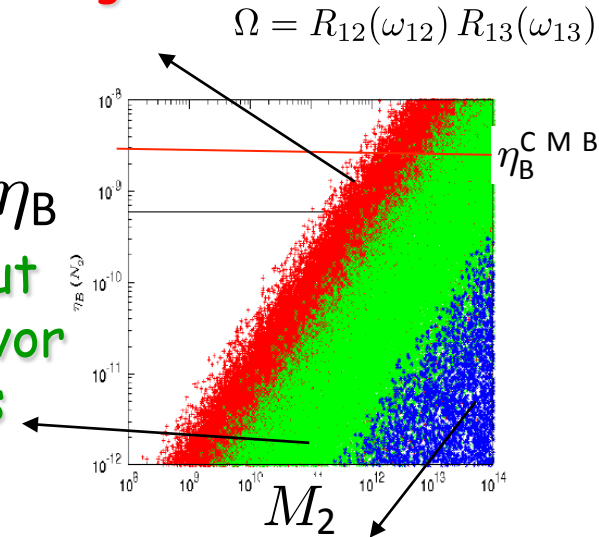
Combining together lepton and heavy neutrino flavour effects one has

A two stage process:

Wash-out is neglected



Both  $\eta_B$  wash-out and flavor effects



Unflavored case

$$N_{B-L}^f(N_2) = P_{2e}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1e}} + P_{2\mu}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\mu}} + P_{2\tau}^0 \varepsilon_2 \kappa(K_2) e^{-\frac{3\pi}{8} K_{1\tau}}$$

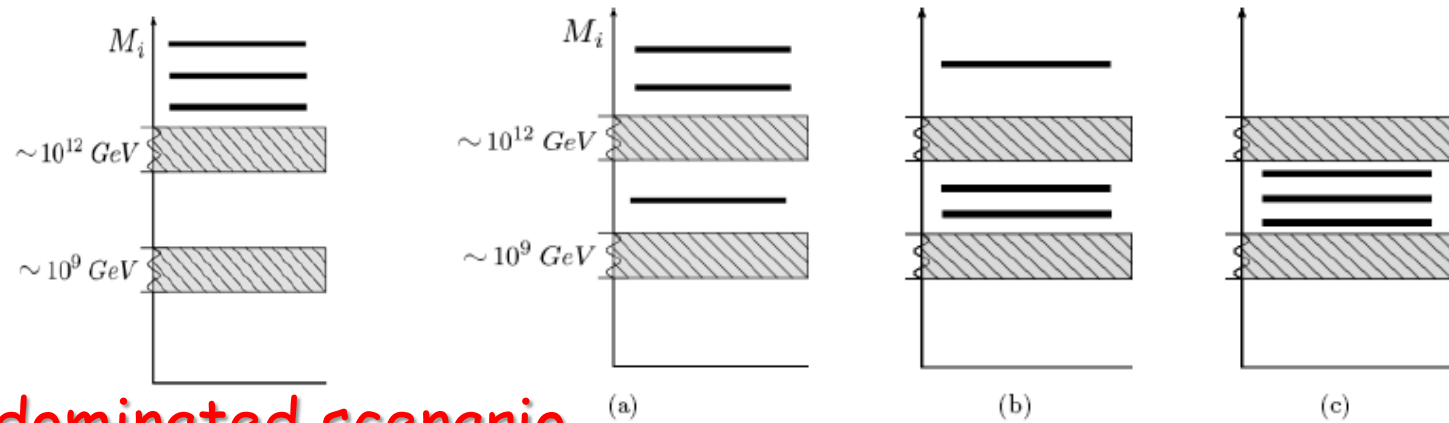
Notice that  $K_1 = K_{1e} + K_{1\mu} + K_{1\tau}$

With flavor effects the domain of applicability goes much beyond the choice  $\Omega = R_{23}$

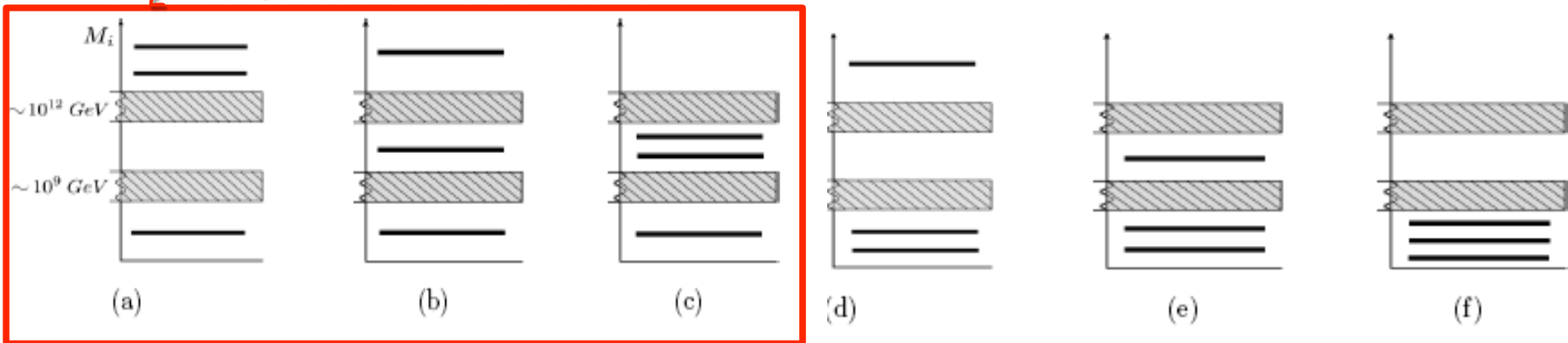
For a preliminary new general analyses see poster by M. Re Fiorentin

The existence of the heaviest RH neutrino  $N_3$  is necessary for the  $\varepsilon_{2\alpha}$  not to be negligible!

More generally one has to distinguish 10 different RH neutrino mass patterns (Bertuzzo, PDB, Marzola '10)



**$N_2$  dominated scenario**

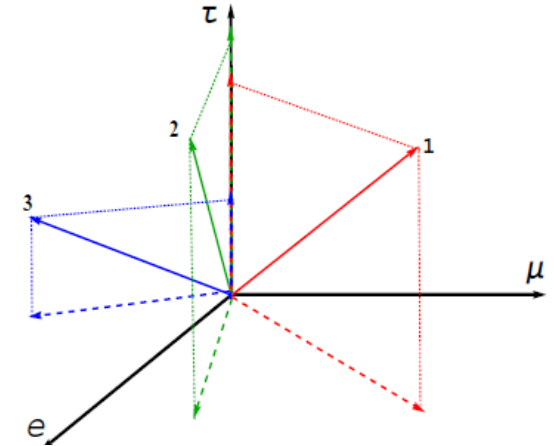


For each pattern a specific set of Boltzmann equations has to be considered but

# Density matrix formalism with heavy neutrino flavours

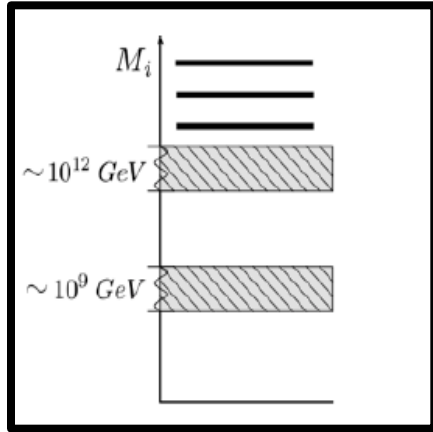
(Blanchet, PDB, Jones, Marzola '11)

For a thorough description of all neutrino mass patterns including transition regions and all effects (flavour projection, phantom leptogenesis,...) one needs a description in terms of a density matrix formalism. The result is a "monster" equation:

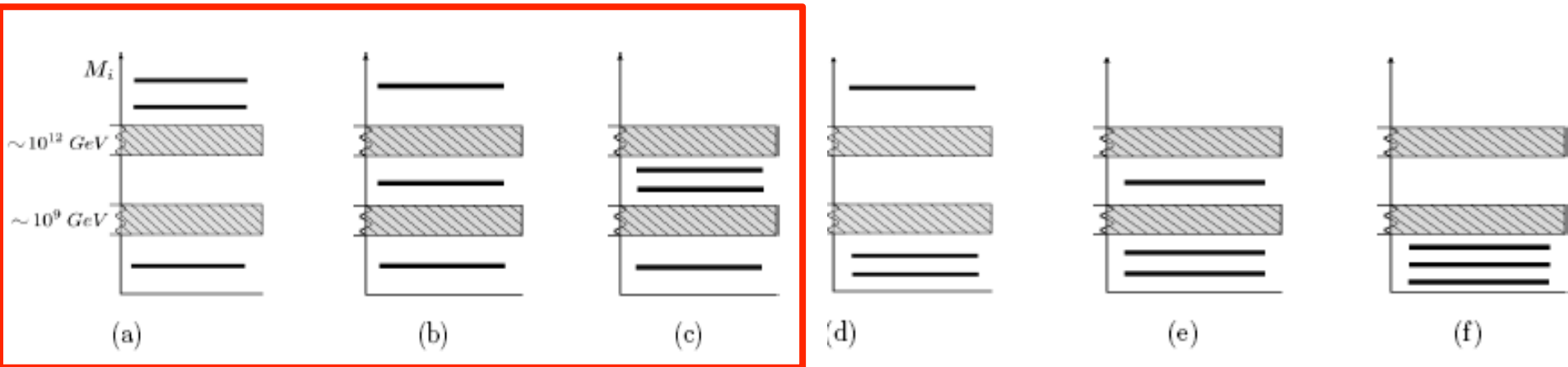
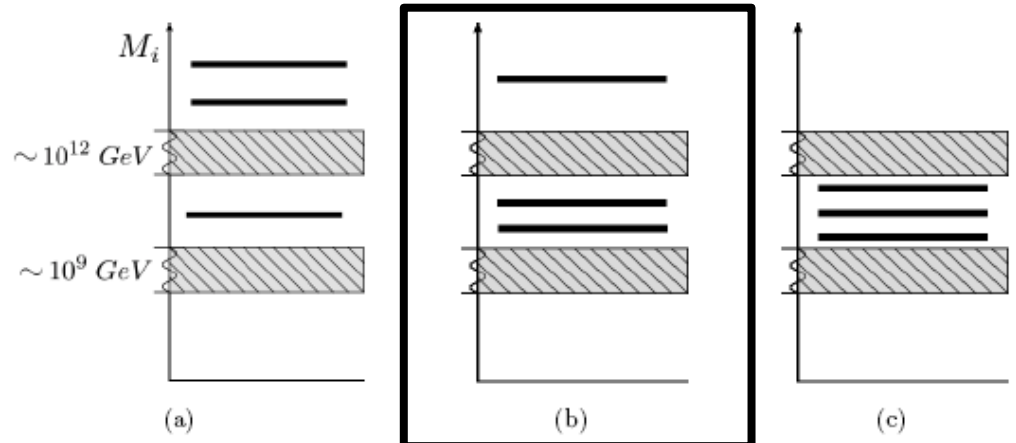


$$\begin{aligned}
 \frac{dN_{\alpha\beta}^{B-L}}{dz} = & \varepsilon_{\alpha\beta}^{(1)} D_1 (N_{N_1} - N_{N_1}^{\text{eq}}) - \frac{1}{2} W_1 \{ \mathcal{P}^{0(1)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(2)} D_2 (N_{N_2} - N_{N_2}^{\text{eq}}) - \frac{1}{2} W_2 \{ \mathcal{P}^{0(2)}, N^{B-L} \}_{\alpha\beta} \\
 & + \varepsilon_{\alpha\beta}^{(3)} D_3 (N_{N_3} - N_{N_3}^{\text{eq}}) - \frac{1}{2} W_3 \{ \mathcal{P}^{0(3)}, N^{B-L} \}_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\tau) \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta} \\
 & + i \text{Re}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{\ell+\bar{\ell}} \right]_{\alpha\beta} - \text{Im}(\Lambda_\mu) \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \left[ \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, N^{B-L} \right] \right]_{\alpha\beta} .
 \end{aligned} \tag{80}$$

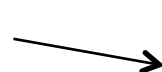
# Heavy neutrino flavored scenario



# 2 RH neutrino scenario



$N_2$ -dominated scenario



Particularly attractive for two reasons

It is just that one realised in so called  $SO(10)$ -inspired models

# SO(10)-inspired leptogenesis

( Branco et al. '02; Nezri, Orloff '02; Akhmedov, Frigerio, Smirnov '03)

In general the **neutrino Dirac mass matrix**  $m_D$  can be written (in the basis where the Majorana mass and charged lepton mass matrices are diagonal) as

$$m_D = V_L^\dagger D_{m_D} U_R$$

$$D_{m_D} = \text{diag}\{\lambda_{D1}, \lambda_{D2}, \lambda_{D3}\}$$

SO(10)-inspired conditions:

$$\lambda_{D1} = \alpha_1 m_u, \lambda_{D2} = \alpha_2 m_c, \lambda_{D3} = \alpha_3 m_t, \quad (\alpha_i = \mathcal{O}(1))$$

$$V_L \simeq V_{CKM} \simeq I$$

From the seesaw formula one can express:

$$U_R = U_R(U, m_i; \alpha_i, V_L), \quad M_i = M_i(U, m_i; \alpha_i, V_L) \Rightarrow \eta_B = \eta_B(U, m_i; \alpha_i, V_L)$$

one typically obtains (barring fine-tuned 'crossing level' solutions):

