



# Baikal Neutrino Experiment: from NT200 to NT1000

**Vladimir Aynutdinov  
for the Baikal Collaboration**

Moscow, August 20, 2009

# **Collaboration**

- Institute for Nuclear Research, Moscow, Russia.
- Irkutsk State University, Russia.
- Skobeltsyn Institute of Nuclear Physics MSU, Moscow, Russia.
- DESY-Zeuthen, Zeuthen, Germany.
- Joint Institute for Nuclear Research, Dubna, Russia.
- Nizhny Novgorod State Technical University, Russia.
- St.Petersburg State Marine University, Russia.
- Kurchatov Institute, Moscow, Russia.

# **OUTLINE**

## **Introduction**

### **Neutrino telescope NT200+**

Design and Selected Physics Results

### **Future Gigaton-Volume (GVD) detector NT1000**

Preliminary Design

Prototype string for BAIKAL-GVD detector (2008-2009)

## **Summary**

# Baikal - Milestones

**Since 1980** Site tests and early R&D started

**1990** Technical Design Report NT200

**1993** NT36 started: the first underwater array  
the first neutrino events.

**1998** NT200 commissioned: start full physics program.

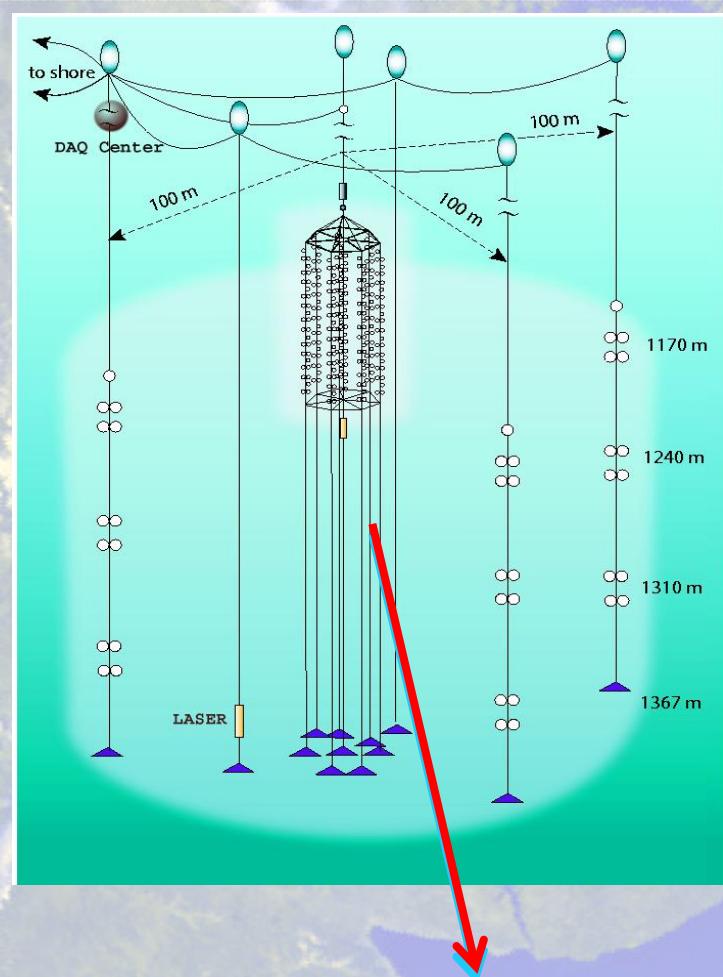
**2005** NT200+ commissioned (NT200 & 3 outer strings).

**2006** Start R&D for Gigaton Volume Detector (GVD) – NT1000.

**2008** In-situ test NT1000 electronics: 6 new technology optical modules

**2009** Prototype string for NT1000: 12 optical modules

**2011** GVD cluster (3 strings), Technical Design Report



~3.6 km to shore,  
1070 m depth

# Status of NT200+

NT200+ is operating now in Baikal lake

Quasar  
photodetector  
( $\varnothing=37\text{cm}$ )

