

# Neutrinos: a phenomenological overview

---



A. Yu. Smirnov

*International Centre for Theoretical Physics, Trieste, Italy  
Institute for Nuclear Research, RAS, Moscow, Russia*

14th Lomonosov conference  
August 19, 2009

# Content

- 1. Status**
- 2. Before and Now**
- 3. Expanding frontiers**

# Interactions

Gauge interactions are well known (SM) and tested

Yukawa couplings with Higgs boson (s) -  
related to existence of the RH neutrinos or new scalars  
- unknown; they are relevant for leptogenesis

Open questions:

- axial vector form-factors;
- single pion forward production;
- total invisible width of  $Z^0$

In some cases - neutrinos are unique:  
provide axial vector current  
interactions of  $Z, \gamma, \omega$

J Harley, C.T. Hill, R. Hill

Studies in the context of search for new neutrino  
interactions related to

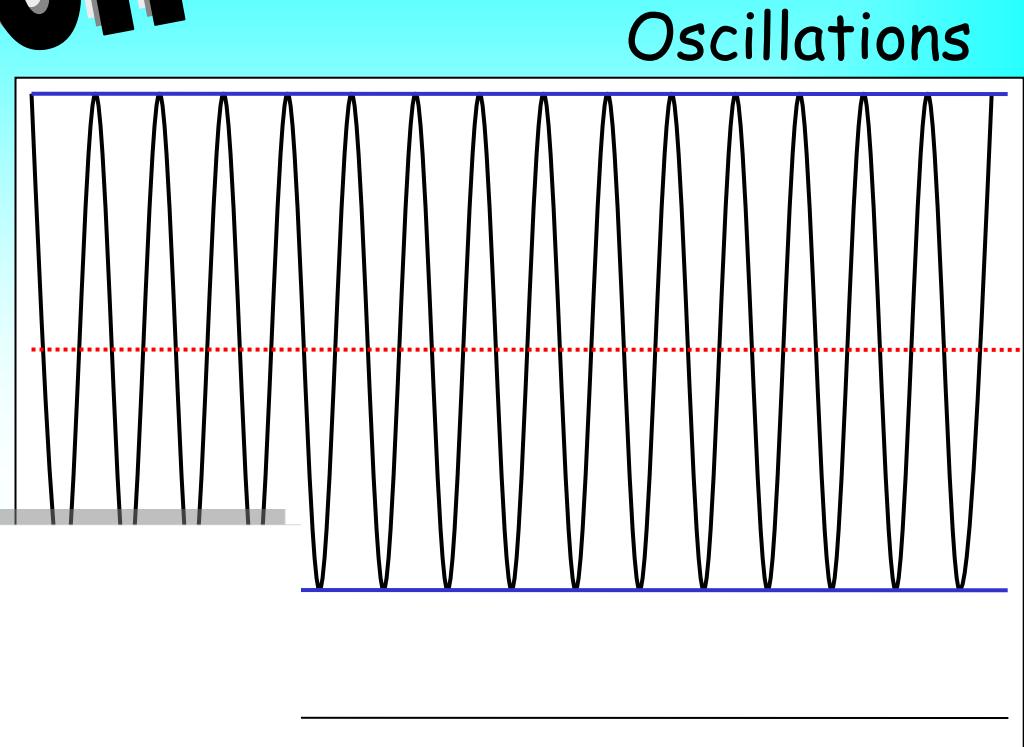
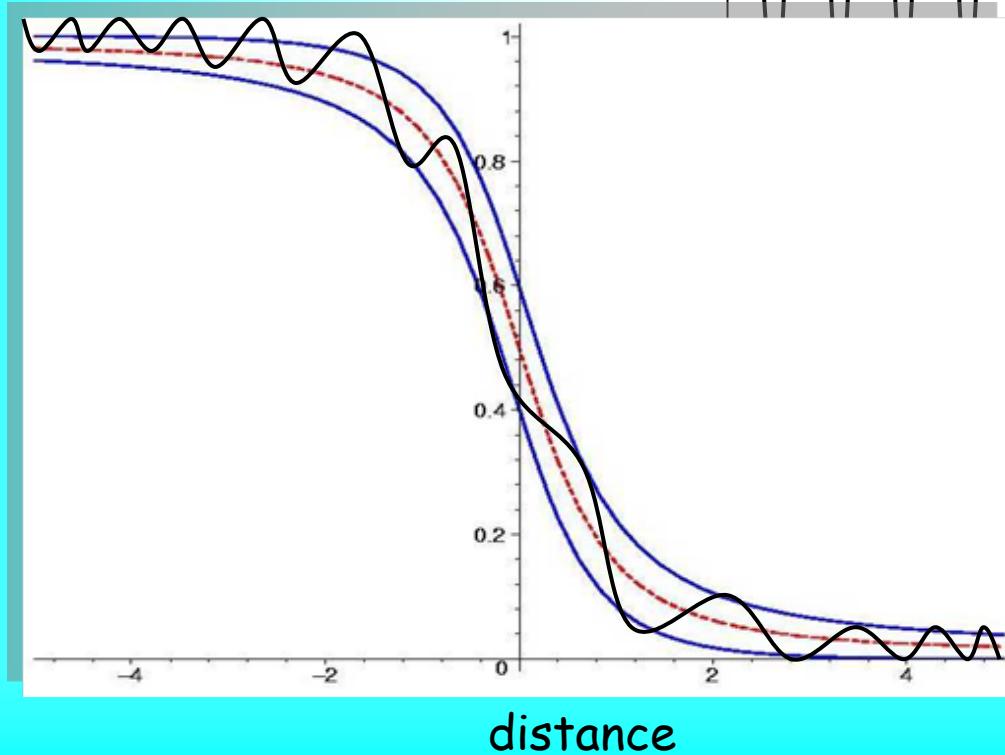
- various extensions on the SM
- specific mechanisms of neutrino mass generation

# Propagation

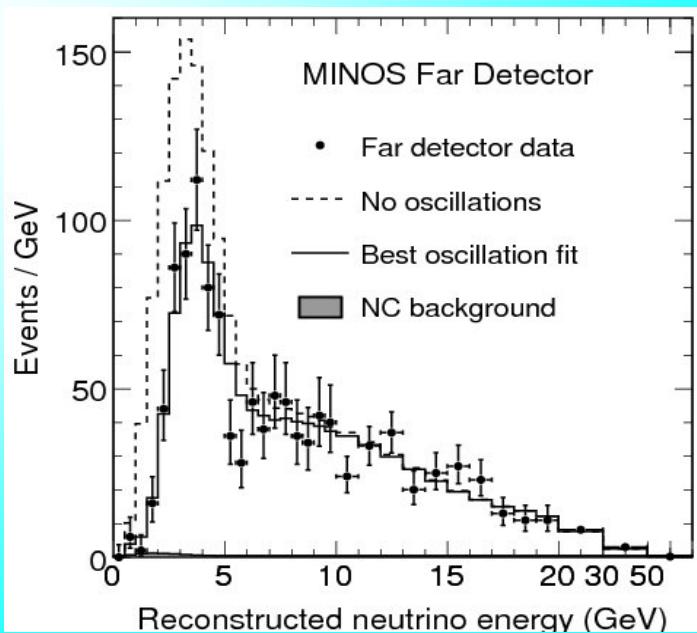
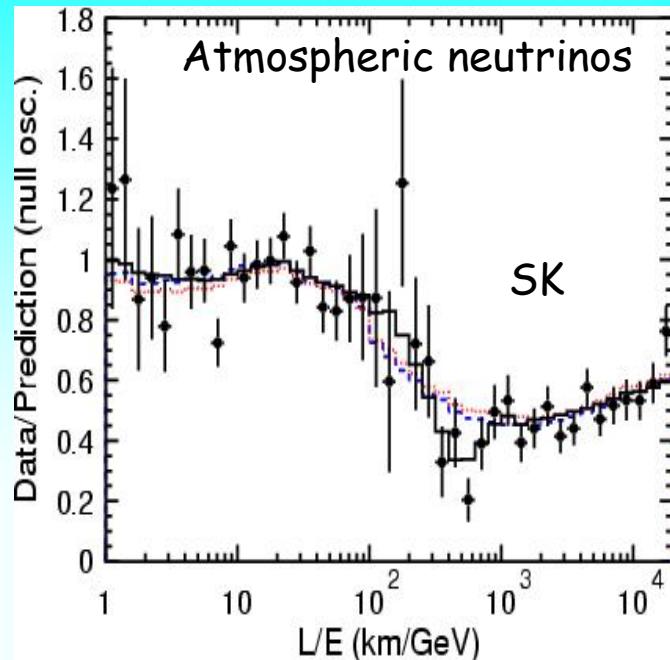
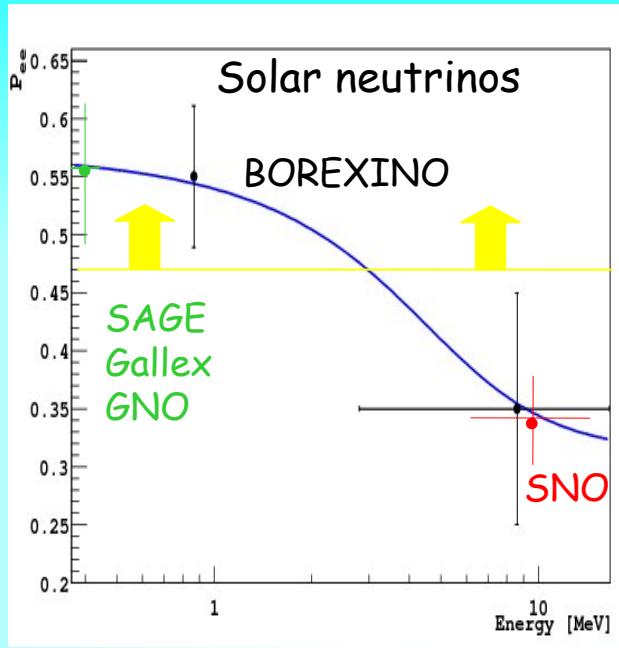
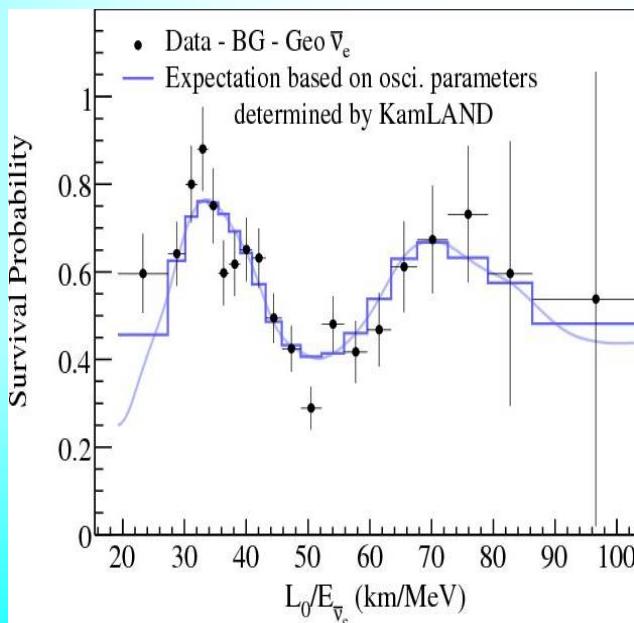
Two effects for interpretation  
of existing data