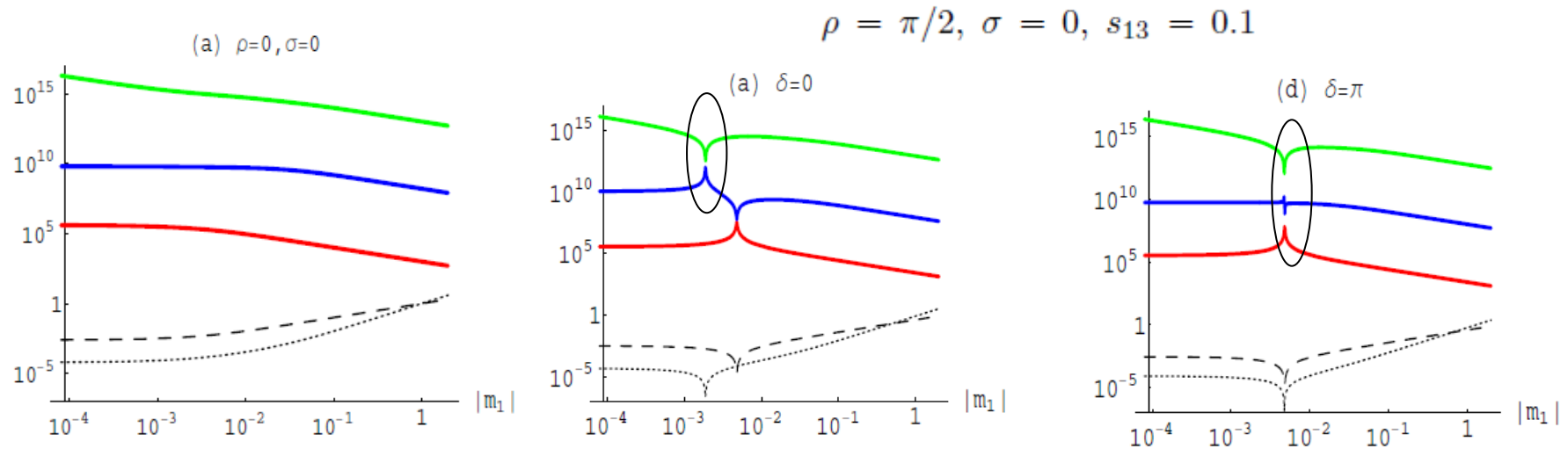
$$M_1 \gg \alpha_1^2 10^5 \text{ GeV}, \quad M_2 \gg \alpha_2^2 10^{10} \text{ GeV}, \quad M_3 \gg \alpha_3^2 10^{15} \text{ GeV}$$

$$\text{since } M_1 \ll 10^9 \text{ GeV} \Rightarrow \eta_B(N_1) \ll \eta_B^{\text{CMB}} !$$

$\Rightarrow$  failure of the  $N_1$ -dominated scenario !

# Crossing level solutions

(Akhmedov, Frigerio, Smirnov '03)



**At the crossing the CP asymmetries undergo a resonant enhancement** (Covi, Roulet, Vissani '96; Pilaftsis '98; Pilaftsis, Underwood '04; ...)

The measured  $\eta_B$  can be attained for a fine tuned choice of parameters: many models have made use of these solutions but as we will see there is another option



# The $N_2$ -dominated scenario rescues $SO(10)$ inspired models

(PDB, Riotto '08)

$$N_{B-L}^f \simeq \varepsilon_{2e} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1e}} + \varepsilon_{2\mu} \kappa(K_{2e+\mu}) e^{-\frac{3\pi}{8} K_{1\mu}} + \varepsilon_{2\tau} \kappa(K_{2\tau}) e^{-\frac{3\pi}{8} K_{1\tau}}.$$

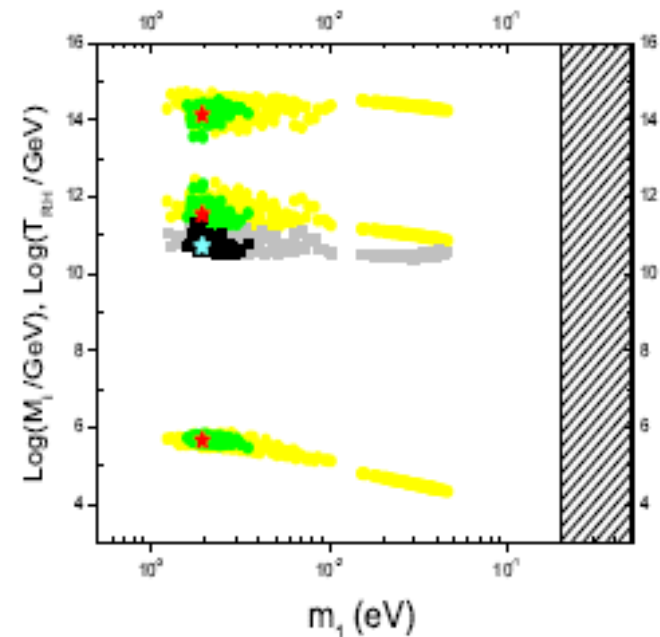
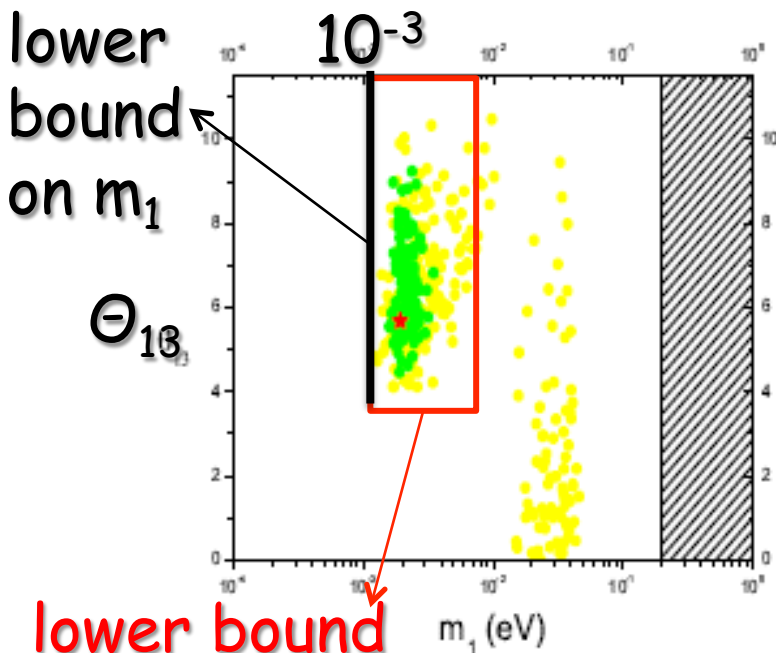
Independent of  $\alpha_1$  and  $\alpha_3$  !

$\alpha_2=5$

$\alpha_2=4$

$\alpha_2=3$

$V_L = \mathbf{I}$  Normal ordering  
(vanishing initial  $N_2$ -abundance)



The model yields constraints on all low energy neutrino observables !

(PDB, Riotto '08)

$M_i$

$\Theta_{13}$

$\Theta_{23}$

$$I \leq V_L \leq V_{CKM}$$

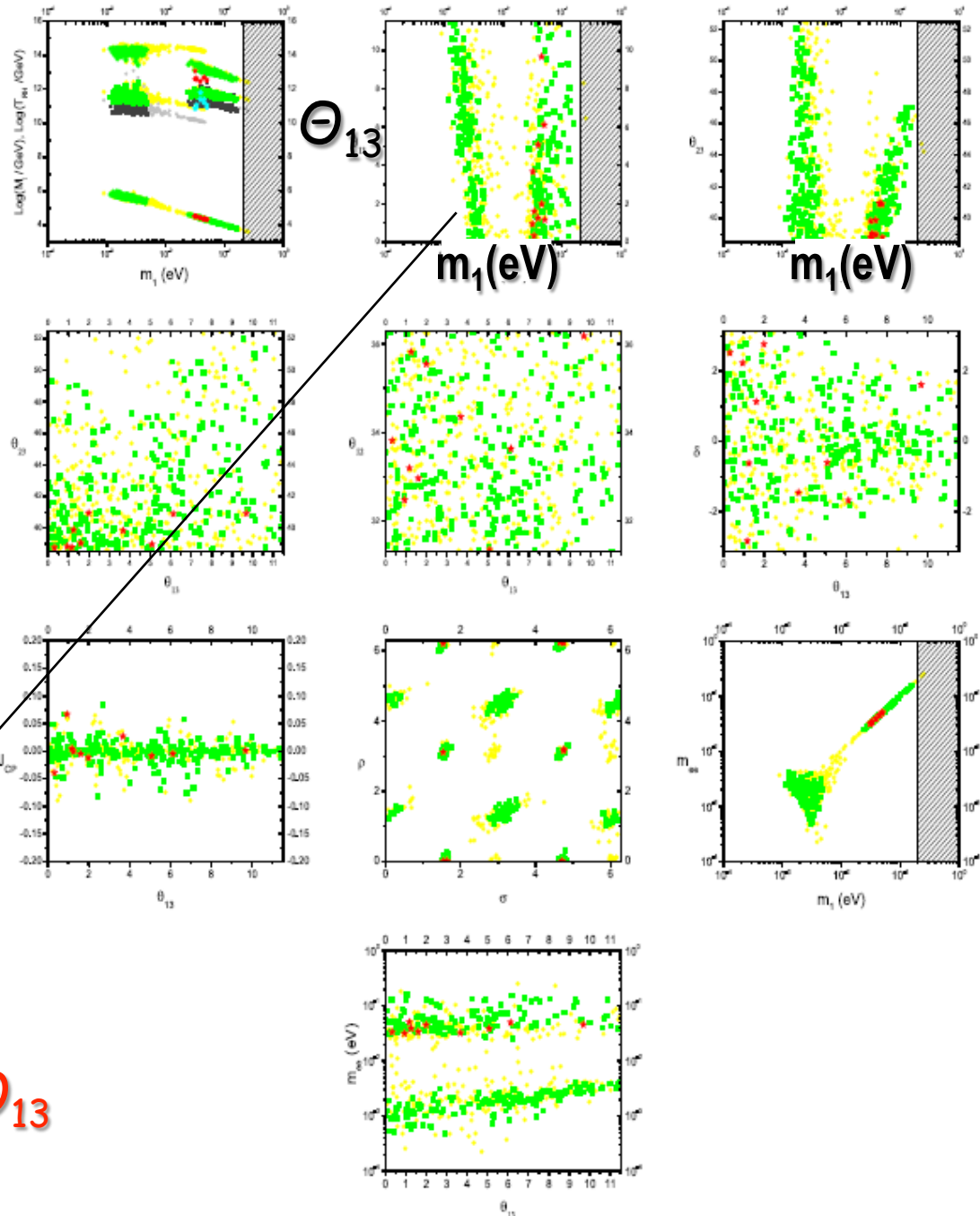
NORMAL  
ORDERING

$$\alpha_2=5$$

$$\alpha_2=4$$

$$\alpha_2=1$$

No lower bound on  $\Theta_{13}$



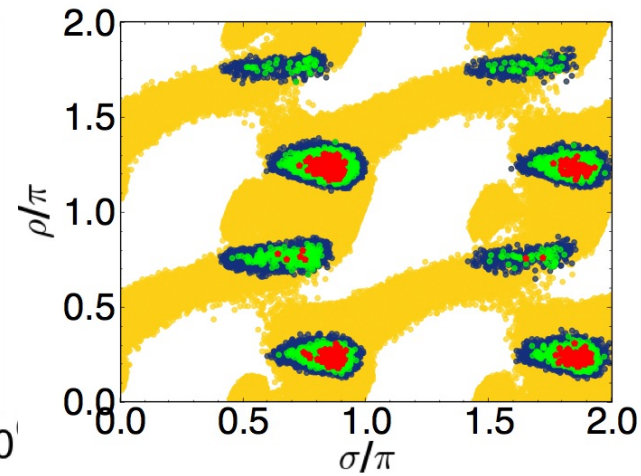
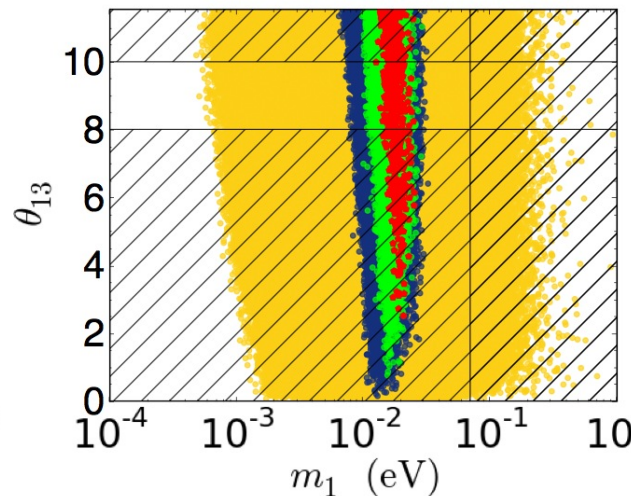
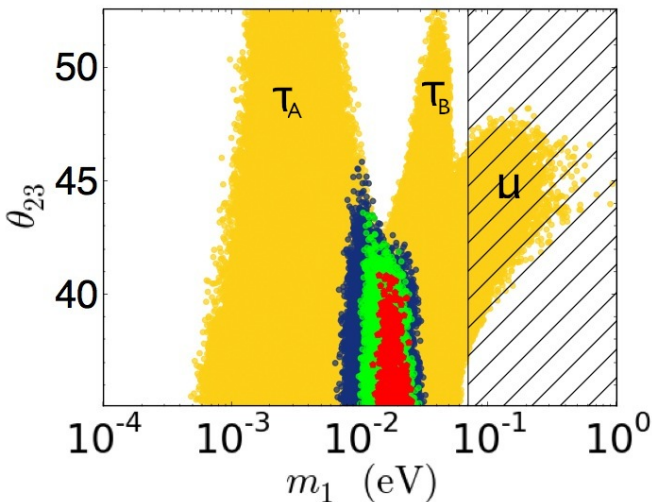
# An improved analysis