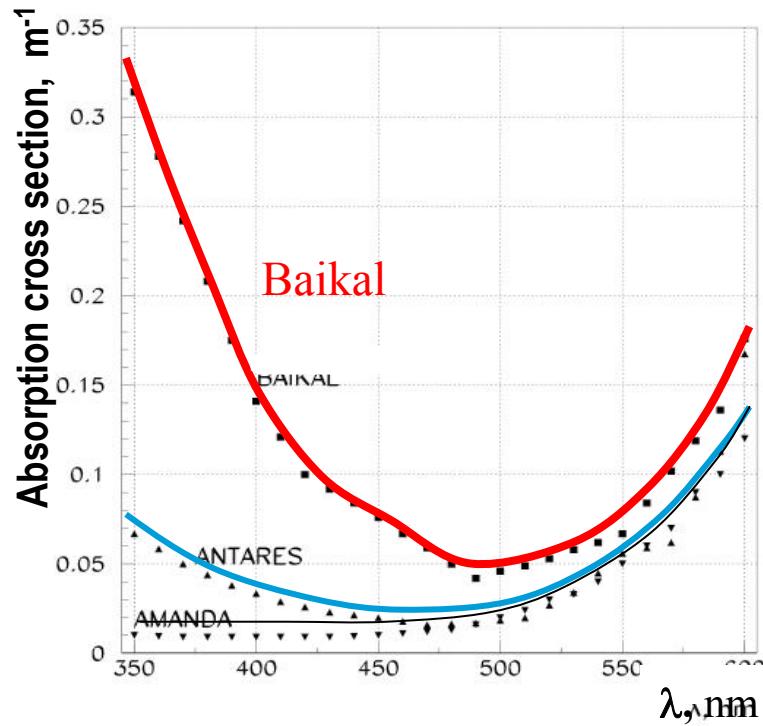
LAKE BAIKAL

NT200: 8 strings (192 optical modules )  
Height  $\times \varnothing = 70\text{m} \times 40\text{m}$ ,  $V_{\text{inst}}=10^5\text{m}^3$   
Effective area: 1 TeV~2000m $^2$   
Eff. shower volume: 10 TeV~ 0.2 Mton

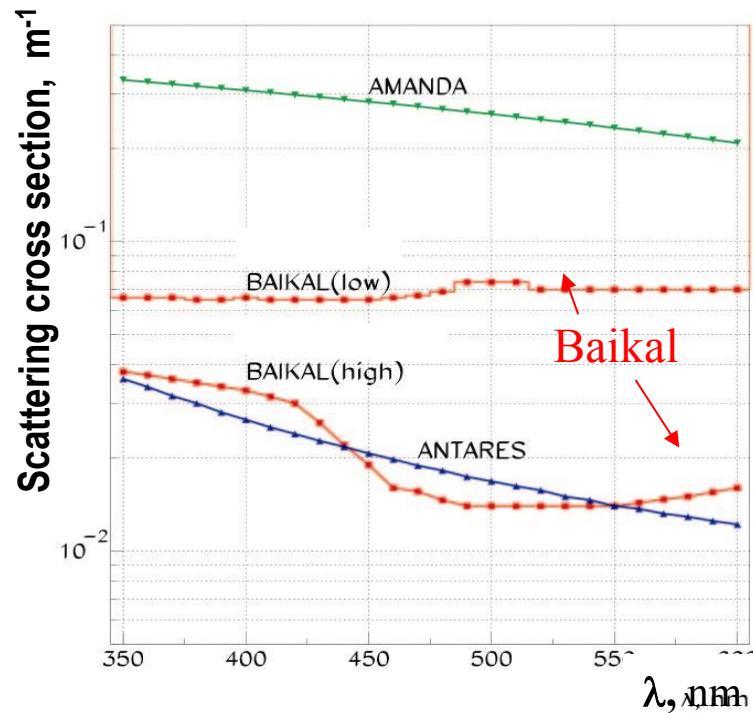
NT200+ = NT200 + 3 outer strings (36 optical modules)  
Height  $\times \varnothing = 210\text{m} \times 200\text{m}$ ,  $V_{\text{inst}}=4\times 10^6\text{m}^3$   
Eff. shower volume:  $10^4\text{TeV} \sim 10\text{ Mton}$