Adiabatic conversion

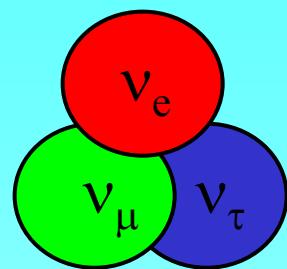
Survival probability



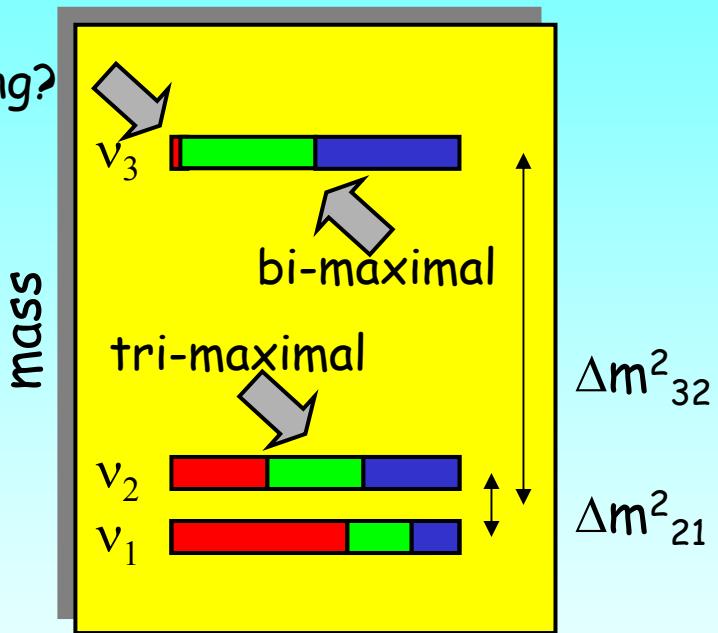
# Observations



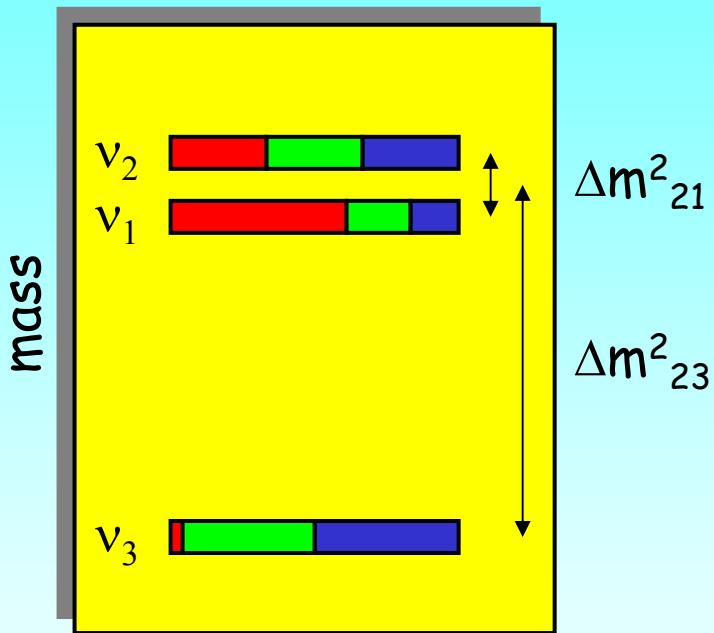
# Spectrum



zero  
1-3  
mixing?



Normal mass hierarchy



Inverted mass hierarchy

$$\nu_f = U_{\text{PMNS}} \nu_{\text{mass}}$$

$$U_{\text{PMNS}} = U_{23} \ I_\delta \ U_{13} \ I_{-\delta} \ U_{12}$$

Tri-bimaximal mixing  
if 1-3 mixing is zero

# Huge impact of small angle

$$\sin^2\theta_{13} = 0.01 - 0.03$$

theoretical  
implications

symmetry

atmospheric  
neutrinos

U<sub>e3</sub>

dominant factor  
for SN neutrinos

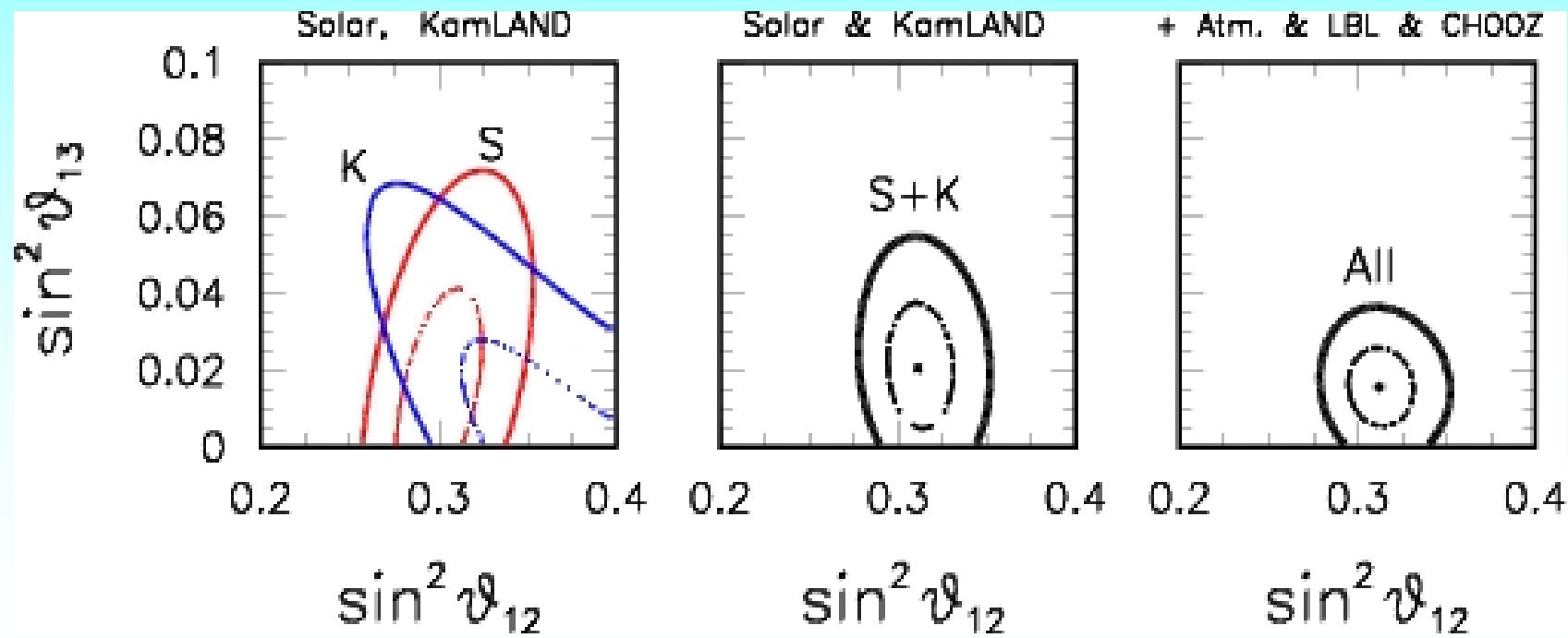
door to determination of  
CP-violation  
mass hierarchy

# Hint of non-zero 1-3 mixing?

Fogli et al.,  
0806.2649

- difference of 1-2 mixing from solar data and KamLAND
- atmospheric: excess of sub-GeV e-like events

$$\sin^2 \theta_{13} = 0.016 +/ - 0.010$$



# Mass scale & mass spectrum

## 1. Absolute mass scale:

$$m > \sqrt{\Delta m_{31}^2} > 0.045 \text{ eV}$$

MINOS

Atmospheric  
neutrinos

$$m < \Sigma/3 < 0.2 - 0.3 \text{ eV}$$

COSMOLOGY: bound  
on the sum of  
neutrino masses

The heaviest neutrino has  
mass is in the range  
(0.045 - 0.30) eV

## 2. Mass hierarchy:

$$\frac{m_2}{m_3} \geq \sqrt{\frac{\Delta m_{21}^2}{\Delta m_{32}^2}} \sim 0.18$$

Neutrinos have  
the weakest mass  
hierarchy (if any)  
among fermions

Related to the large lepton mixing?

# Before and Now

## From

Anomalies and Hints,  
evidences and  
first discoveries

Combined fits

Oscillations and  
Adiabatic conversion

Anomalies: what is left?  
Unresolved problems?

## To

Precision measurements;  
searches for New new physics;  
studies of sub-leading effects

Confronting high statistics  
data from different  
experiments

More complicated  
phenomena

# Looking for mismatch

Determination of the same neutrino parameters from different type of experiments

Low - High energies

Propagation in vacuum - matter

Neutrino- antineutrino

Different flavor channels

$\Delta m^2 \theta$

## Goals:

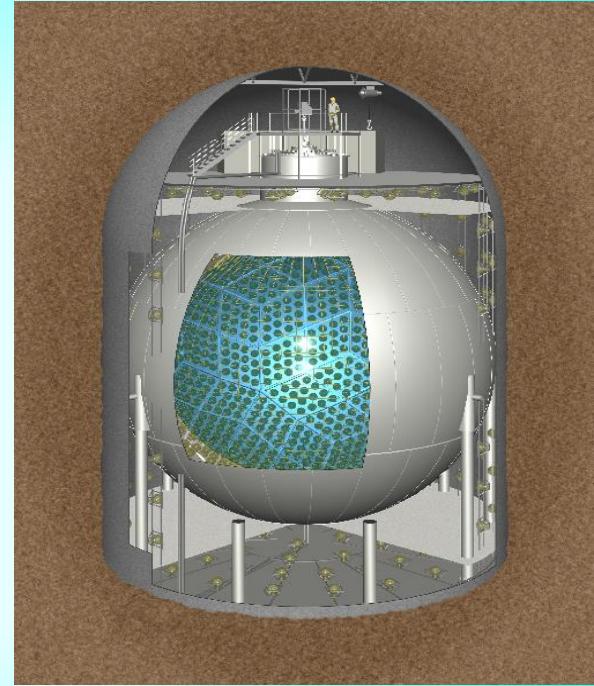
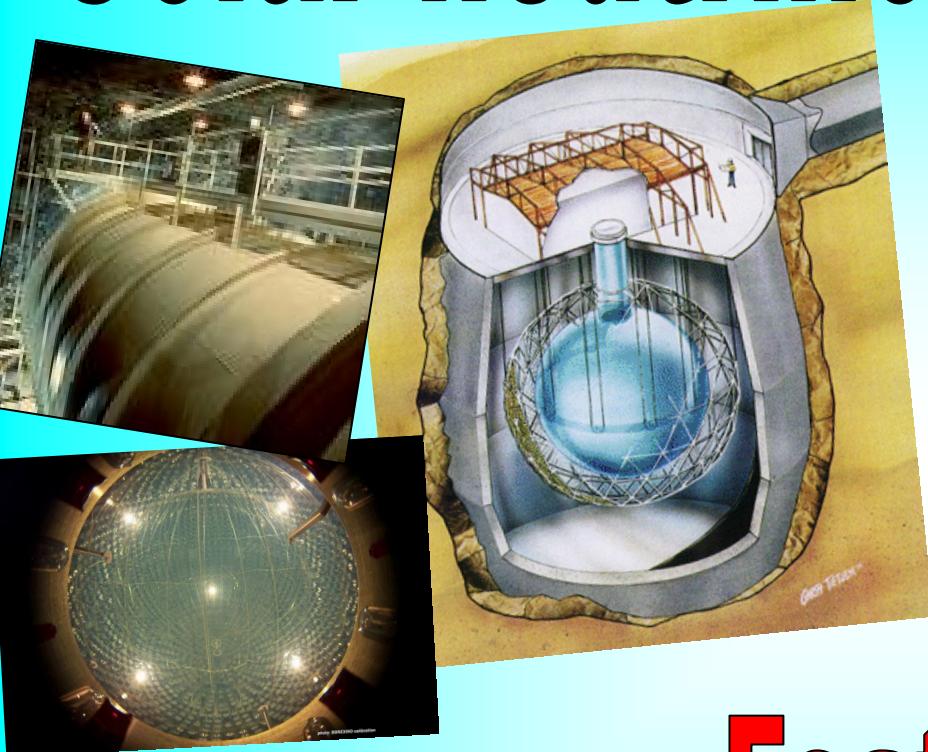
Nature of neutrinos mass:  
its possible dependence  
on energy and density

Test of theory of  
neutrino propagation

Searches for sub-leading  
effects, e.g. due to 1-3 mixing

Searches for new physics:  
- New interactions  
- New neutrino states  
- Violation of fundamental  
symmetries (CPT, Lorentz)