(PDB, Marzola '13)

We optimised the procedure increasing of two orders of magnitudes the number of solutions (focus on yellow points right now!):

$\alpha_2=5$  NORMAL ORDERING

$$I \leq V_L \leq V_{CKM}$$

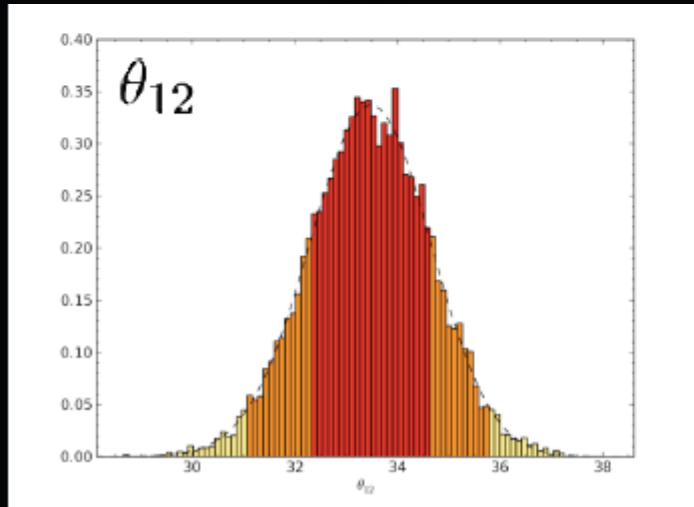
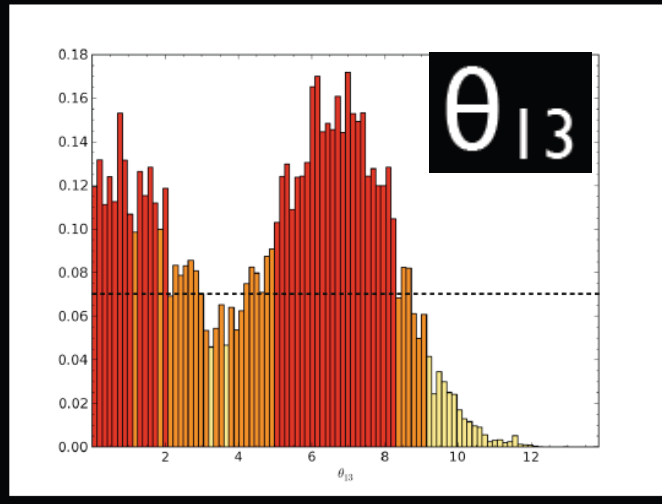


Why? Just to have sharper borders ? NO, two important reasons:

- i) statistical analysis
- ii) .....to obtain the blue green and red points

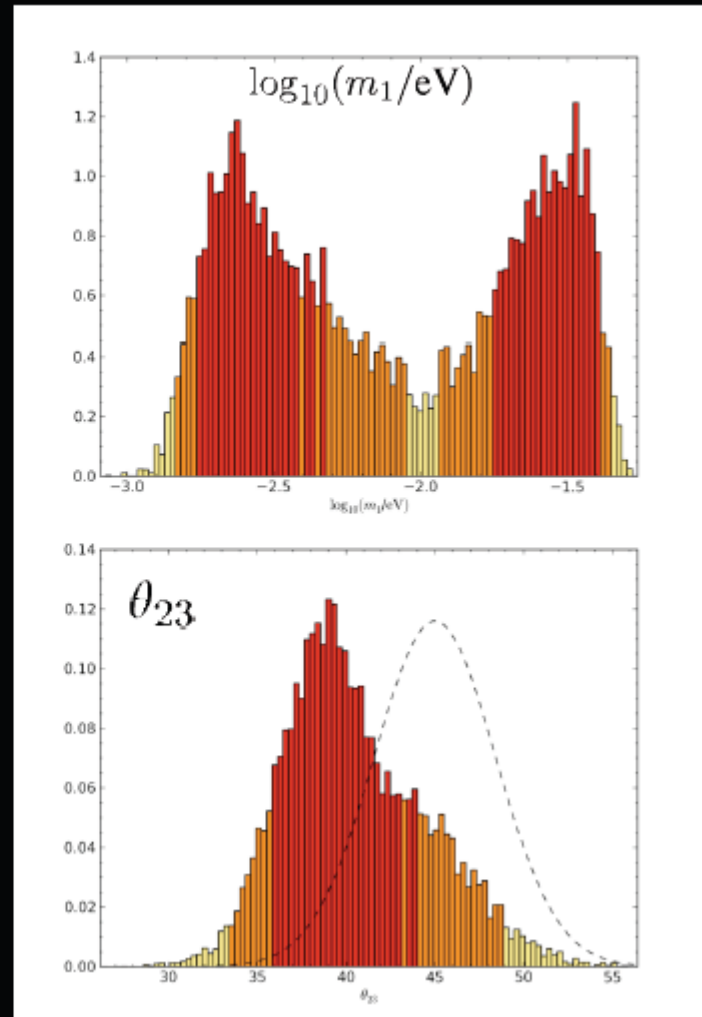
# Statistical analysis

P. Di Bari, L. M., S. Huber, S. Peeters - work in progress

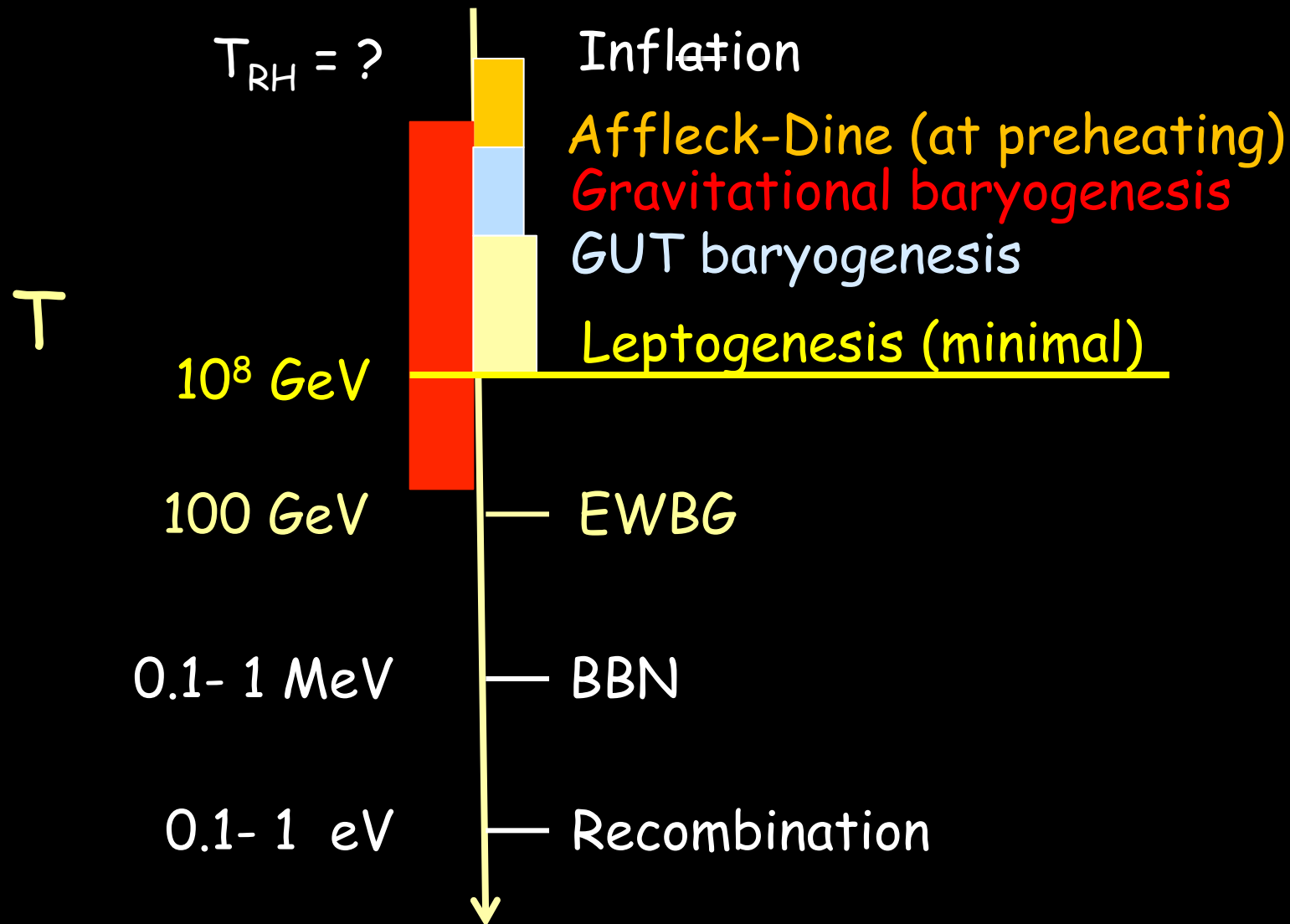


68% C.L.

95% C.L.



# Baryogenesis and the early Universe history

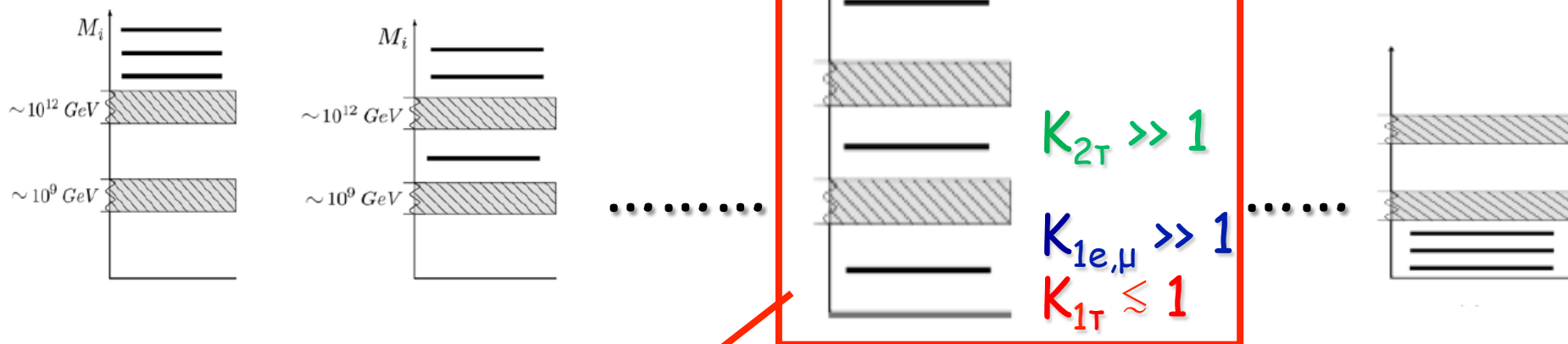


(Bertuzzo,PDB,Marzola '10)

Residual "pre-existing" asymmetry possibly generated by some external mechanism

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f}$$

Asymmetry generated from leptogenesis



The conditions for the wash-out of a pre-existing asymmetry ('**strong thermal leptogenesis**') can be realised only within a  $N_2$ -dominated scenario where the final asymmetry is dominantly produced in the **tauon flavour**

This mass pattern is just that one realized in the SO(10) inspired models: **can they realise strong thermal leptogenesis?**



# SO(10)-inspired+strong thermal leptogenesis

(PDB, Marzola '13)

$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.1 \pm 0.1) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