→ ~4 times better sensitivity  
→ Improve cascade reconstruction

# BAIKAL Water Optical Properties



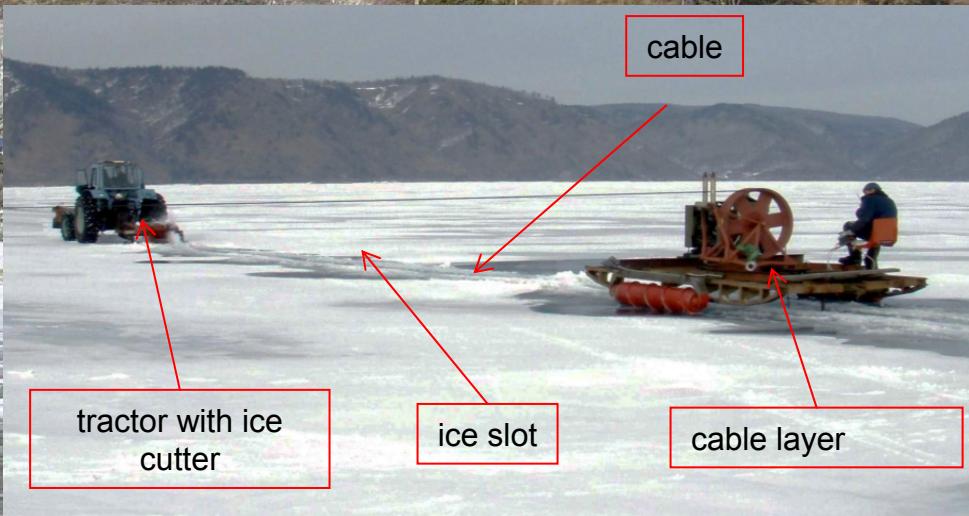
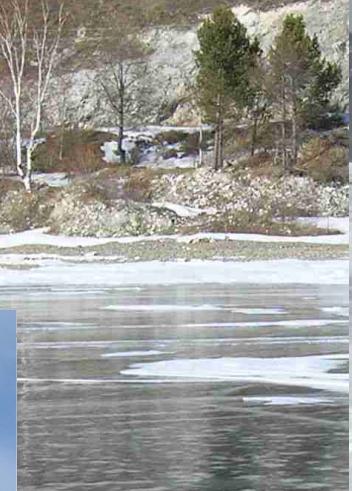
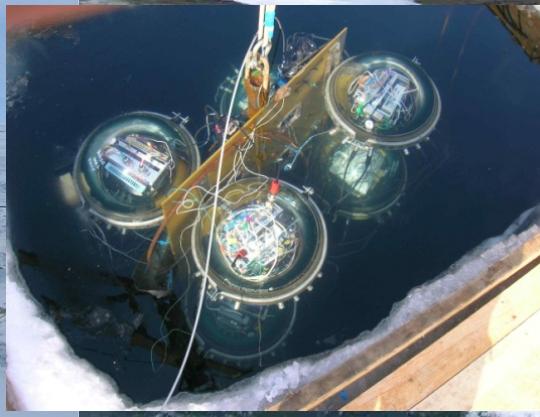
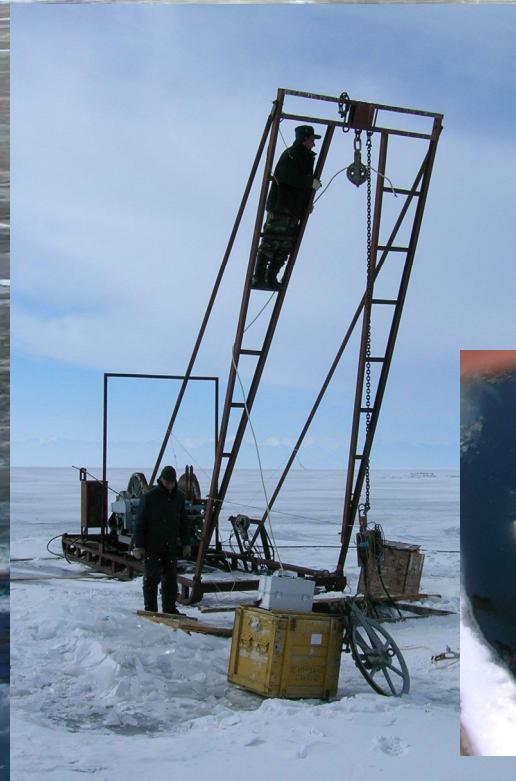
Abs.Length:  $22 \pm 2 \text{ m}$