# Solar neutrinos vs. KamLAND



## Features

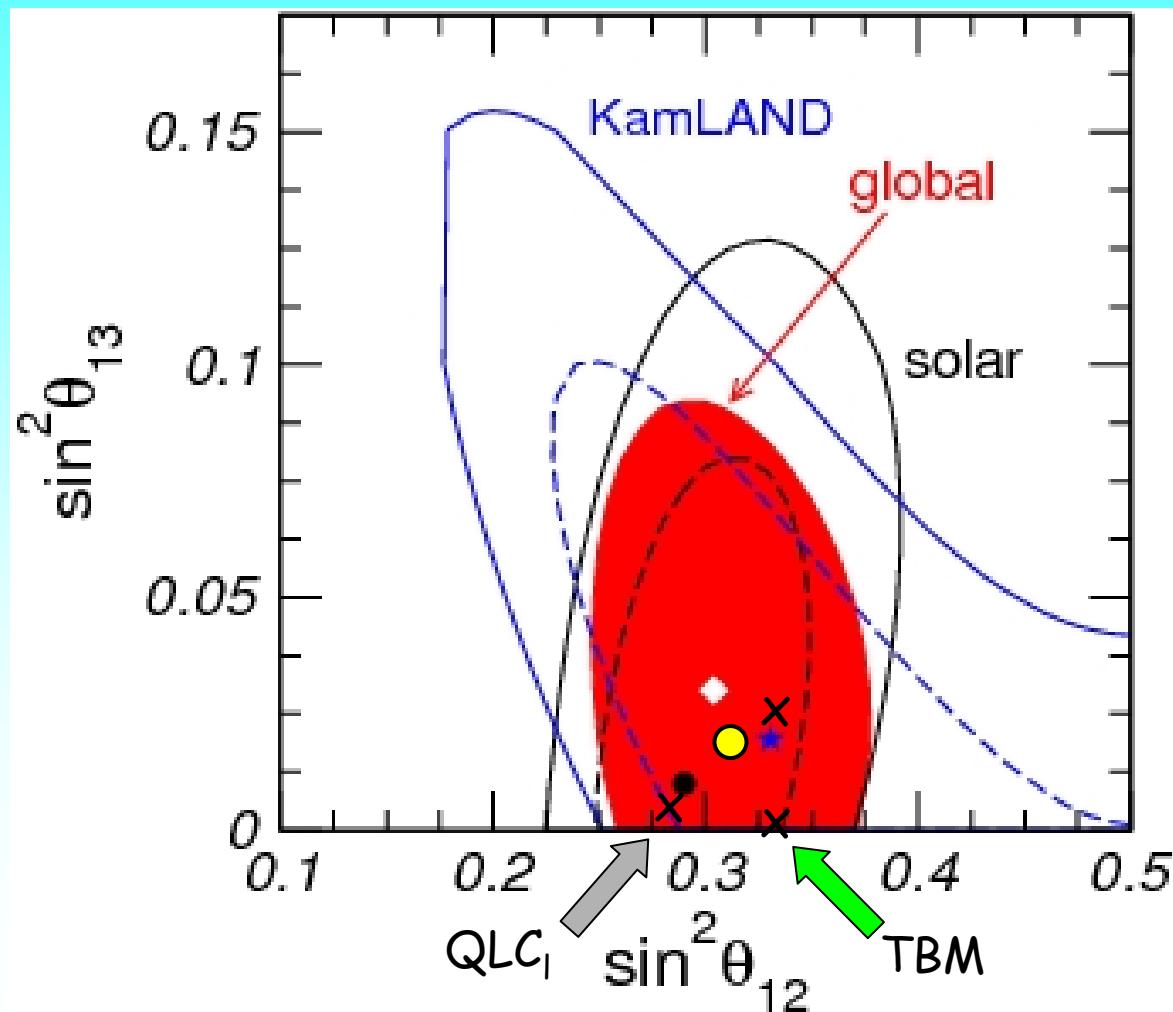
- Electron neutrinos
- Strong matter effect
- Adiabatic conversion
- Averaged oscillations

$$\theta_{12}(\text{solar}) < \theta_{12}(\text{Kamland})$$

- Electron antineutrinos
- Non-averaged vacuum oscillations
- Small matter effect
- Phase is crucial

# 12- and 13- mixings

with some benchmarks



*T. Schwetz et al.,  
0808..2016*

● *G.L. Fogli, et al  
0805.2517, v3*

$$\sin^2 \theta_{13} = 0.016 \pm 0.010$$

$$\sin^2 2\theta_{13} \sim 0.06$$

$$+ \text{MINOS:} \\ 0.02 \pm 0.1$$

$1\sigma$

# Solar only SNO vs. Gallium

Vacuum / low energies

$$P \sim \cos^4 \theta_{13} (1 - \frac{1}{2} \sin^2 2\theta_{12})$$

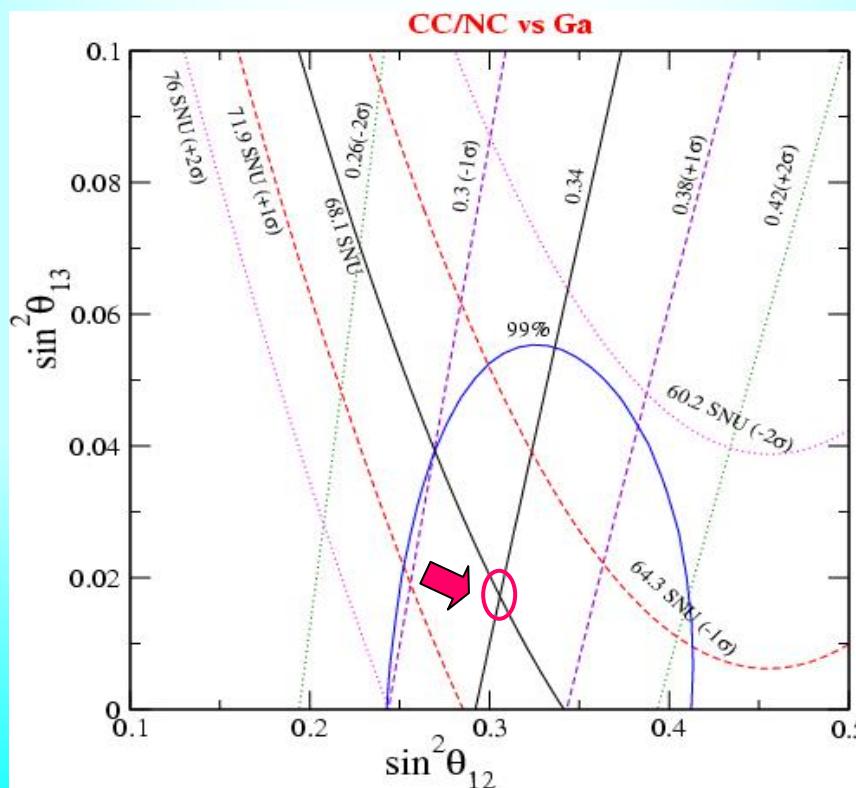
Lines of  
 $P = \text{const}$



Matter / high energies

$$P \sim \cos^4 \theta_{13} \sin^2 \theta_{12}$$

Different correlations  
of 1-2 and  
1-3 mixings



$$\sin^2 \theta_{13} = 0.017 \pm 0.26$$

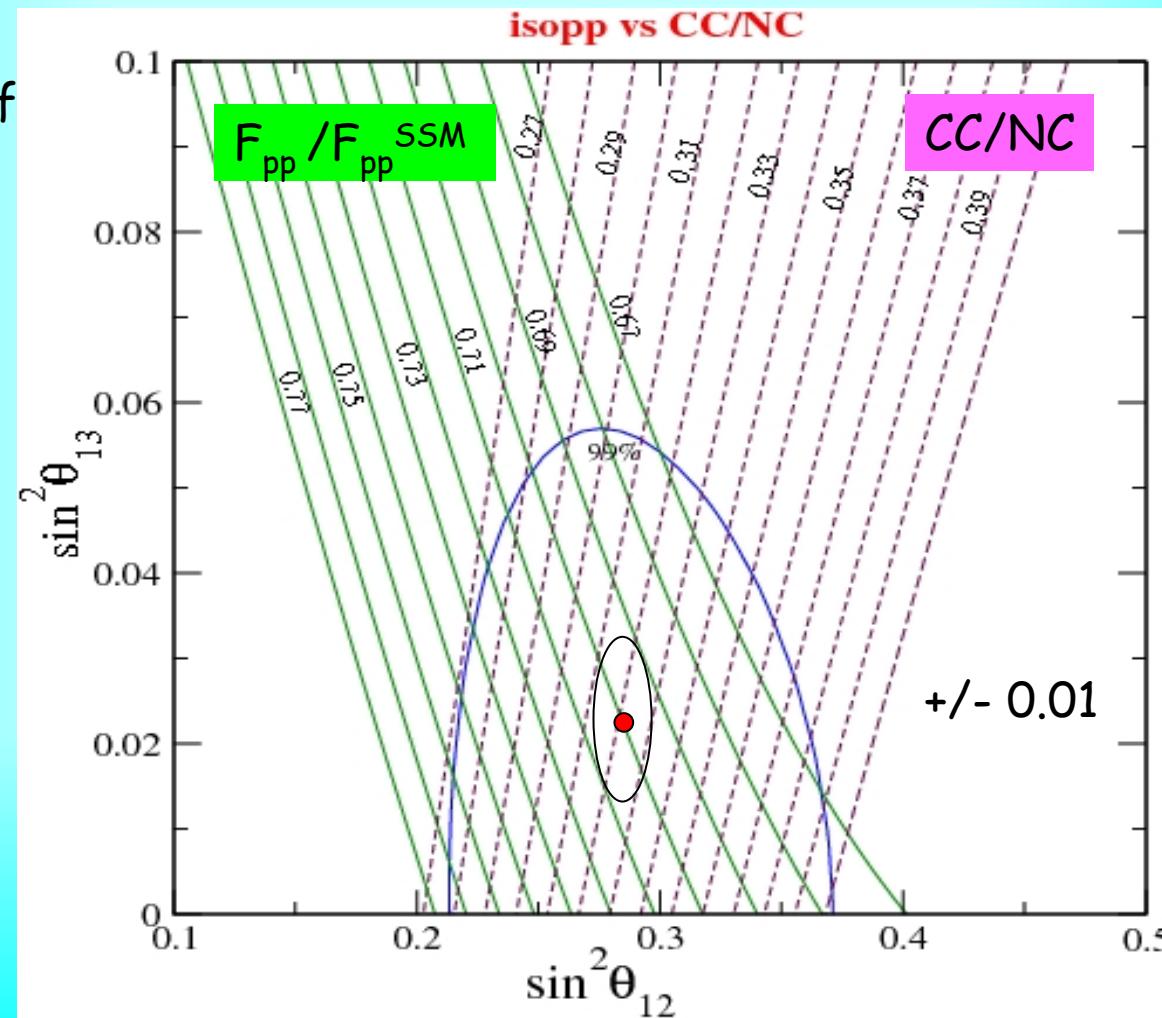
*S. Goswami, A.S.*

# Future determinations?

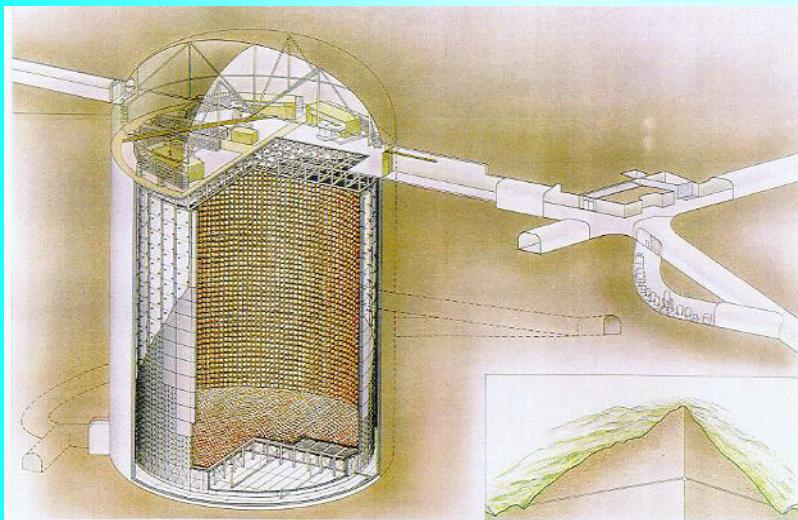
Measurements of  
the pp-neutrino  
flux

1.5%

S. Goswami,  
A.S.



# Atmospheric neutrinos vs. MINOS K2K



- Muon and electron neutrinos
- Neutrinos and antineutrinos
- Matter effects
- Multilayer medium
- Vacuum - matter
- Large base-lines
- Huge energy range



- Muon neutrinos or antineutrinos
- Vacuum mimicking
- Oscillations phase
- $E \sim 1 - 10 \text{ GeV}$

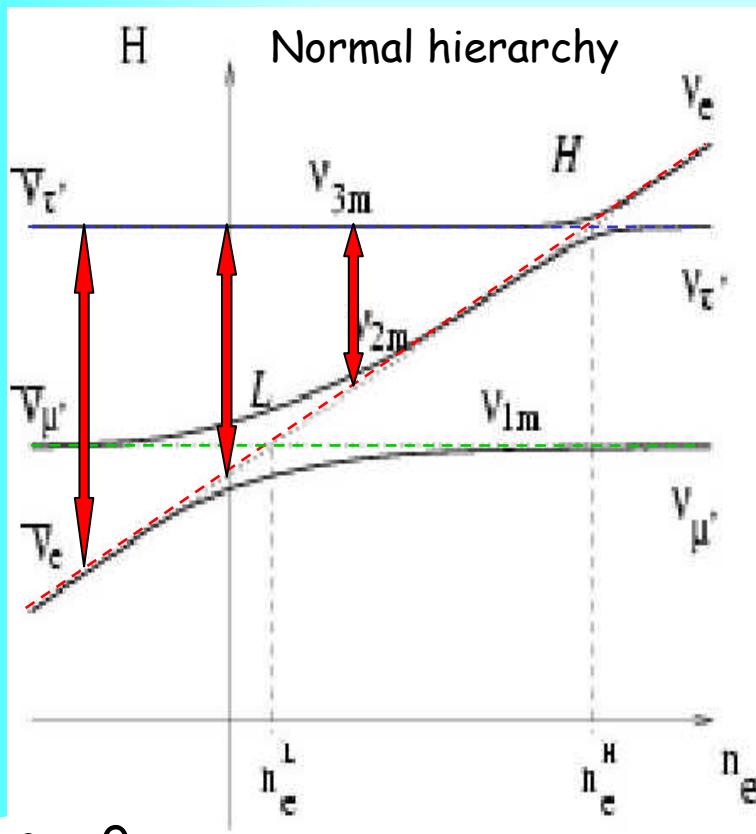
$$\Delta m_{23}^2 (\text{Atm}) < \Delta m_{23}^2 (\text{MINOS}) ?$$