**There are NO Solutions for Inverted Ordering !**

**But for Normal Ordering there is a subset with definite predictions**

**NON-VANISHING REACTOR MIXING ANGLE**

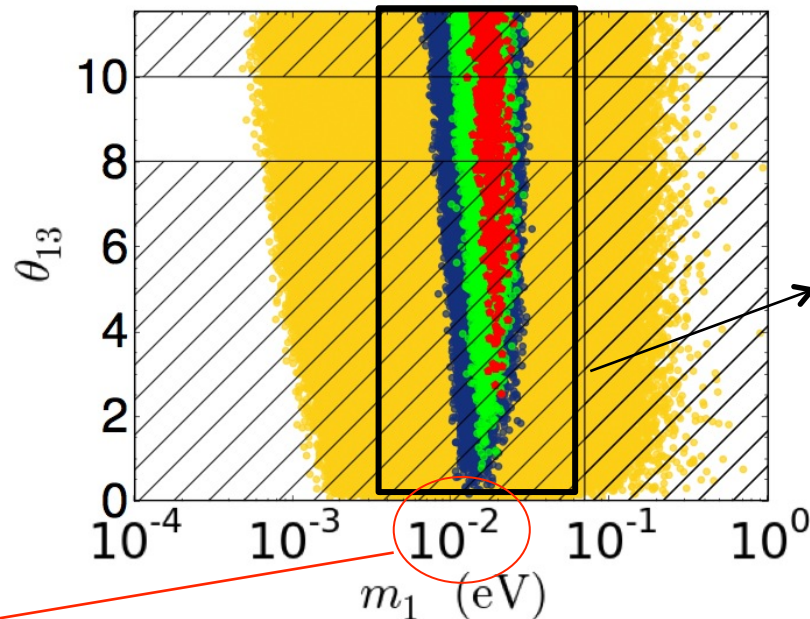
$$N_{B-L}^{p,f} = 0$$

$$0.001$$

$$0.01$$

$$0.1$$

$$\alpha_2 = 5$$



non-  
vanishing  
 $\Theta_{13}$   
(green and  
red points)

$m_1$  is constrained in a narrow range (10-30 meV) corresponding to  $\sum_i m_i = 85$



# SO(10)-inspired+strong thermal leptogenesis

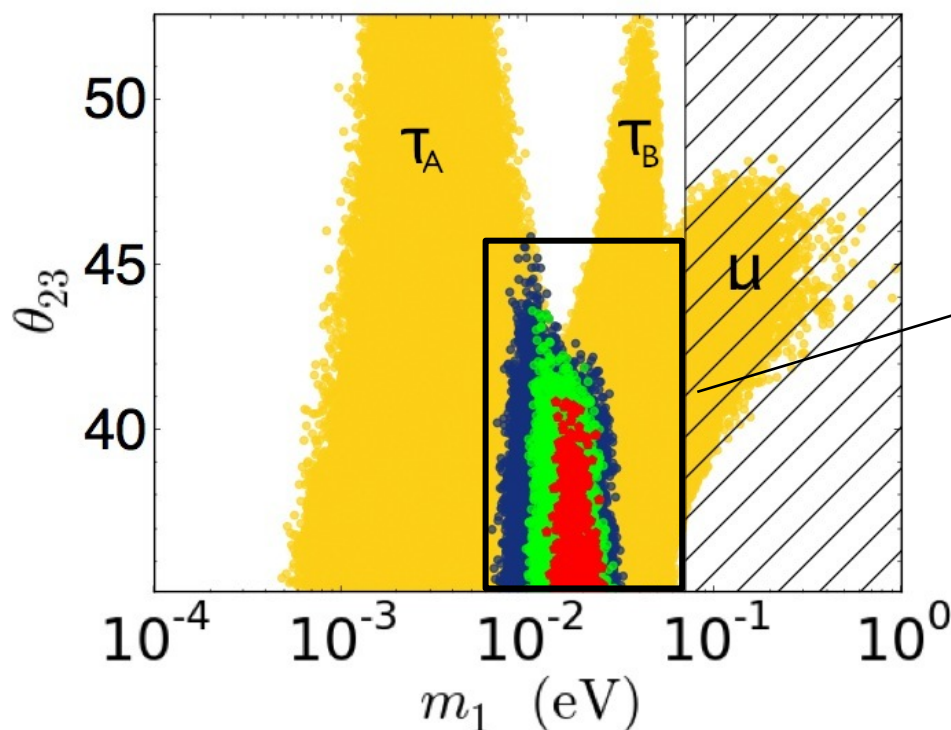
$$N_{B-L}^f = N_{B-L}^{p,f} + N_{B-L}^{lep,f},$$

Imposing both successful SO(10)-inspired leptogenesis  
 $\eta_B = \eta_B^{CMB} = (6.1 \pm 0.1) \times 10^{-10}$  and  $N_{B-L}^{p,f} \ll N_{B-L}^{lep,f}$

## UPPER BOUND ON THE ATMOSPHERIC MIXING ANGLE

$$N_{B-L}^p = \begin{cases} 0 \\ 0.001 \\ 0.01 \\ 0.1 \end{cases}$$

$$\alpha_2 = 5$$

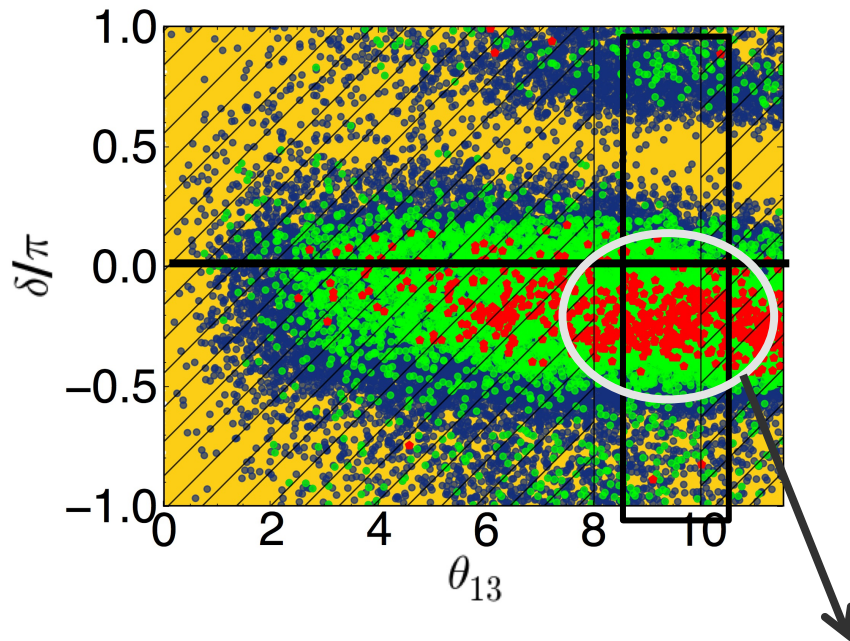


Atmospheric  
mixing  
angle in the  
first octant

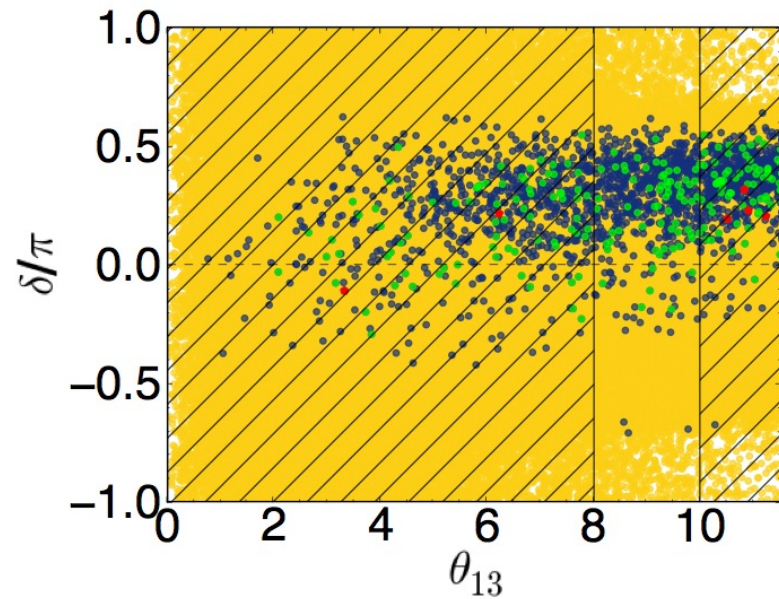
# SO(10)-inspired+strong thermal leptogenesis

LINK BETWEEN THE SIGN OF  $J_{CP}$  AND THE SIGN OF THE ASYMMETRY

$$\eta_B = \eta_B^{CMB}$$



$$\eta_B = -\eta_B^{CMB}$$



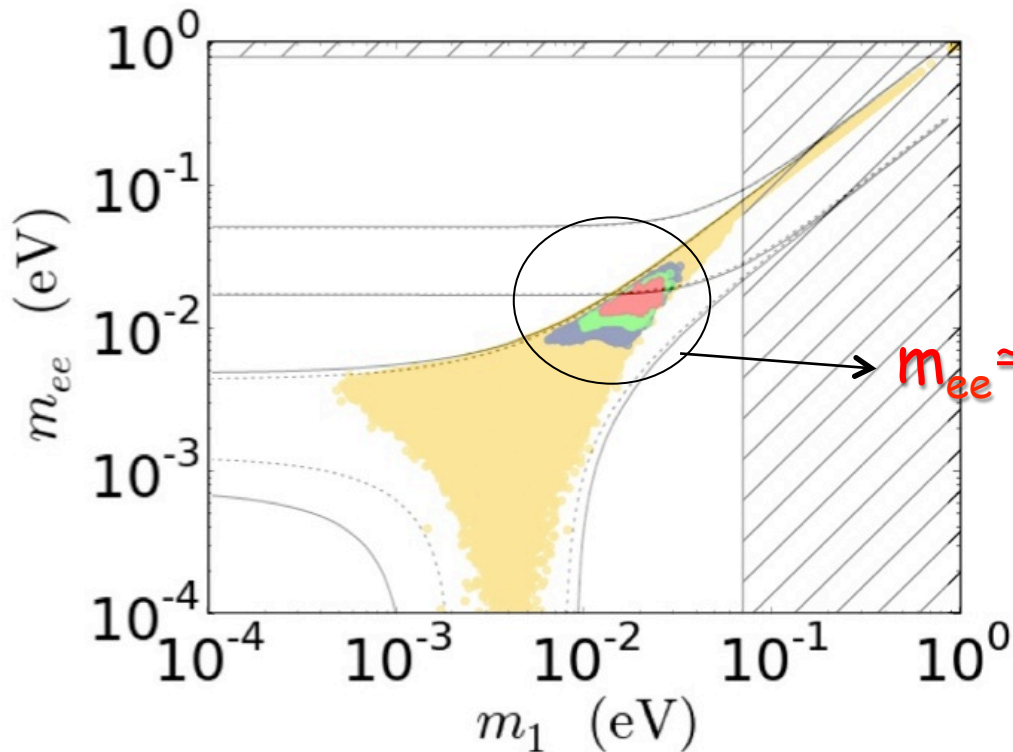
A Dirac phase  $\delta \sim -45^\circ$  is favoured for large  $\theta_{13}$

# SO(10)-inspired+strong thermal leptogenesis

## NEUTRINOLESS DOUBLE BETA DECAY EFFECTIVE MASS

$N_{B-L} =$  0  
0.001  
0.01  
0.1

$\alpha_2=5$



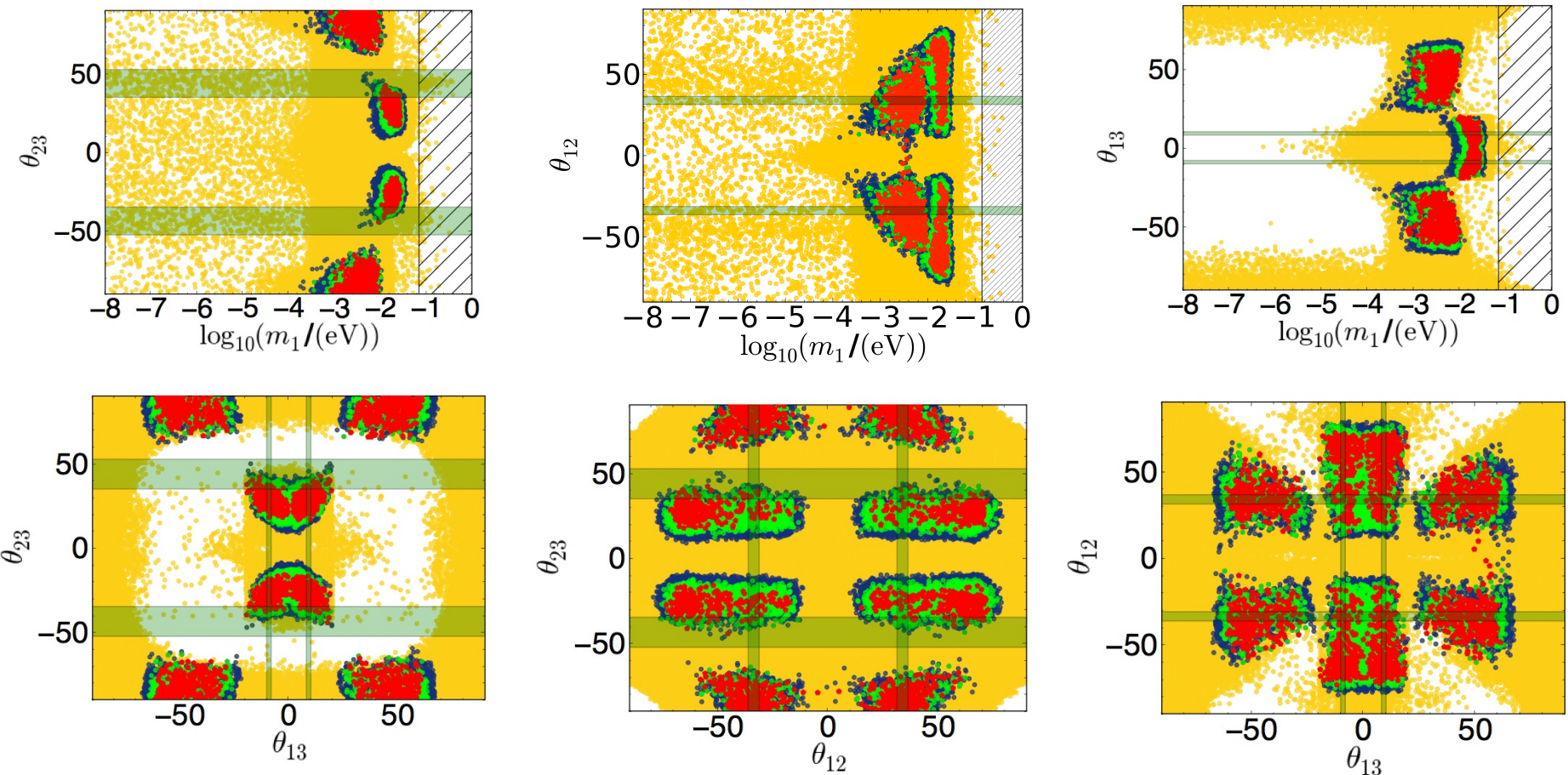
$m_{ee} \approx 0.8m_1 \approx 15 \text{ meV}$