Scat.Length: 30-50 m  
 $\langle \cos\theta \rangle$ : 0.85-0.90

No high luminosity bursts from biology

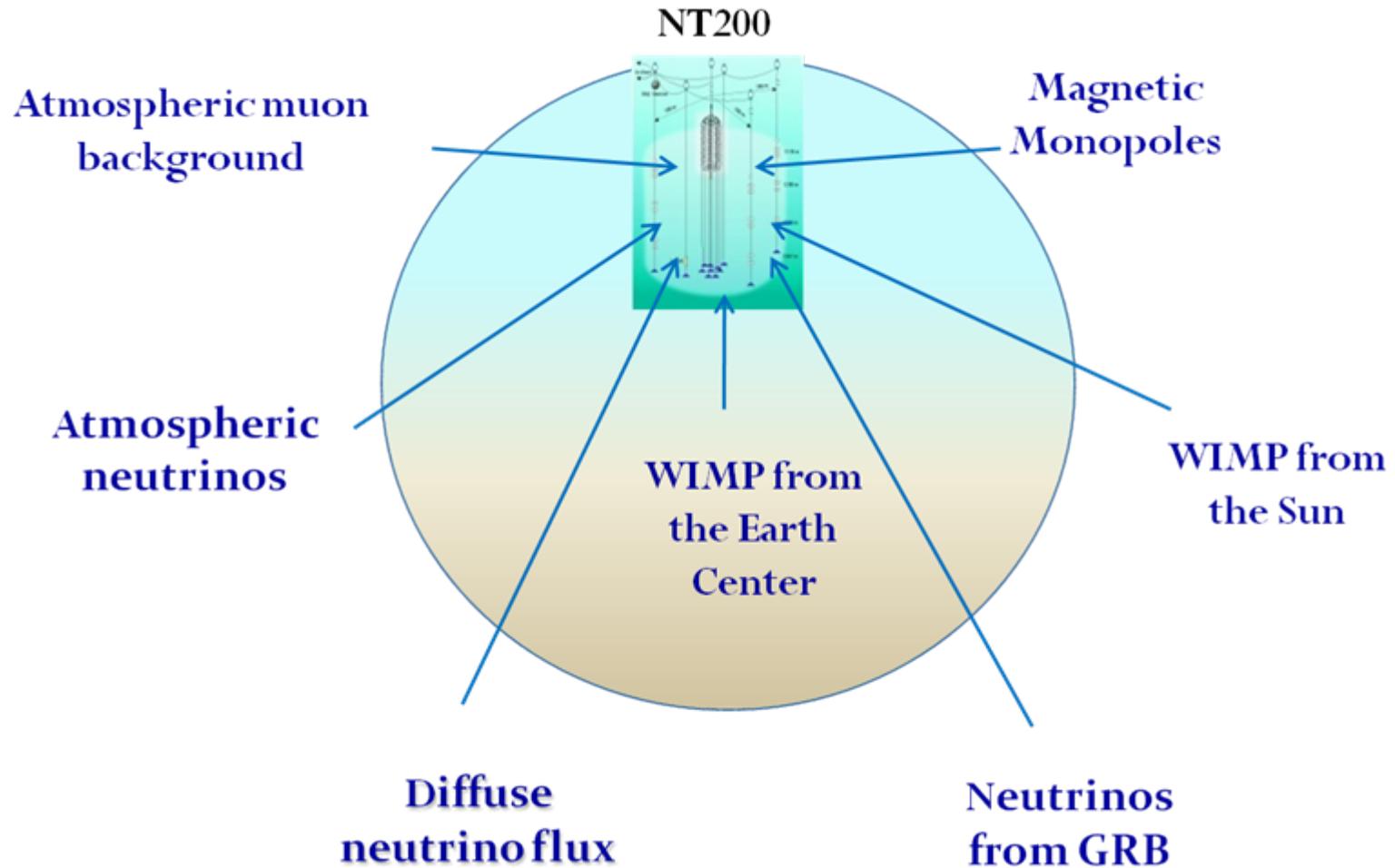
# Ice as a natural deployment platform



*Shore cable mounting*

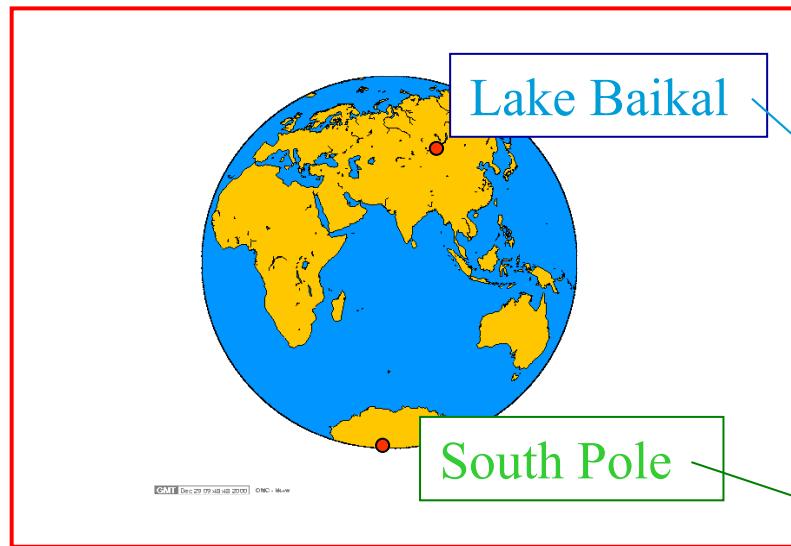
*Deployment with winches*

# Selected Physics Results



# Low energy muon neutrinos

Lake Baikal (NT200) & South Pole (Amanda)  