# Matter effect?

$$\Delta m_{23}^2 (\text{Atm}) = \Delta m_{23}^2 (\text{effective})$$

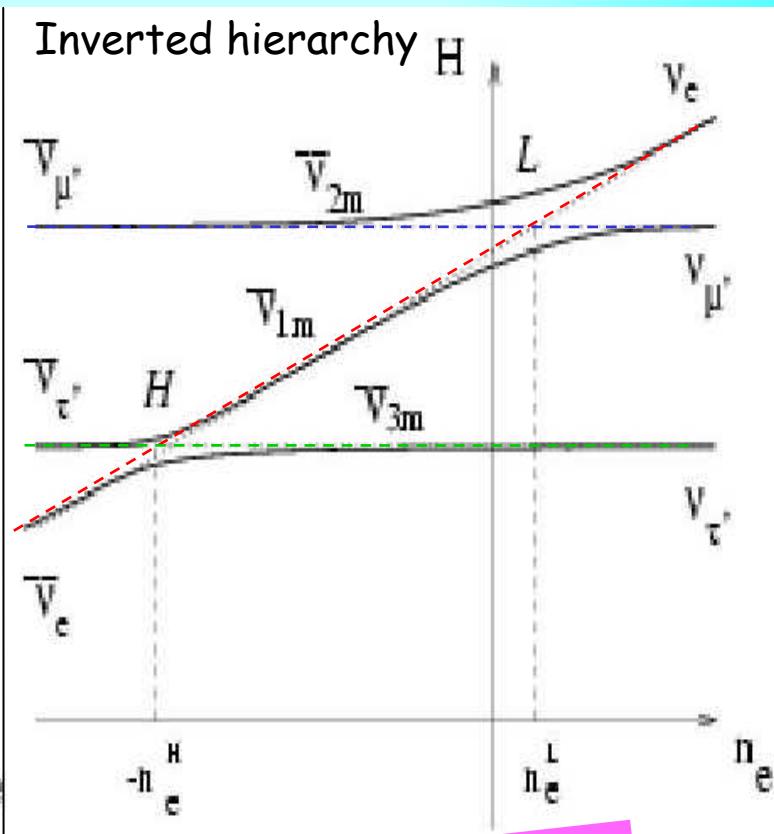
Level crossing scheme

$\Delta m^2 (\text{effective})$



$$\text{SK: } \Delta m_{21}^2 = 0$$

$$\Delta m_{\text{eff}}^2(\text{anti } \nu) > \Delta m^2(\text{vac}) > \Delta m_{\text{eff}}^2(\nu)$$



$$\Delta m_{23}^2 (\text{eff}) = \Delta m_{23}^2 (E)$$

→ extract from different energy ranges

# Sub-subGeV neutrinos

## Main features:

Two components:

- directly produced by  $\nu_e$  and  $\bar{\nu}_e$
- from invisible muon decay

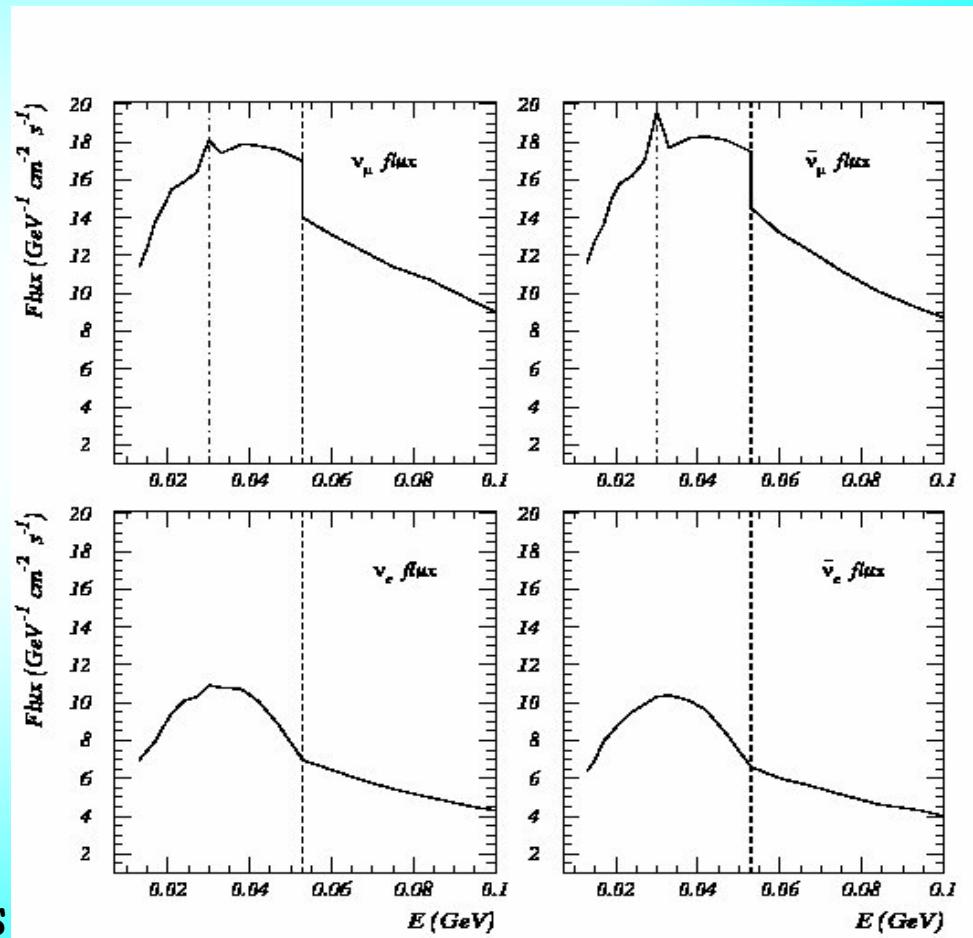
Flavor ratio decrease with energy and deviates from 2  
 $2.1 \rightarrow 1.6$