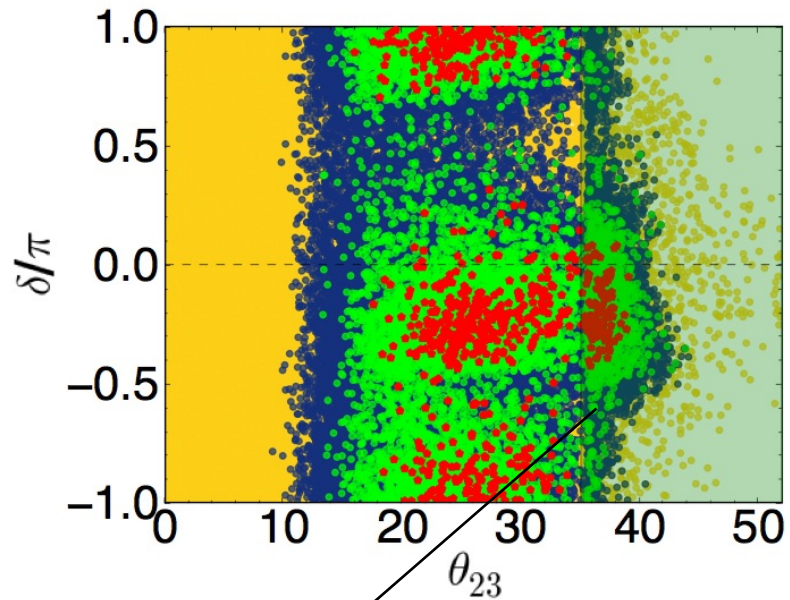
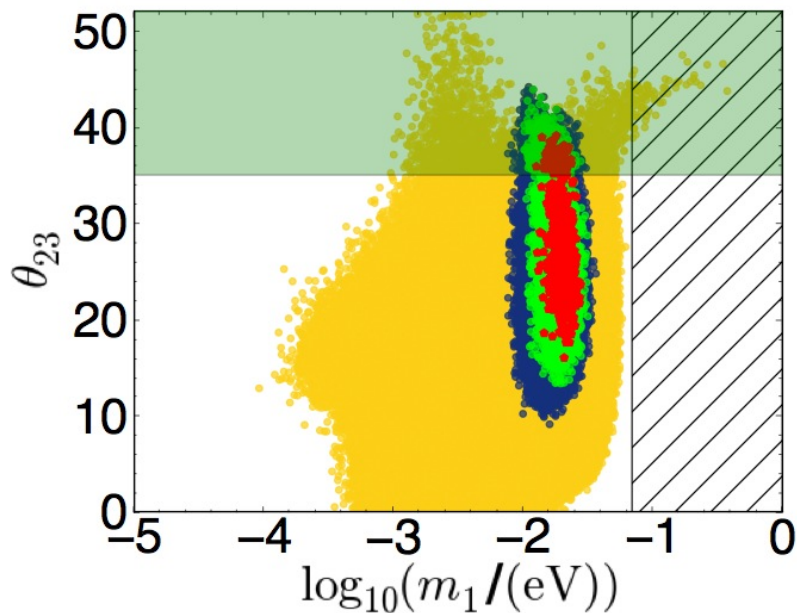
# Strong thermal SO(10)-inspired leptogenesis: is it on the right track?

(PDB, Marzola '13)

If we do not plug any experimental information (mixing angles left completely free) :



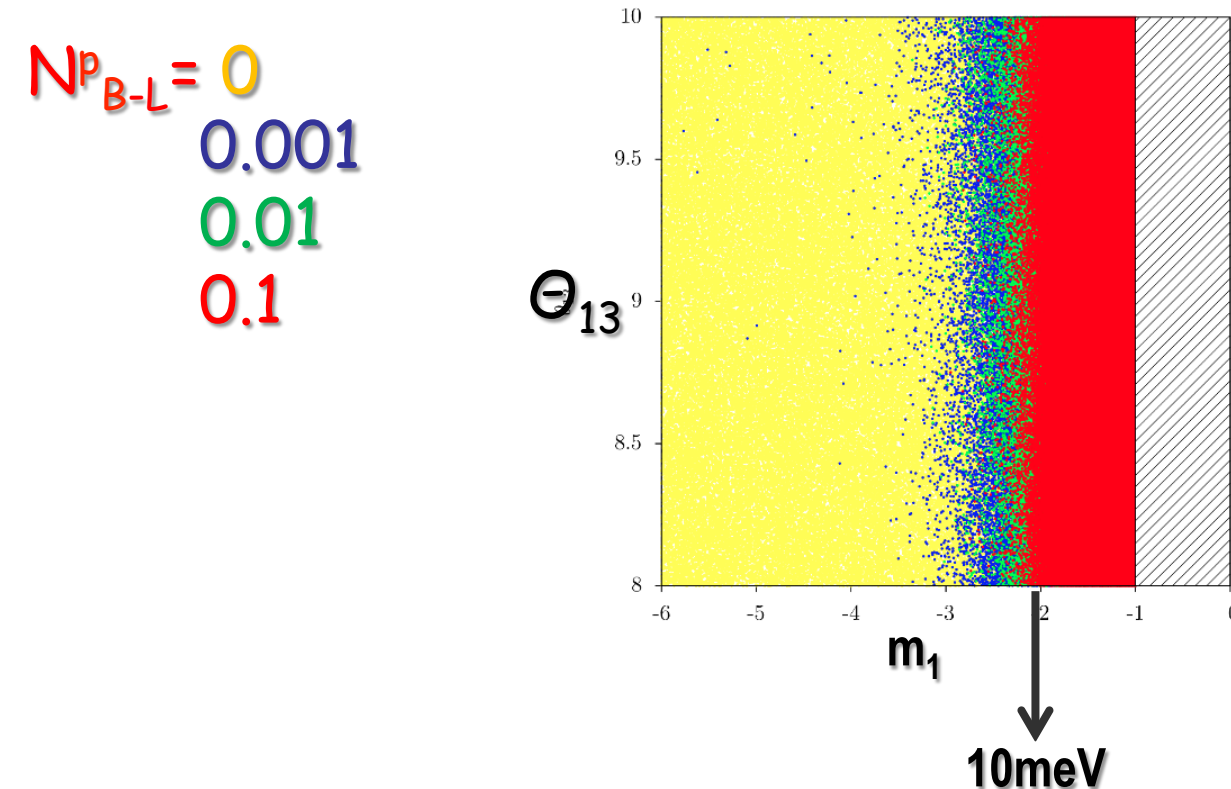
# Strong thermal $SO(10)$ -inspired leptogenesis: the atmospheric mixing angle test



The allowed range for the Dirac phase gets narrower at large values of  $\theta_{23} \gtrsim 35^\circ$

# Strong thermal leptogenesis and the absolute neutrino mass scale

(PDB, M. Re Fiorentin, preliminary, see poster by Michele Re Fiorentin)



Strong thermal (minimal) leptogenesis supports values of neutrino masses that could give a signal during next years in cosmological observations and in  $00\beta\nu$  experiments



# Conclusion

The **interplay between heavy neutrino and charged lepton flavour effects** introduces many new ingredients in the calculation of the final asymmetry and a density matrix formalism becomes more necessary for a correct calculation of the asymmetry

All this finds a nice application in **SO(10)-inspired leptogenesis**

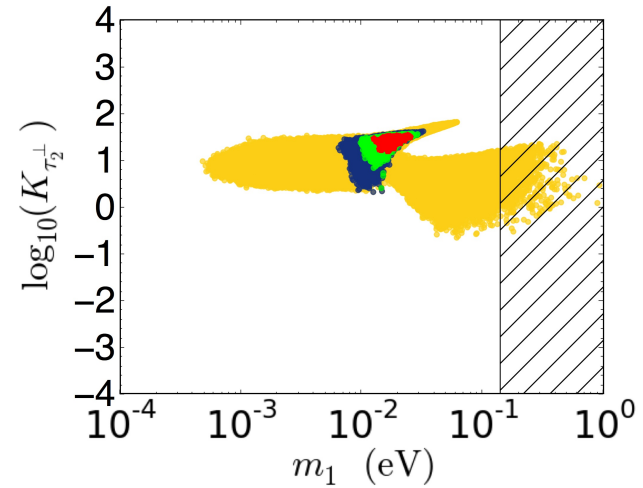
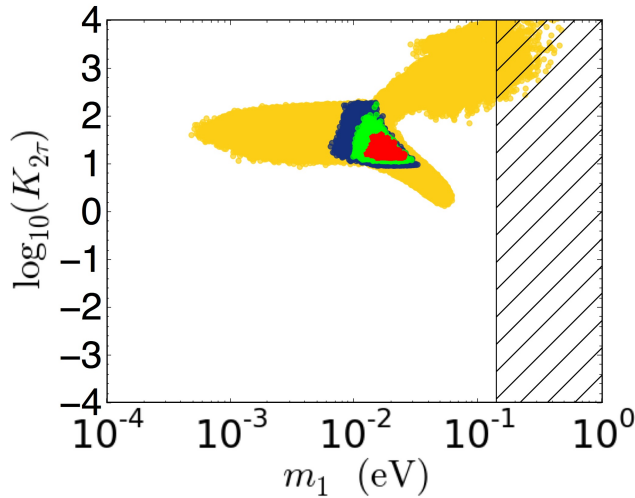
- SO(10)-inspired leptogenesis is not only viable but even a subset of the solutions is able to satisfy quite a tight condition: the ***independence of the initial conditions (strong thermal leptogenesis)***

**Strong thermal  
SO(10)-inspired  
leptogenesis  
solution**

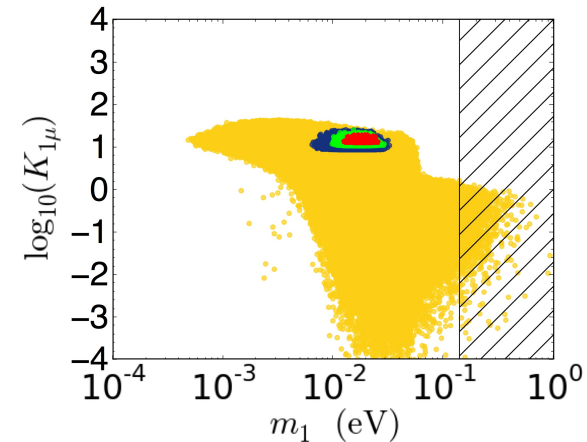
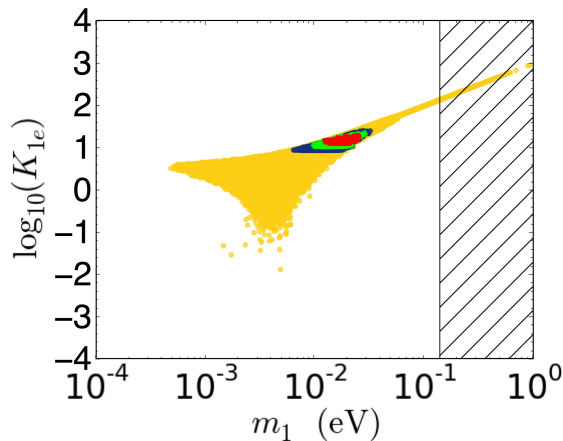
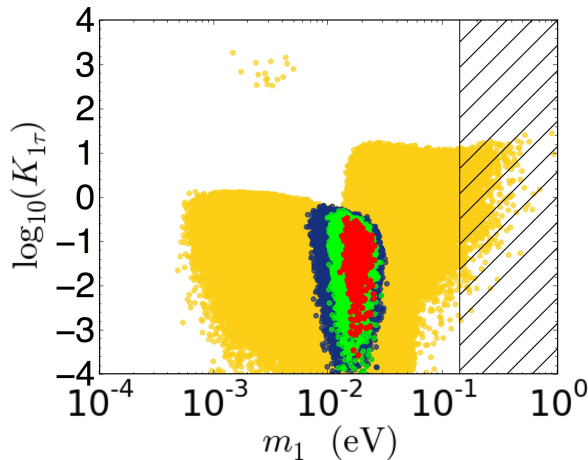
ORDERING	NORMAL
$\theta_{13}$	$\gtrsim 2^\circ$
$\theta_{23}$	$\lesssim 41^\circ$
$\delta$	$\sim -40^\circ$
$m_{ee} \approx 0.8 m_1$	$\approx 15 \text{ meV}$