Complete sky coverage including central parts of Galaxy

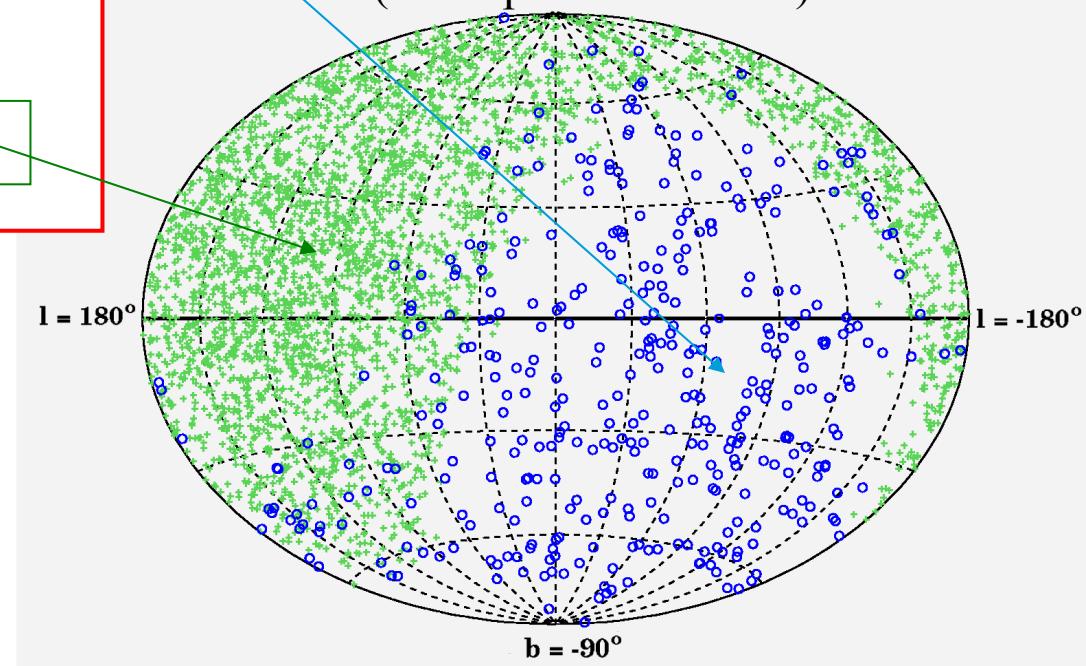


Skyplot of NT200 neutrino events  
(galactic coordinates)

$E_{THR}$  15-20 GeV

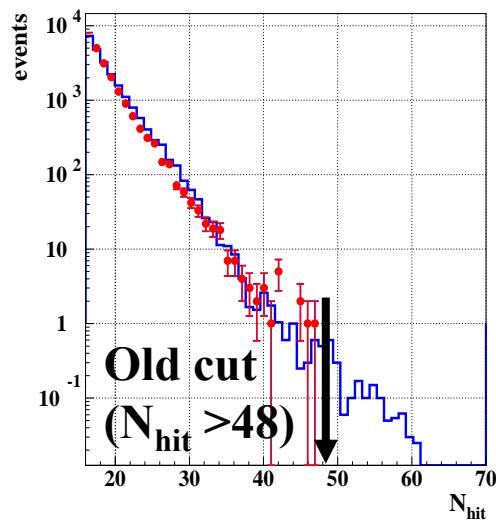
372 Neutrinos in 1038 Days (1998-  
2003)

385 events from Monte-Carlo  
(atmospheric neutrino)