→ weaker screening effect

Seasonal variations,  
variations with solar activity

Background for diffuse SN fluxes

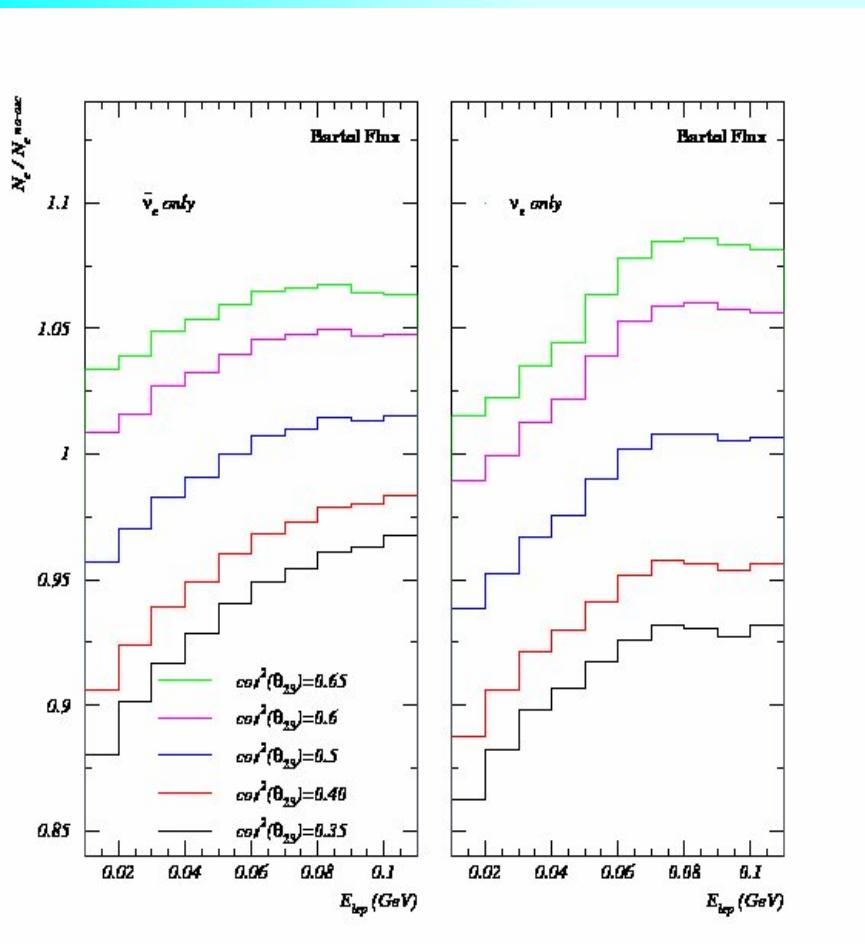
Enlarging the energy range



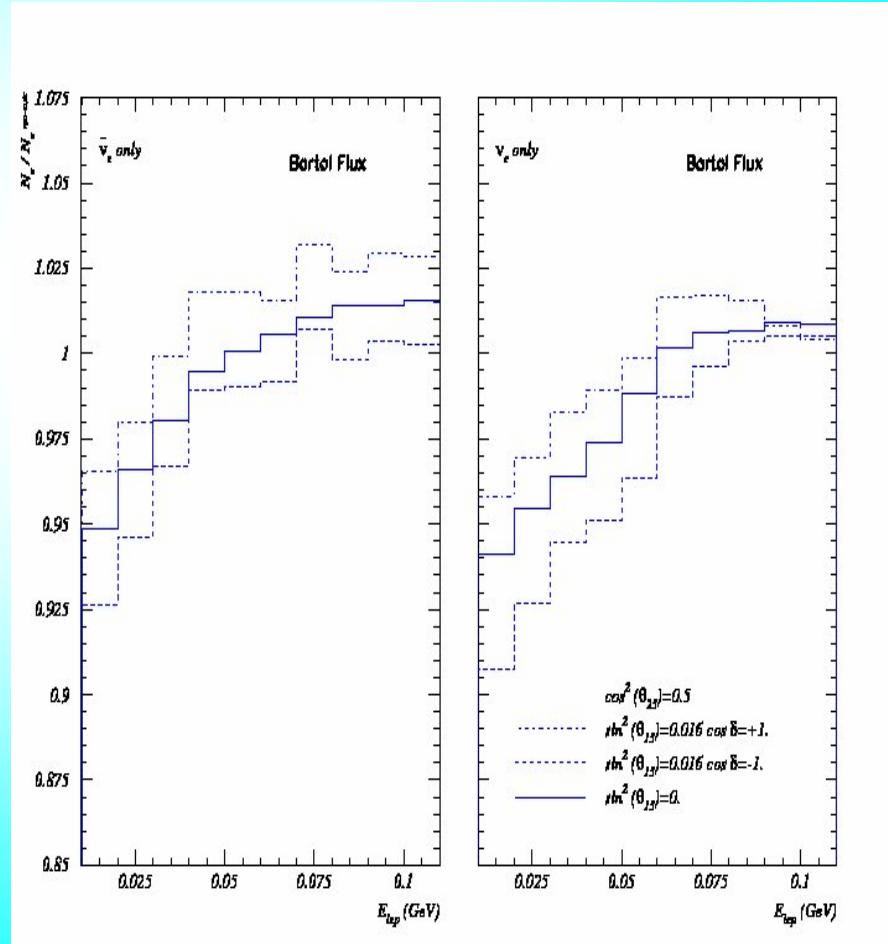
# Oscillation effects

O. Peres, A.S

Effect of 2-3 mixing



Effect of 1-3 mixing and CP-phase



# Oscillation effects

O. Peres, A.S

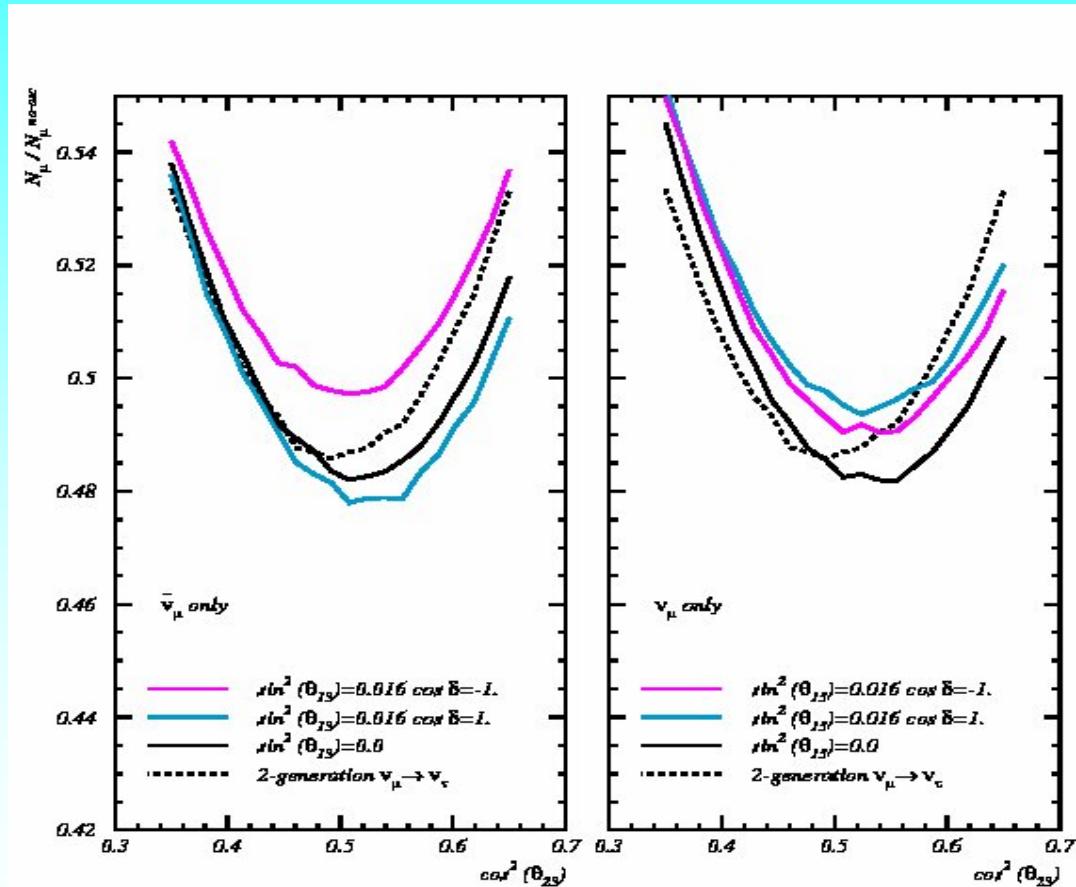
Effects on neutrinos  
from invisible muon  
decays

Atmospheric neutrinos  
with  $E \sim 150 - 300$  MeV  
produce (in the detector  
and around it)

$$\nu_\mu \rightarrow \mu$$

Muon with  $E < 150$  MeV:  
no Cherenkov lights.  
They stop in a detector  
and decays:

$$\mu \rightarrow \nu_e$$

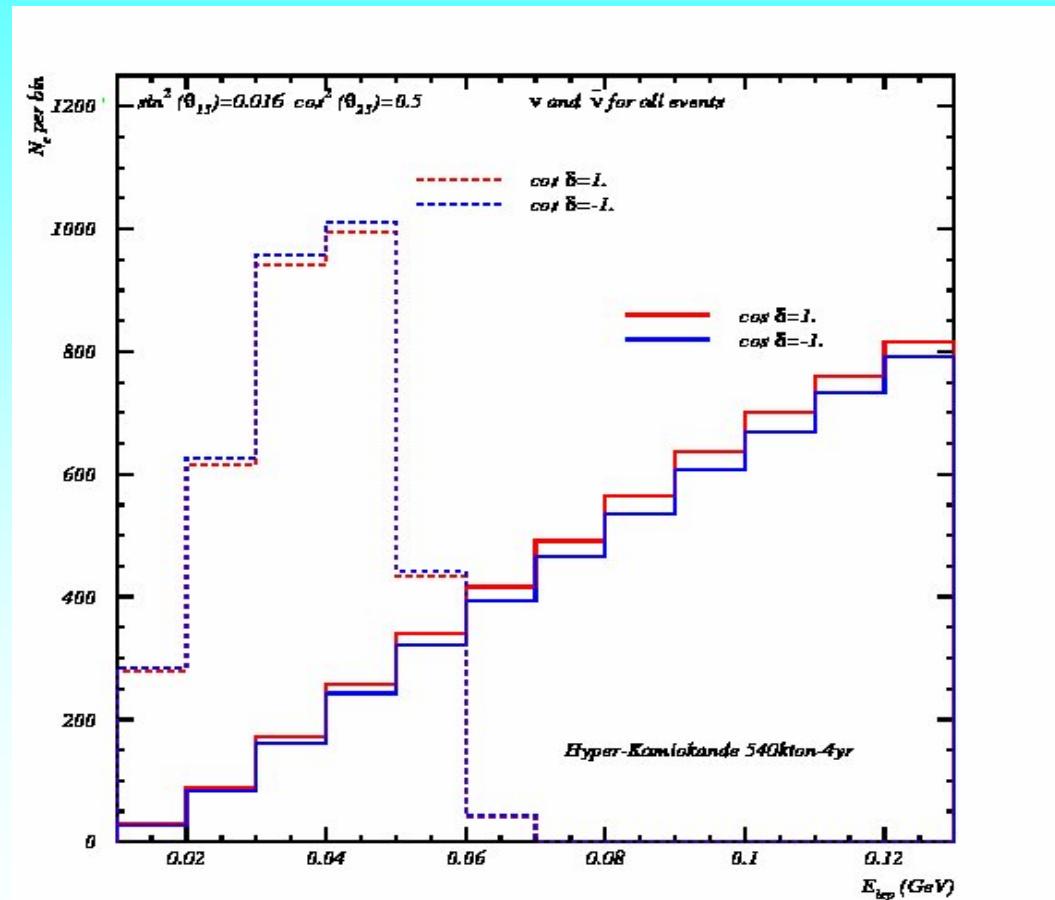


Ratio of total number of events as functions  
of 2-3 mixing for different values of  $\theta_{13}$  and  $\delta$

# Future measurements

Energy spectrum of e-like events in Hyper-Kamiokande (540 kt, 4 years) for two Values of CP-phase

10% effects

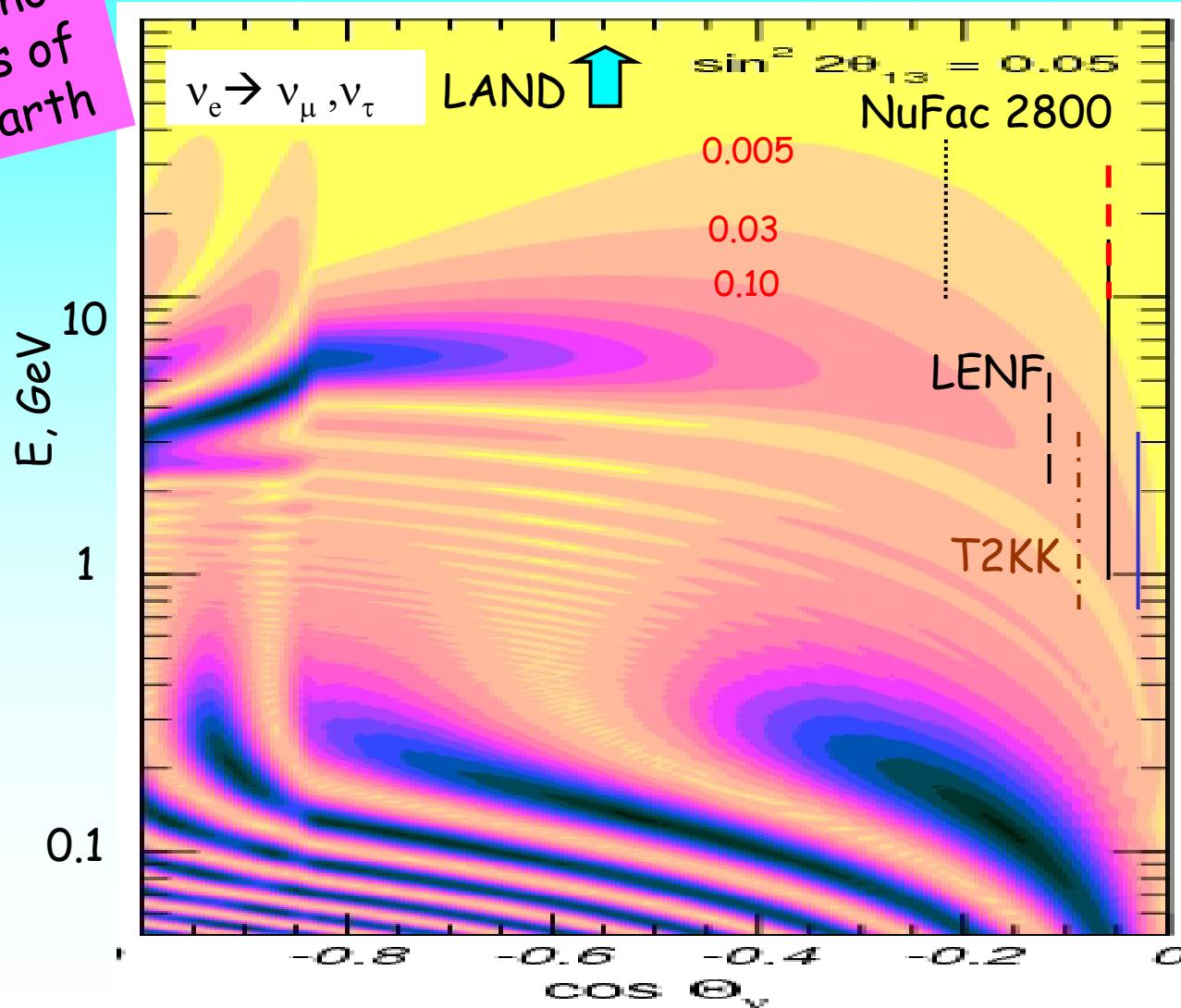


O. Peres, A.S

# Oscillograms

contours of constant oscillation probability in energy- nadir (or zenith) angle plane

Neutrino images of the Earth



CNGS

MINOS

T2K

LAND': Large Atmospheric Neutrino Detector

# Measuring oscillograms

Oscillograms for number of events (no averaging)

Lines of equal  $\chi^2$

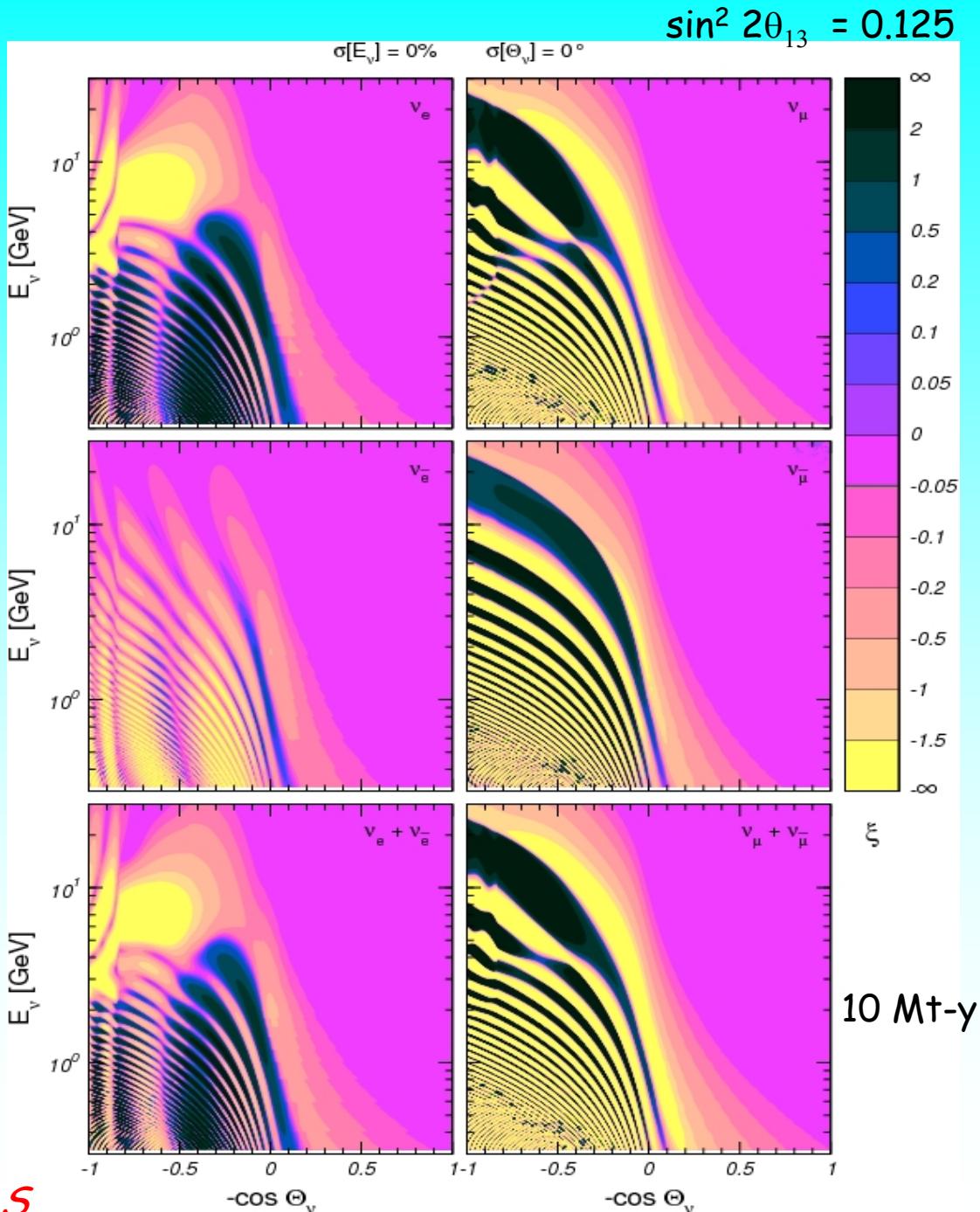
for Gaussian:

$$\chi^2 = (N - N_0)^2 / N_0$$

$N_0$  - number of events  
for  $\theta_{13} = 0$ ,  $\Delta m_{12}^2 = 0$

In contrast to P:

- original fluxes contain  $\nu_e$  and  $\nu_\mu$
- neutrinos and antineutrinos
- no exact reconstruction
- $E_\nu$  and  $\theta_\nu$  is possible



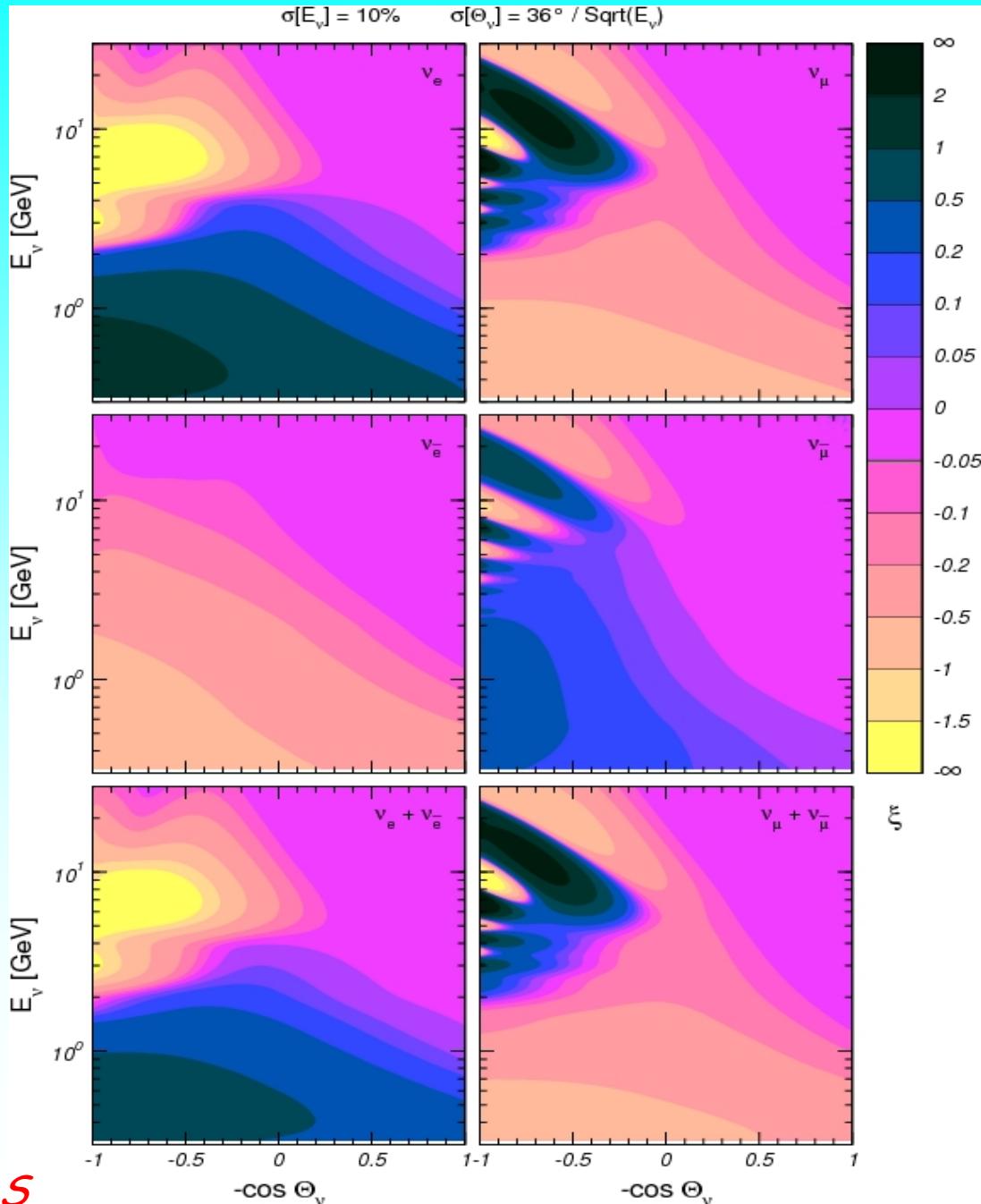
smoothing

# Goals:

- 1-3 mixing;
- neutrino mass hierarchy;
- CP-phase;
- oscillation tomography of the Earth;
- searches for new physics effects

# Tools:

Multi-Megaton water (ice)  
Cherenkov detectors  
TITAND - type



# Expanding frontiers

New energy  
frontiers

Low energies

Coherent scattering

High energies

Cosmic neutrinos  
Accelerator  
atmospheric

Fluxes and  
densities

High artificial fluxes

Dense neutrino gases

Engineering of flavors

At extreme  
conditions

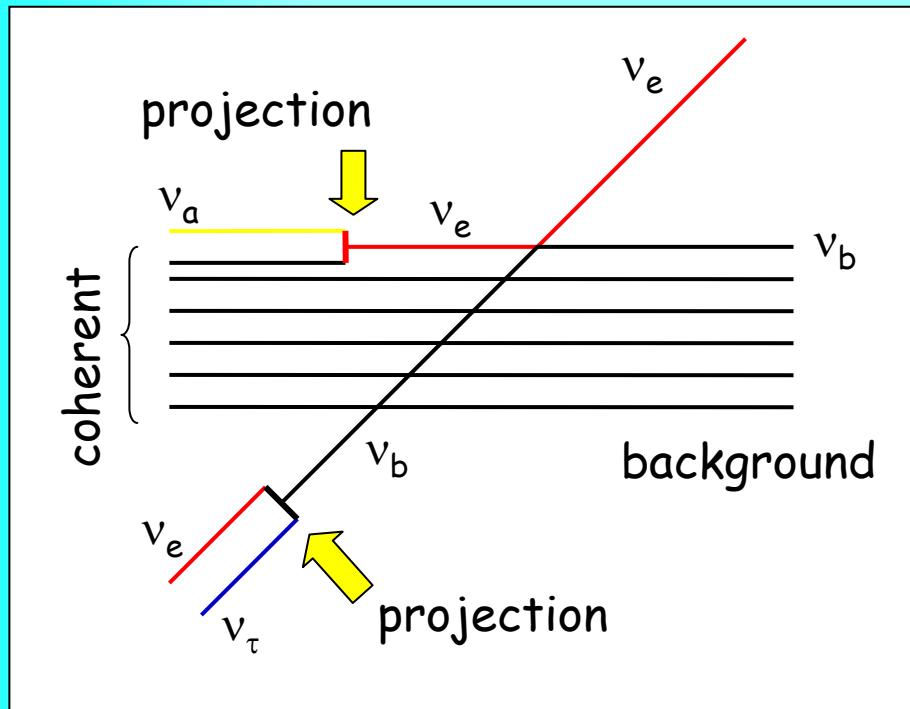
High matter densities

Strong magnetic fields

Nu-technologies

# Non-linear neutrino physics

J. Pantaleone  
S. Samuel  
V.A. Kostelecky



$\nu\nu$  - scattering in u-channel  
due to  $Z^0$  - exchange

Momentum exchange →  
flavor exchange

If the background is in mixed state:

$$|\nu_{ib}\rangle = \Phi_{ie} |\nu_e\rangle + \Phi_{i\tau} |\nu_\tau\rangle$$

coherence → refraction →  
collective flavor transformations

Contribution  
to the Hamiltonian  
in the flavor basis

$$H_{\nu\nu} = \sqrt{2 G_F} \sum_i (1 - \nu_e \nu_{ib}) \begin{pmatrix} |\Phi_{ie}|^2 & \Phi_{ie}^* \Phi_{i\tau} \\ \Phi_{ie} \Phi_{i\tau}^* & |\Phi_{i\tau}|^2 \end{pmatrix}$$

A. Friedland  
C. Lunardini

# Evolution equation

Ensemble of neutrino polarization vectors  $\mathbf{P}_\omega$

$$d_t \mathbf{P}_\omega = (\omega \mathbf{B} + \mu \mathbf{P}) \times \mathbf{P}_\omega$$

$$\mathbf{B} = (\sin 2\theta, 0, \cos 2\theta)$$

Negative frequencies  
for antineutrinos

$$\omega = \Delta m^2 / 2E$$

$$\mu = \sqrt{2} G_F n_\nu$$

Collective vector:

$$\mathbf{P} = \int_{-\infty}^{+\infty} d\omega \mathbf{P}_\omega$$

Equation of motion for  $\mathbf{P}$ : integrating equation of motion

$$d_t \mathbf{P} = \mathbf{B} \times \mathbf{M}$$

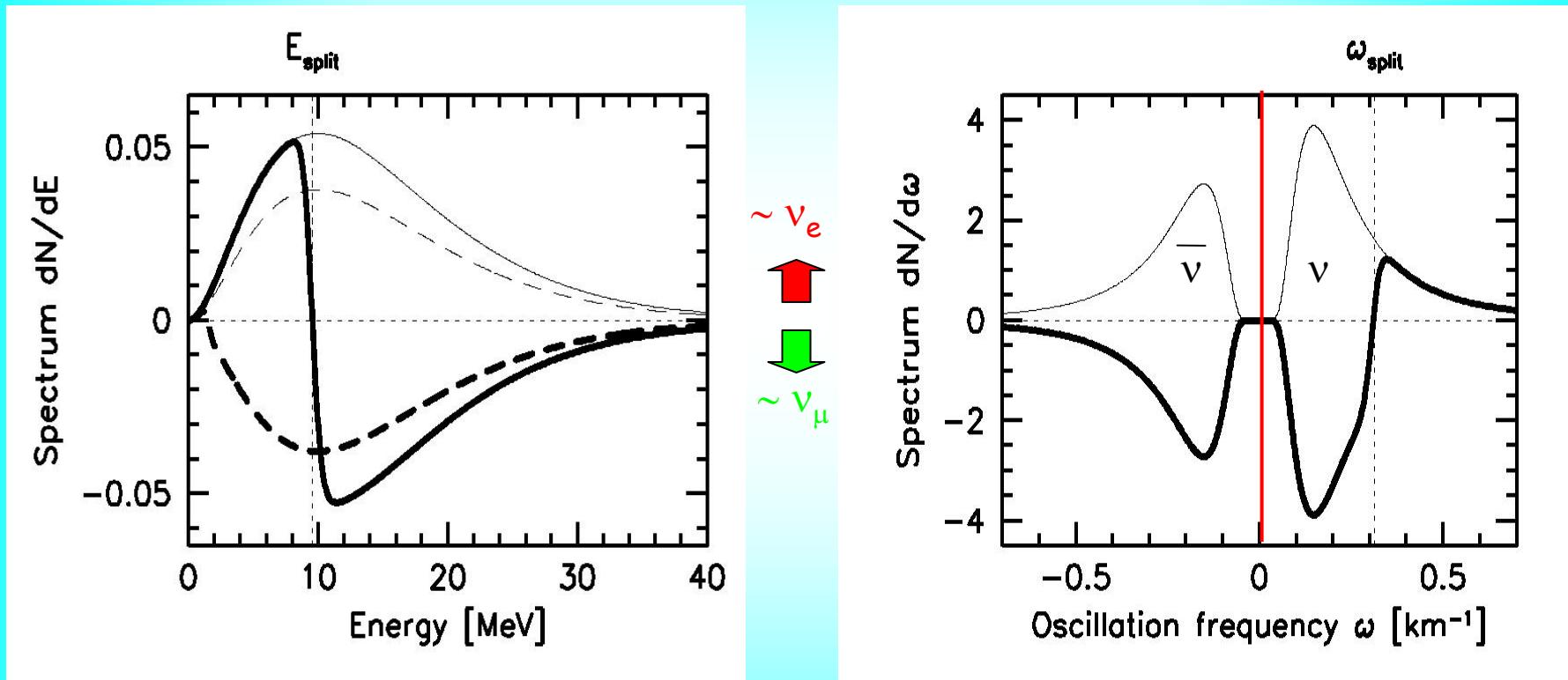
where

$$\mathbf{M} = \int_{-\infty}^{\infty} d\omega \omega \mathbf{P}_\omega$$

# Spectral split

H. Duan, G. Fuller, J. Carlson,  
Y. Z. Qian  
G. G. Raffelt, A.S.

thin lines - initial spectrum  
thick lines - after split



— neutrinos  
- - - antineutrinos

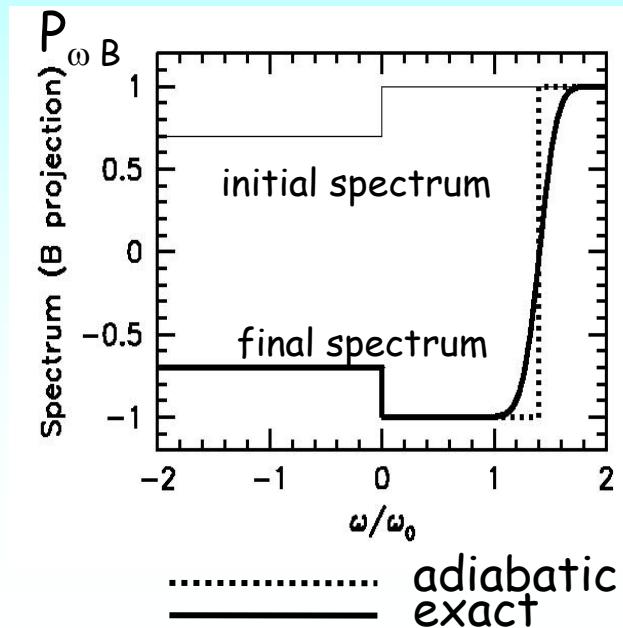
G. Raffelt, A.Yu. S.

$$\omega = \Delta m^2 / 2E$$

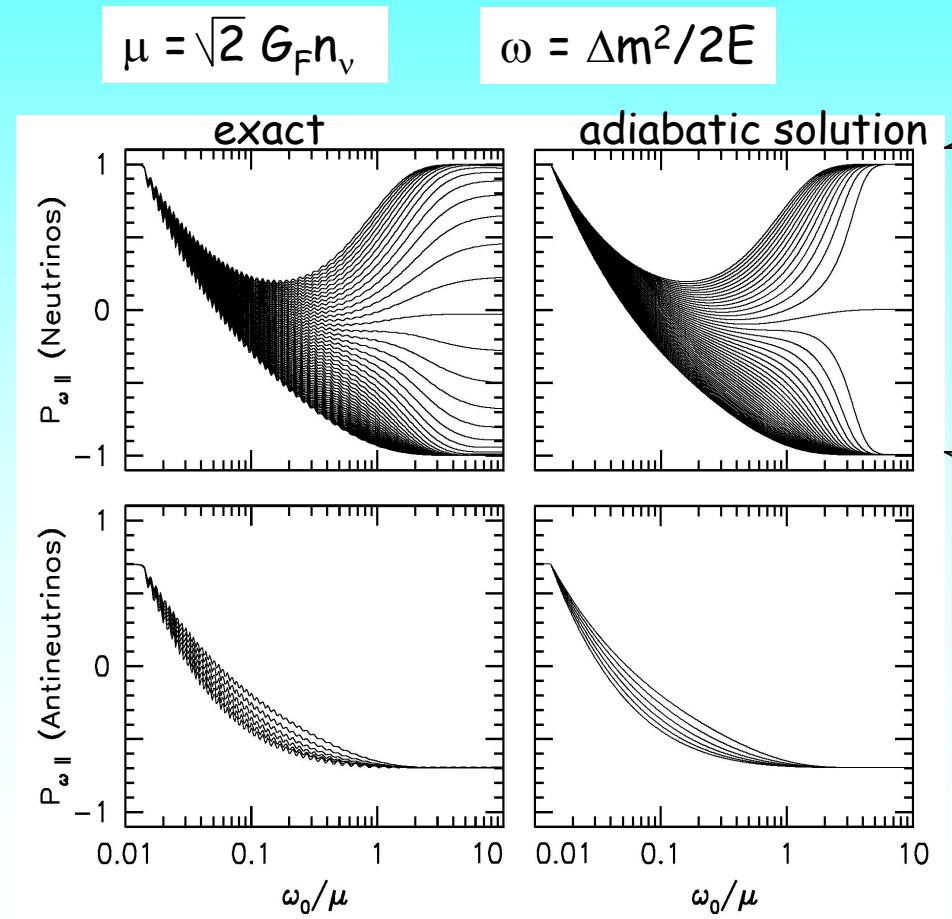
Can be observed!

# spectral split

can change whole picture  
of conversion of  
Supernova neutrinos



Result of the adiabatic evolution in certain co-rotating frame (formed by the collective vector and vector  $\mathbf{B}$ )



G. Raffelt, A.S.

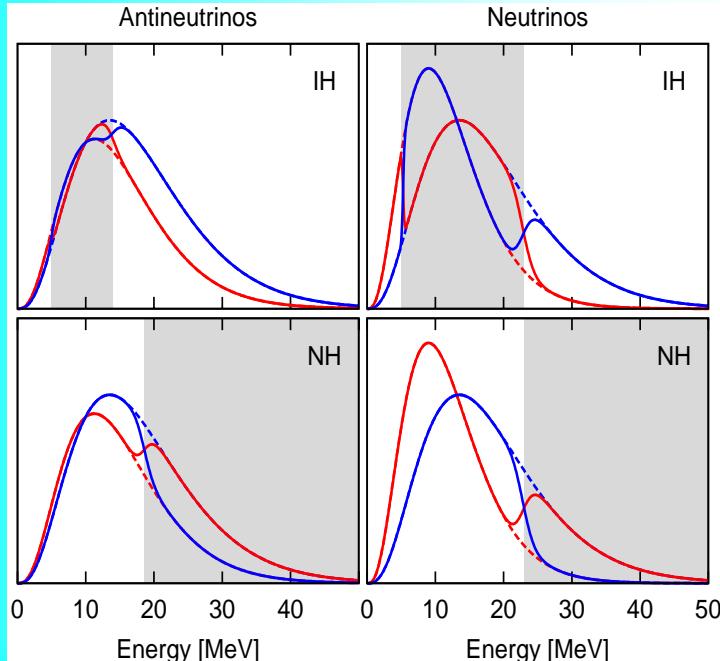
# Multiple splits & swaps

Spectral splits - part of more general phenomenon:  
multiple splits - flavor swaps in certain energy  
regions bounded by spectral splits

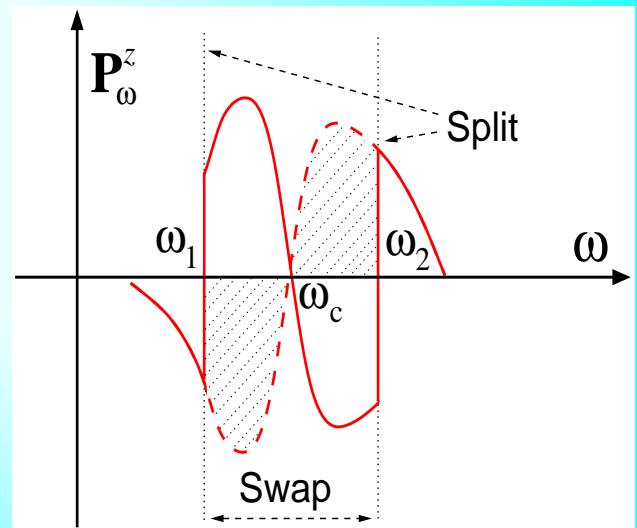
B. Dasgupta, A. Dighe,  
G. Raffelt, A.S.

Swaps develop around unstable spectral  
crossings: energies where  $F_e(E) = F_x(E)$ ,  
and flux difference changes in a certain way.

$E \rightarrow \infty$  should be considered as crossings



$$P_\omega^z = F_e(\omega) - F_x(\omega)$$

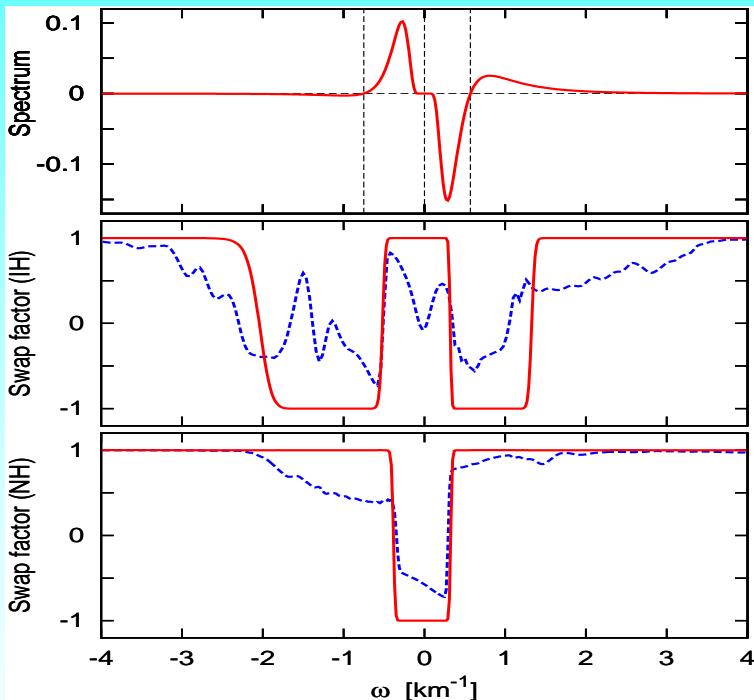


In simplest version swaps are  
related to existence of two  
co-rotating planes for polarization  
vectors above and below crossings

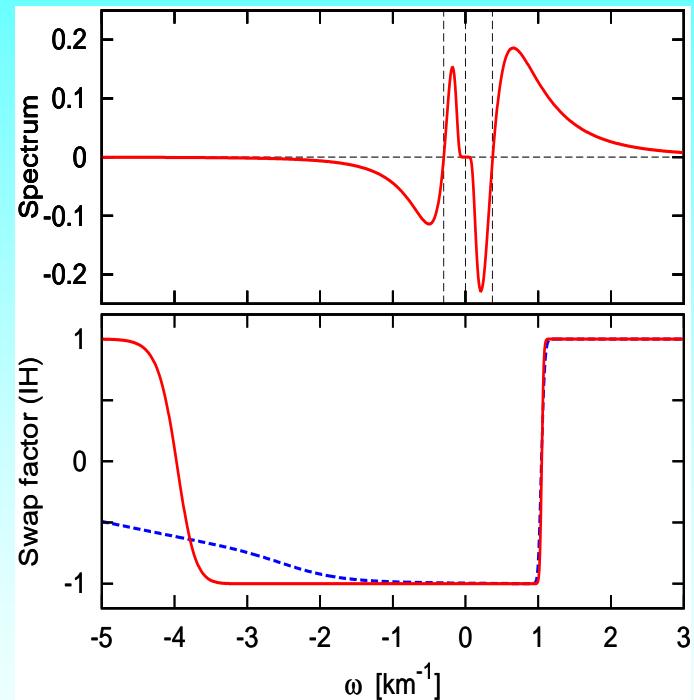
# Two examples

$$P_{\omega}^z = F_e(\omega) - F_x(\omega)$$

Swap factor:  $P_{\omega}^z(\text{final}) = S_e(\omega) P_{\omega}^z(\text{initial})$



Dashed - after integration over  
the angle of neutrino trajectory  
propagation



Real evolution

- number of crossings
- form of spectra,
- total neutrino fluxes

Mutual influence, merging....