# Some insight from the decay parameters

At the  
production  
( $T \sim M_2$ )



At the wash-out ( $T \sim M_1$ )



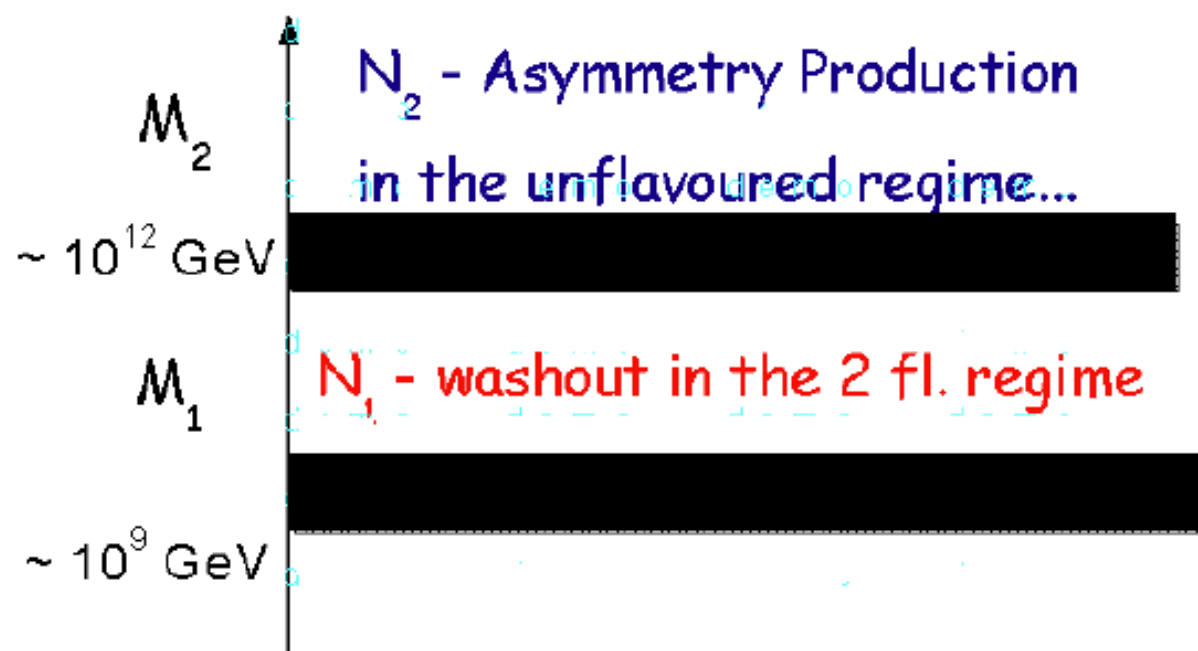
# Interplay between lepton and heavy neutrino flavour effects:

- **$N_2$  flavoured leptogenesis**  
( Vives '05; Blanchet, PDB '06; Blanchet, PDB '08)
- **Phantom leptogenesis**  
( Antusch, PDB, King, Jones '10;  
Blanchet, PDB, Jones, Marzola '11)
- **Flavour projection**  
( Barbieri, Creminelli, Stumia, Tetradis '00;  
Engelhard, Grossman, Nardi, Nir '07)
- **Flavour coupling**  
(Abada, Josse Michaux '07, Antusch, PDB, King, Jones '10)

# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Consider this situation



What happens to  $N_{B-L}$  at  $T \sim 10^{12} \text{ GeV}$ ?

How does it split into a  $N_{\Delta T}$  component and into a  $N_{\Delta e+\mu}$  component?

One could think:

$$N_{\Delta T} = p_{2T} N_{B-L},$$

$$N_{\Delta e+\mu} = p_{2e+\mu} N_{B-L}$$

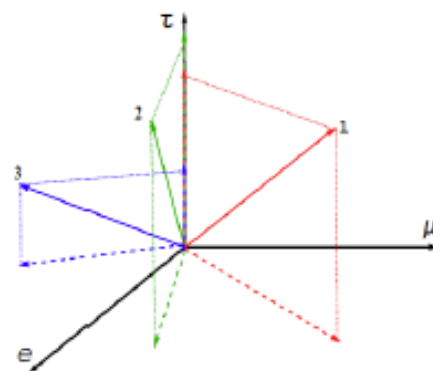
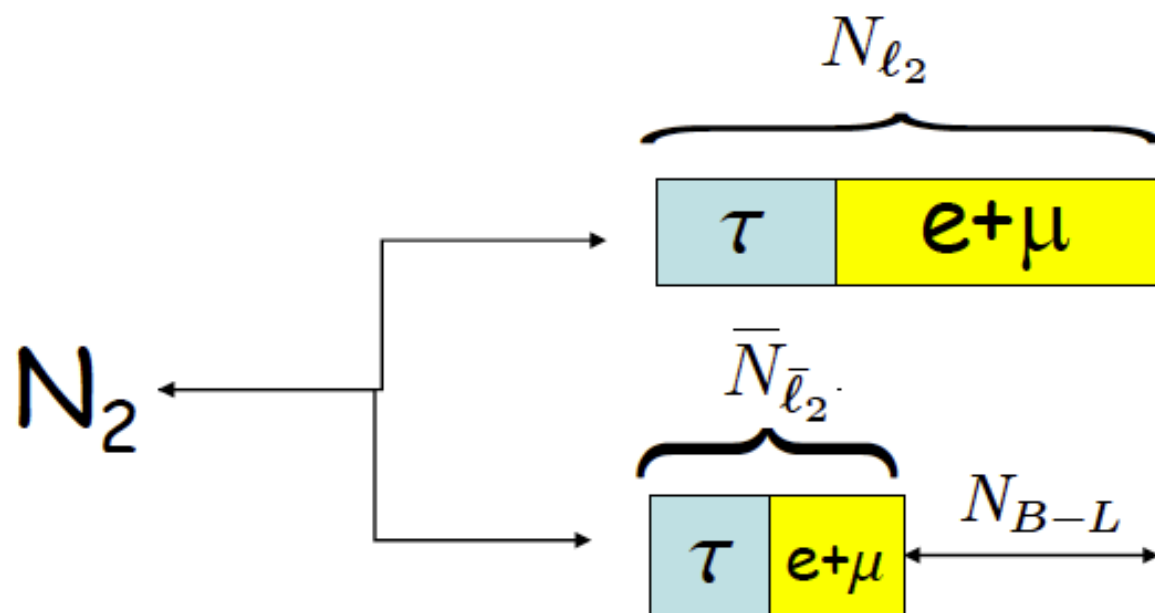
# Phantom terms

However one has to consider that in the unflavoured case there are contributions to  $N_{\Delta\tau}$  and  $N_{\Delta e+\mu}$  that are not just proportional to  $N_{B-L}$

Remember that:

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

Assume an initial thermal  $N_2$ -abundance at  $T \sim M_2 \gg 10^{12}$  GeV



# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Let us then consider a situation where  $K_2 \gg 1$  so that at the end of the  $N_2$  washout the total asymmetry is negligible:

1)  $T \sim M_2$  : unflavoured regime

$\tau$	$e+\mu$
$\bar{\tau}$	$\overline{e+\mu}$

$$\Rightarrow N_{B-L}^{T \sim M_2} \simeq 0 !$$

2)  $10^{12} \text{ GeV} \gtrsim T \gg M_1$  : decoherence  $\Rightarrow$  2 flavoured regime

$$N_{B-L}^{T \sim M_2} = N_{\Delta\tau}^{T \sim M_2} + N_{\Delta_{e+\mu}}^{T \sim M_2} \simeq 0 !$$

3)  $T \simeq M_1$  : asymmetric washout from lightest RH neutrino

Assume  $K_{1\tau} \lesssim 1$  and  $K_{1e+\mu} \gg 1$

$$N_{B-L}^f \simeq N_{\Delta\tau}^{T \sim M_2} !$$

The  $N_1$  wash-out un-reveal the phantom term and effectively it creates a  $N_{B-L}$  asymmetry.

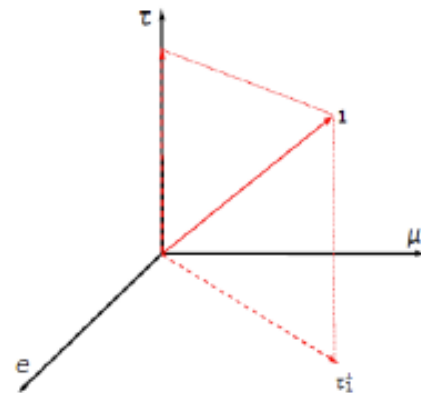


# Phantom Leptogenesis within a density matrix formalism

(Blanchet, PDB, Marzola, Jones '11-12')

In a picture where the gauge interactions are neglected the lepton and anti-leptons density matrices can be written as:

$$N_{\Delta\tau}^{\text{phantom}} = \frac{\Delta p_{2\tau}}{2} N_{N_2}^{\text{in}}$$



**There is a recent update (see 1112.4528 v2 to appear in JCAP)**

Because of the presence of gauge interactions, the difference of flavour composition between lepton and anti-leptons is measured and this induces a wash-out of the phantom terms from Yukawa interactions though with halved wash-out rate compared to the one acting on the total asymmetry and in the end:

$$N_{\tau\tau}^{B-L,f} \simeq p_{2\tau}^0 N_{B-L}^f - \frac{\Delta p_{2\tau}}{2} \kappa(K_2/2),$$

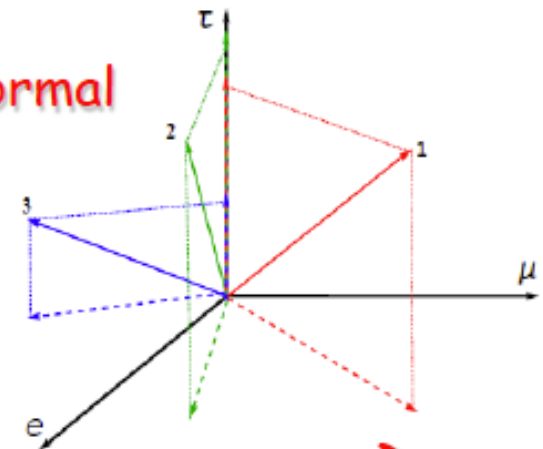
# Flavour projection

(Engelhard, Nir, Nardi '08 , Bertuzzo,PDB,Marzola '10)

Assume  $M_{i+1} \gtrsim 3M_i$  ( $i=1,2$ )

The heavy neutrino flavour basis cannot be orthonormal otherwise the CP asymmetries would vanish: this complicates the calculation of the final asymmetry

$$p_{ij} = |\langle \ell_i | \ell_j \rangle|^2 \quad p_{ij} = \frac{|(m_D^\dagger m_D)_{ij}|^2}{(m_D^\dagger m_D)_{ii} (m_D^\dagger m_D)_{jj}}$$



$$N_{B-L}^{(N_2)}(T \ll M_1) = \underbrace{N_{\Delta_1}^{(N_2)}(T \ll M_1)}_{\propto p_{12}} + \underbrace{N_{\Delta_{1\perp}}^{(N_2)}(T \ll M_1)}_{\propto (1-p_{12})}$$

Component from heavier RH neutrinos parallel to  $l_1$  and washed-out by  $N_1$  inverse decays

Contribution from heavier RH neutrinos orthogonal to  $l_1$  and escaping  $N_1$  wash-out

$$N_{\Delta_1}^{(N_2)}(T \ll M_1) = p_{12} e^{-\frac{3\pi}{8} K_1} N_{B-L}^{(N_2)}(T \sim M_2)$$

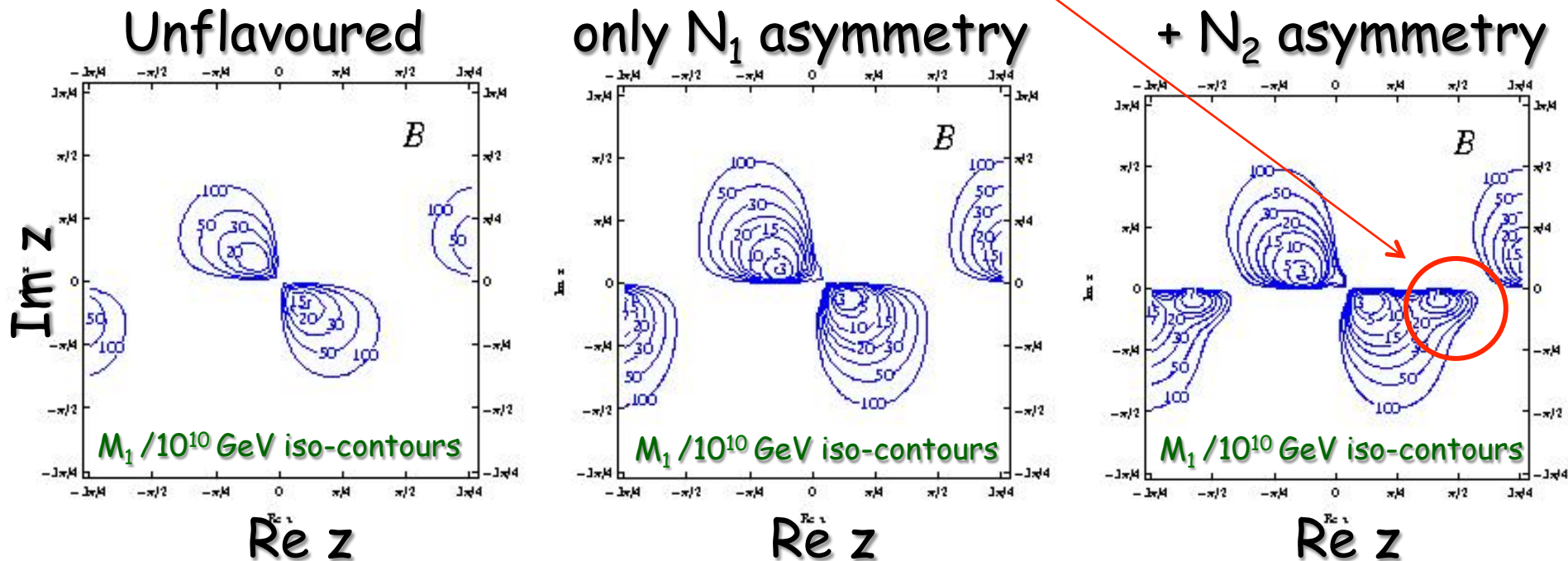
# 2 RH neutrino scenario revisited

(King 2000; Frampton, Yanagida, Glashow '01, Ibarra, Ross 2003; Antusch, PDB, Jones, King '11)

In the 2 RH neutrino scenario the  $N_2$  production has been so far considered to be safely negligible because  $\epsilon_{2\alpha}$  were supposed to be strongly suppressed and very strong  $N_1$  wash-out. **But taking into account:**

- the  $N_2$  asymmetry  $N_1$ -orthogonal component
- an additional unsuppressed term to  $\epsilon_{2\alpha}$

**New allowed  $N_2$  dominated regions appear**



**These regions are interesting because they correspond to light sequential dominated neutrino mass models realized in some grandunified models**

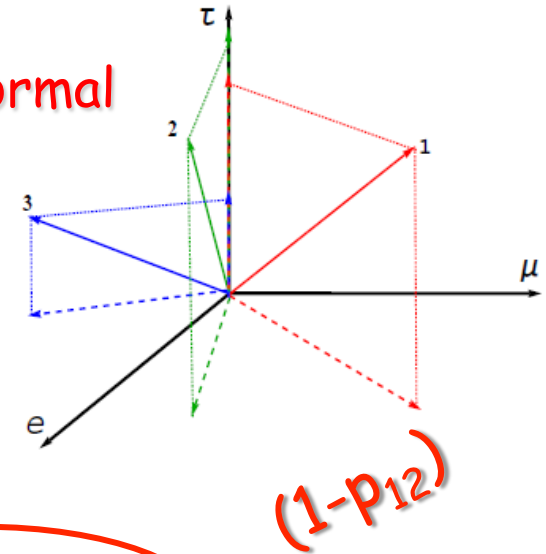
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$$N_{B i L}^{(N_2)}(T \ll M_1) = N_{\zeta_1}^{(N_2)}(T \ll M_1) + N_{\zeta_1?}^{(N_2)}(T \ll M_1)$$

Component from heavier RH neutrinos parallel to  $l_1$  and washed-out by  $N_1$  inverse decays

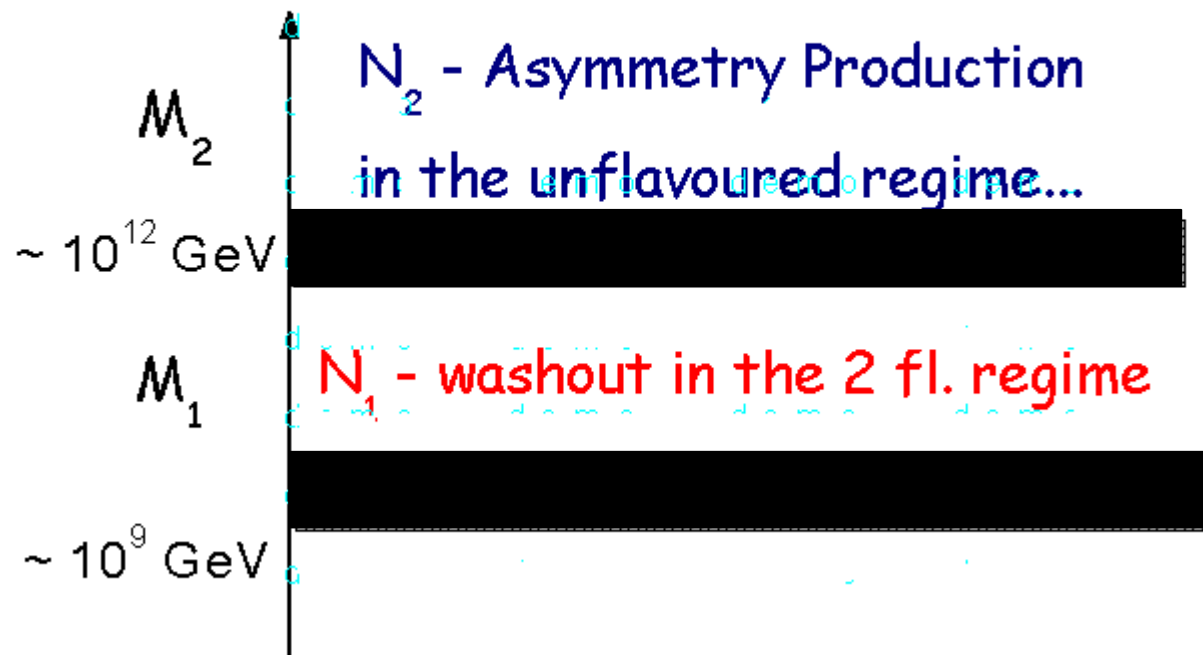
Contribution from heavier RH neutrinos orthogonal to  $l_1$  and escaping  $N_1$  wash-out

$$N_{\zeta_1}^{(N_2)}(T \ll M_1) = p_{12} e^{i \frac{3\pi}{8} K_1} N_{B i L}^{(N_2)}(T \gg M_2)$$

# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

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$$N_{\Delta e+\mu} = p_{2e+\mu} N_{B-L}$$

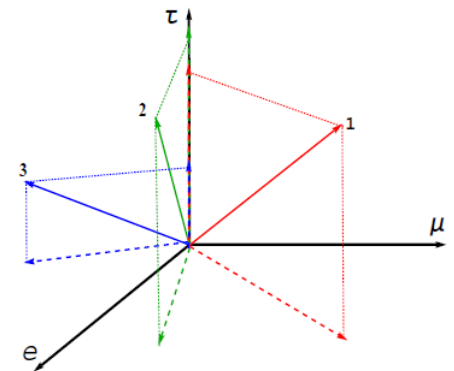
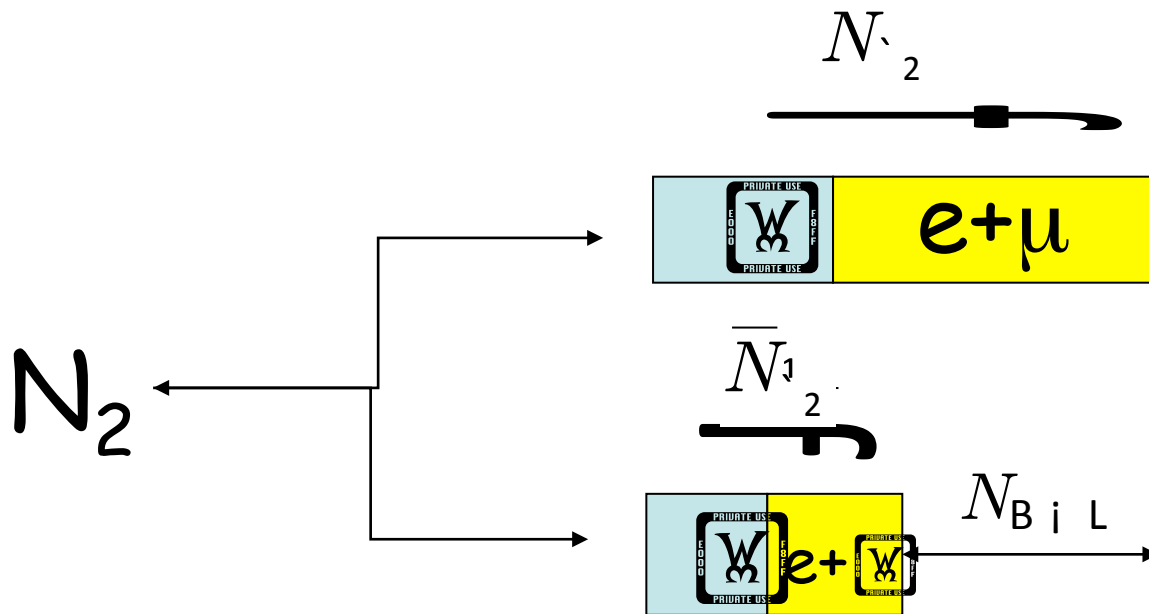
# Phantom terms

However one has to consider that in the unflavoured case there are contributions to  $N_{\Delta\tau}$  and  $N_{\Delta e+\mu}$  that are not just proportional to  $N_{B-L}$

Remember that:

$$\varepsilon_{1\alpha} = P_{1\alpha}^0 \varepsilon_1 + \frac{\Delta P_{1\alpha}}{2}$$

Assume an initial thermal  $N_2$ -abundance at  $T \sim M_2 \gg 10^{12}$  GeV



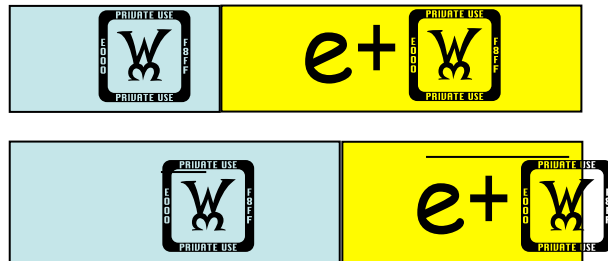


# Phantom Leptogenesis

(Antusch, PDB, King, Jones '10)

Let us then consider a situation where  $K_2 \gg 1$  so that at the end of the  $N_2$  washout the total asymmetry is negligible:

## 1) $T \sim M_2$ : unflavoured regime



$$N_{B-L}^T \gg M_2 \rightarrow 0!$$

## 2) $10^{12} \text{ GeV} \ll T \gg M_1$ : decoherence 2 flavoured regime

$$N_{B-L}^T \gg M_2 = N_{\check{c}}^T \gg M_2 + N_{\check{e}^+}^T \gg M_2 \rightarrow 0!$$

## 3) $T \ll M_1$ : asymmetric washout from lightest RH neutrino

Assume  $K_{1T} \ll 1$  and  $K_{1e+\mu} \gg 1$

$$N_{B-L}^f \rightarrow N_{\check{c}}^T \gg M_2!$$

The  $N_1$  wash-out un-reveal the phantom term and effectively it creates a  $N_{B-L}$  asymmetry. **Fully confirmed within a density matrix formalism** (Blanchet, PDB, Marzola, Jones '11)



# Remarks on phantom Leptogenesis

We assumed an initial  $N_2$  thermal abundance but if we were assuming an initial vanishing  $N_2$  abundance the phantom terms were just zero !

$$N_{\zeta_i}^{\text{phantom}} = \frac{\zeta_{p_{2i}}}{2} N_{N_2}^{\text{in}}$$

The reason is that if one starts from a vanishing abundance during the  $N_2$  production one creates a contribution to the phantom term by **inverse decays** with opposite sign and exactly cancelling with what is created in the decays