# Conclusions

More consistent and coherent picture of neutrino properties: masses and mixing

New trend: from combined fits to confronting data from different experiments, looking for mismatch

Phenomenology: complex neutrino phenomena,  
Energy frontiers, extreme conditions

Developments related to identification of nature  
and origins of neutrino mass

Neutrinos and LHC

Unclear implications of results to fundamental theory

- origin of neutrino mass and mixing
- existence of flavor symmetries, unification etc.

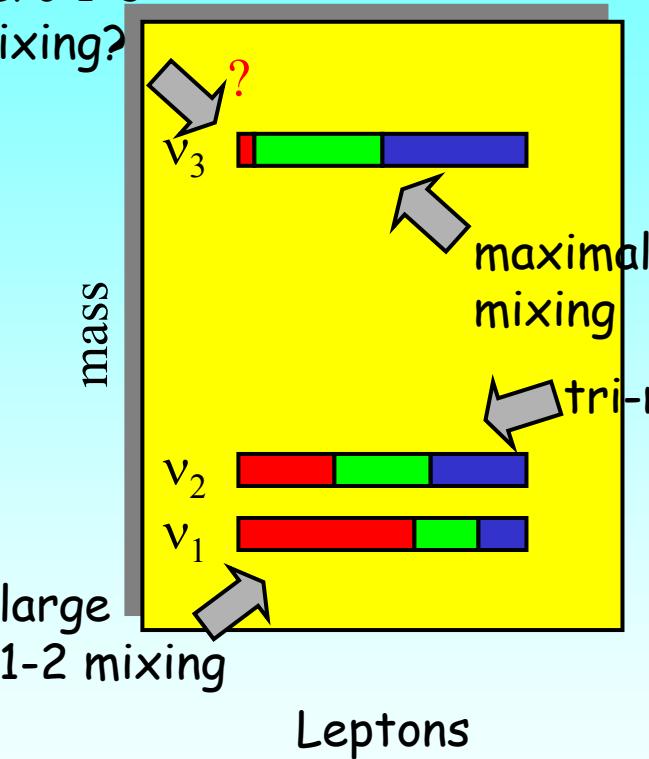
The question what should be done to have progress  
in understanding neutrino mass and mixing is already  
and will be a driving force of future developments

LHC and other HE experiments may clarify the situation

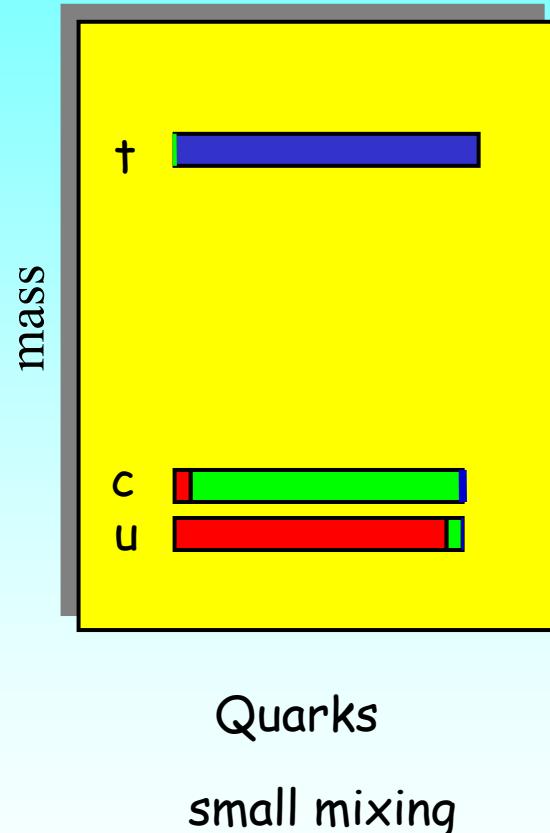
In spite of these problems we can start to think  
seriously about applications of neutrinos and  
neutrino technologies

# Leptons versus quarks

zero 1-3  
mixing?



$$\nu_f = U_{\text{PMNS}} \nu_{\text{mass}}$$



$$U_d = U_{\text{CKM}}^+ U \quad U = (u, c, t)$$

combination of upper-quarks  
produced with a given down quark

# Non-standard Interactions

Motivation: new physics at the EW and terascale; various extensions of SM Z', SUSY, KK, light particles

Rich phenomenology

- propagation
- detections

High energies where usual mixing is suppressed ...

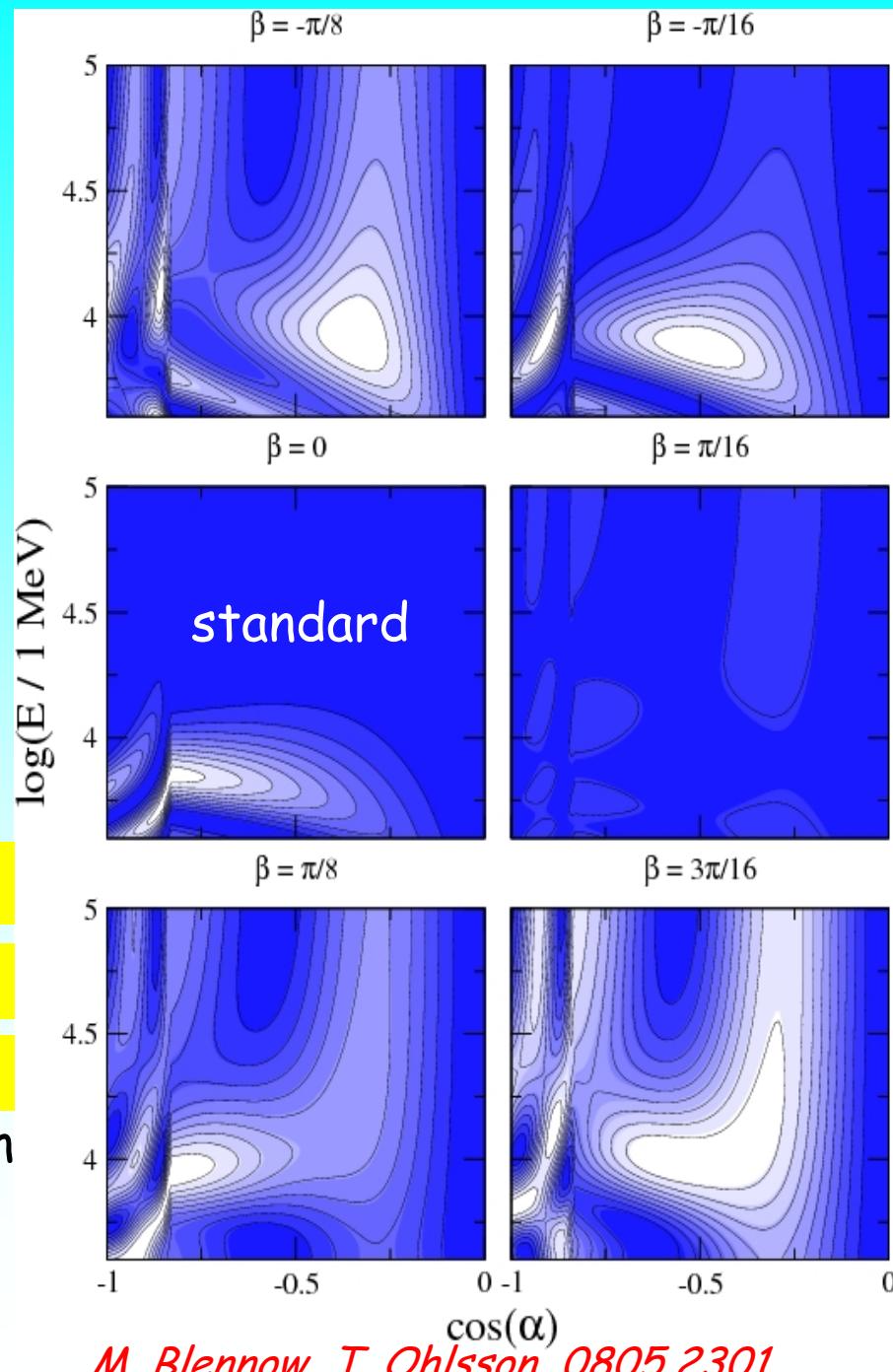
$$P_{ee}$$

$$\theta_{13} = 8^\circ$$

$$\varepsilon_{e\tau} \sim -0.5 \sin 2\beta$$

white: strong transition

Checks at LHC,  
Rare processes with L-violation



# What is beyond?

**LSND**

*Excess of e-like events*

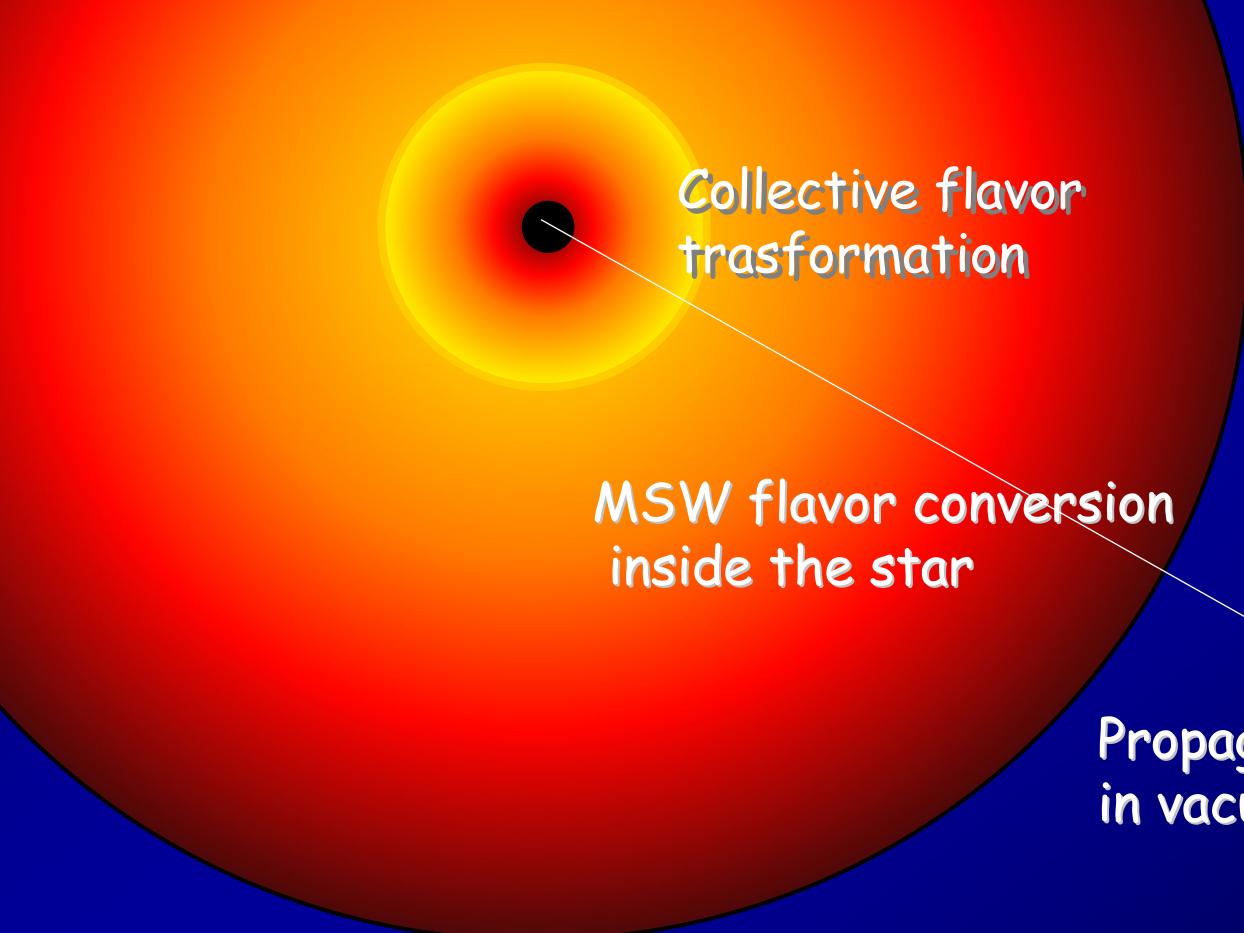
**MiniBooNE**

*Excess of  
Low energy events  
in the neutrino channel*

**SAGE  
GNO Gallex  
calibration  
experiments**

*Smaller measured signal*

# Supernova neutrinos



20 years  
SN1987A

Oscillations  
Inside the Earth

# Phenomenology

Solar  
neutrinos

Long baseline  
experiments

Atmospheric  
neutrinos

Supernova  
neutrinos

Cosmic neutrinos

Relic neutrinos

Reactor  
neutrinos