**In conclusion ....phantom leptogenesis introduces additional strong dependence on the initial conditions**

**NOTE: in strong thermal leptogenesis phantom terms are also washed out: full independence of the initial conditions!**

Phantom terms cannot contribute to the final asymmetry in  $N_1$  leptogenesis but (canceling) flavoured asymmetries can be much bigger than the baryon asymmetry and have implications in active-sterile neutrino oscillations

$$I \leq V_L \leq V_{CKM}$$

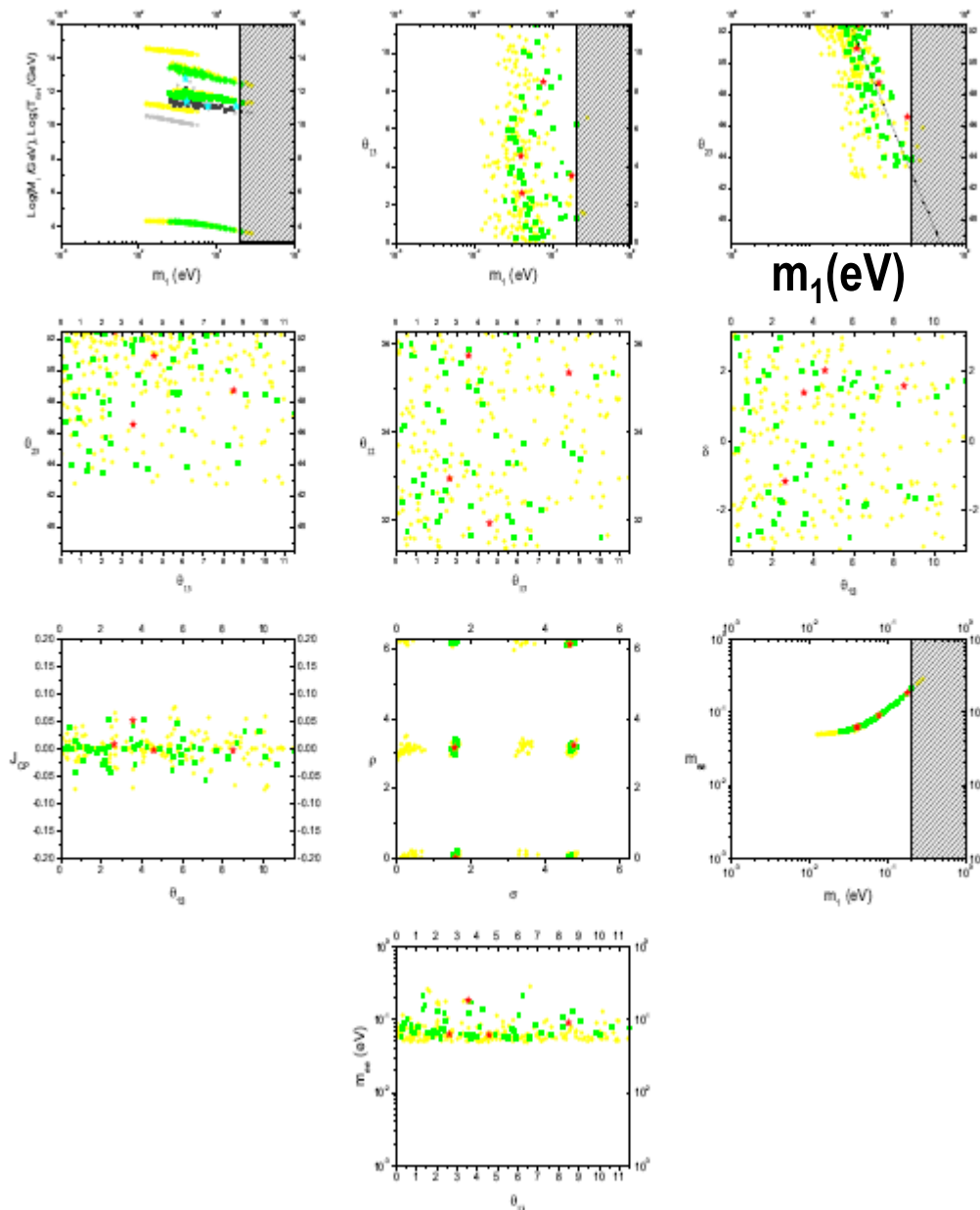
$$\Theta_{23}$$

INVERTED  
ORDERING

$$\alpha_2=5$$

$$\alpha_2=4$$

$$\alpha_2=1.5$$



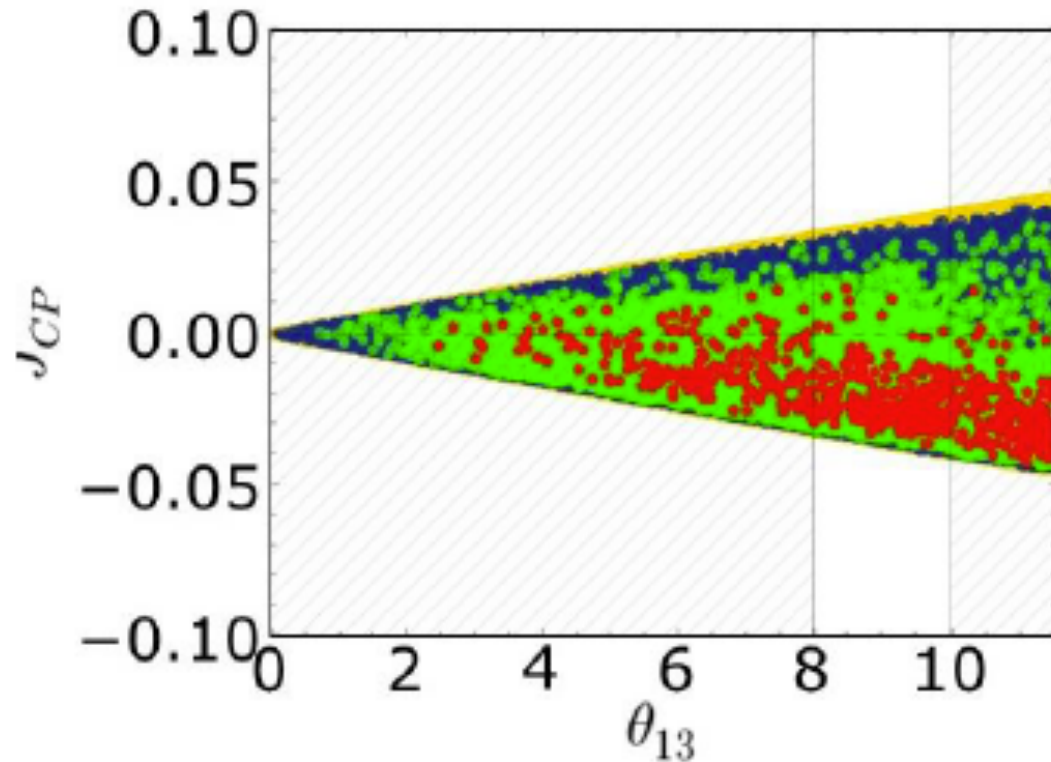
# No link between the sign of the asymmetry and $J_{CP}$

(PDB, Marzola)

$$\alpha_2=5$$

NORMAL  
ORDERING

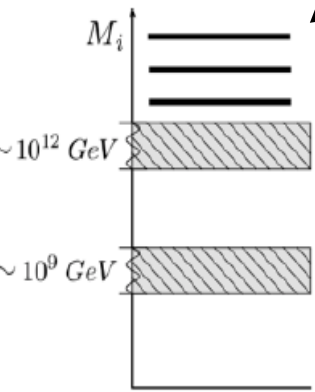
$$I \leq V_L \leq V_{CKM}$$



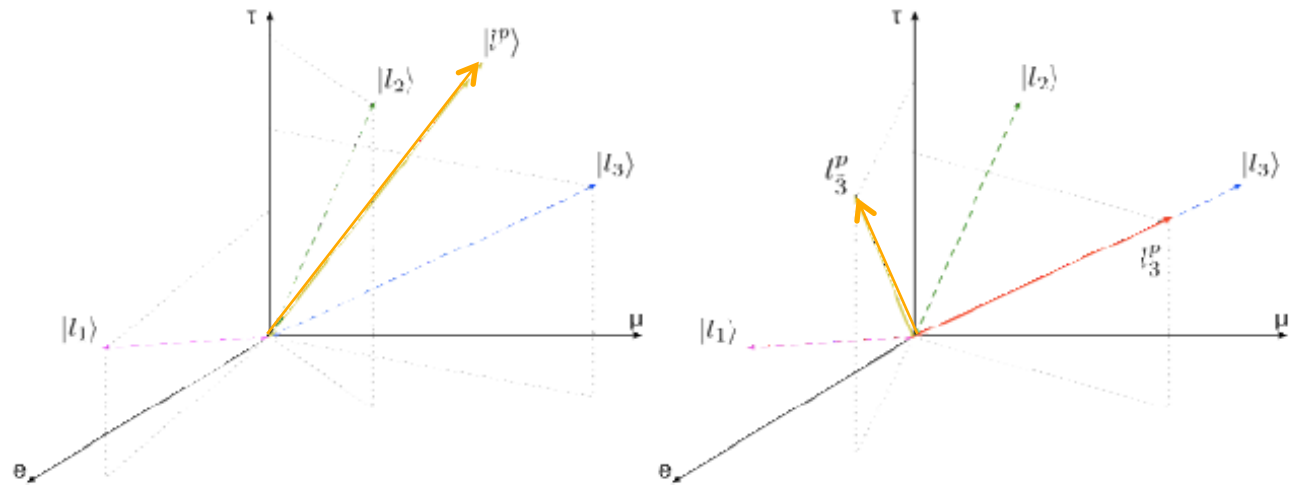
It is confirmed that there is no link between the matter-antimatter asymmetry and CP violation in neutrino mixing.....for the yellow points

WHAT ARE THE NON-YELLOW POINTS ?

Example: The heavy neutrino flavored scenario cannot satisfy the strong thermal leptogenesis condition

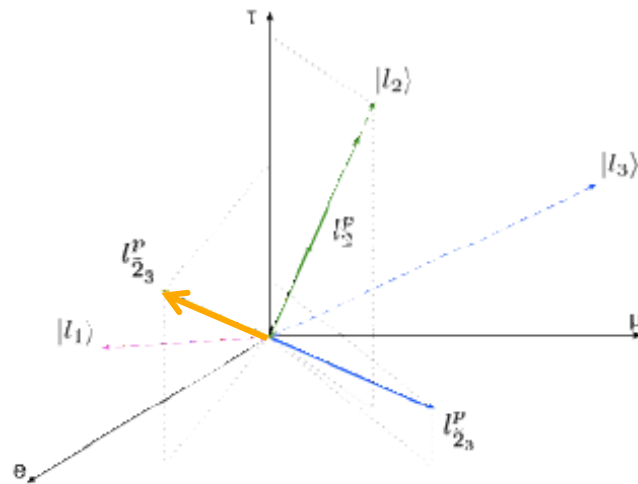


The pre-existing asymmetry (yellow) undergoes a 3 step flavour projection

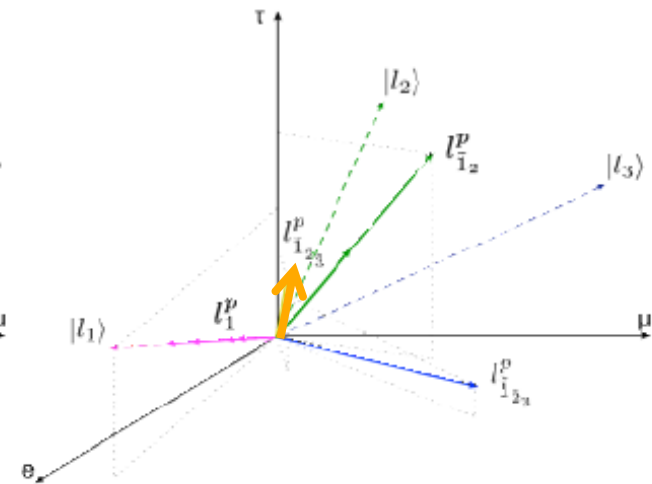


(a)  $T \gg M_3$

(b)  $T \sim M_3$



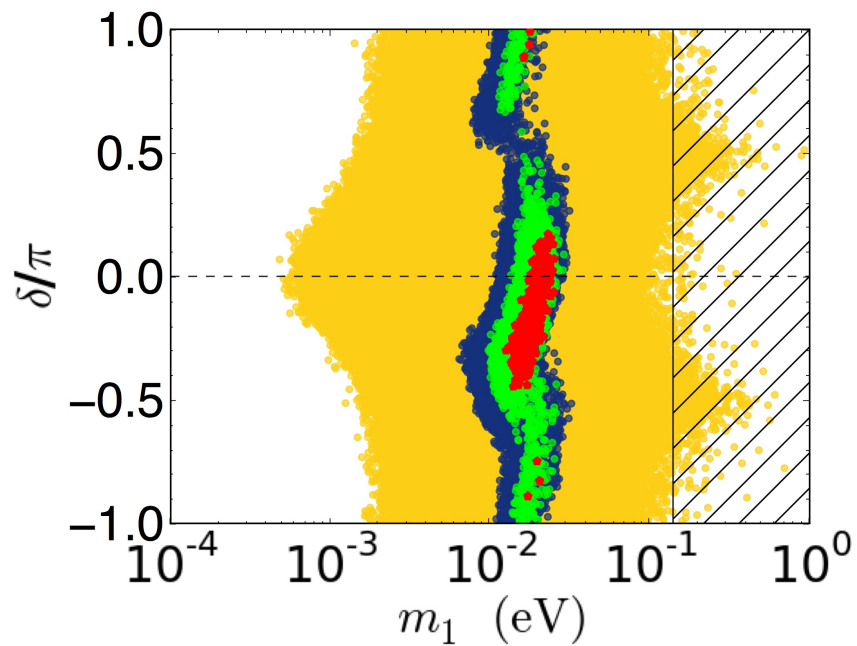
(c)  $T \sim M_2$



(d)  $T \sim M_1$

# Link between the sign of $J_{CP}$ and the sign of the asymmetry

$$\eta_B = \eta_B^{CMB}$$



$$\eta_B = -\eta_B^{CMB}$$