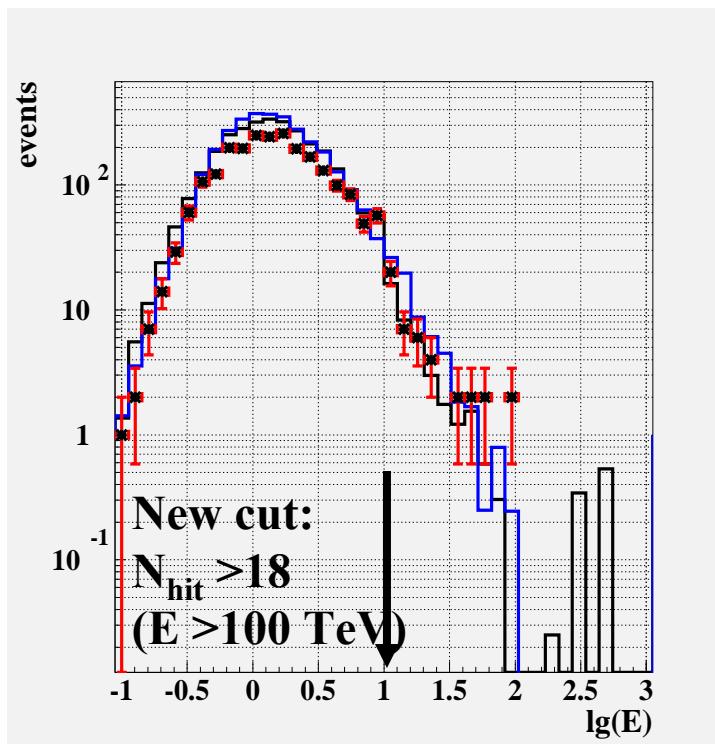
# High energy neutrino: searching for diffuse neutrinos based on cascades reconstruction

New analysis of existing data with vertex, energy and direction reconstruction of cascades: improvement of published limit by a factor



*Hit channel multiplicity*

Cascade reconstruction:  
 $\Delta \lg E \sim 10\%$ ;  
 $\Delta r \sim (5-10)\%$ ;  
 $\Delta\Psi \sim 5^\circ$



The 90% C.L. “all flavour” limit,  $\nu_e : \nu_\mu : \nu_\tau = 1 : 1 : 1$   
Cut  $E > 10 \text{ TeV}$  for up-going cascades  
Cut  $E > 100 \text{ TeV}$  for down-going cascades  
 $E^2 \Phi_n < 2.9 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$  (Cascades Baikal, 2008)

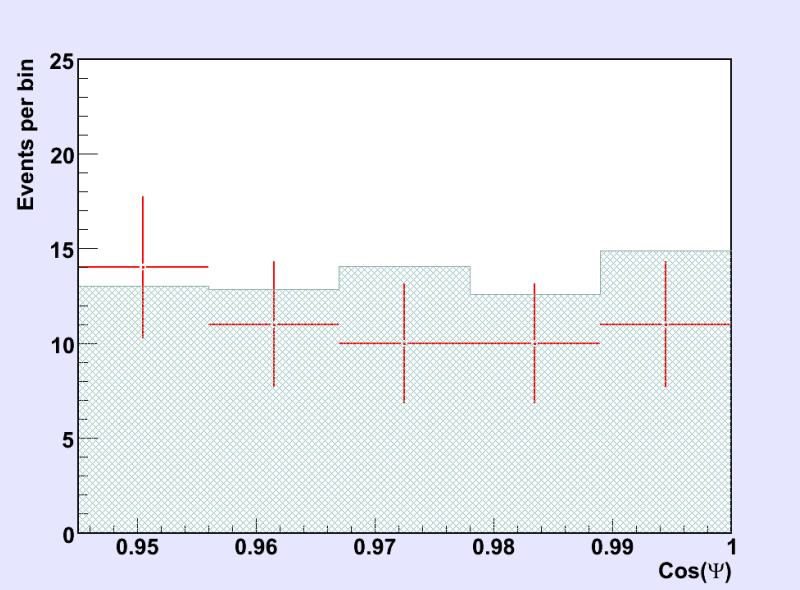
$E^2 \Phi_n < 2.2 \cdot 10^{-7} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$  (Muons AMANDA-II)

*Energy distribution of experimental (red), generated (blue) and reconstructed (black) events from atmospheric muons.*

Preliminary

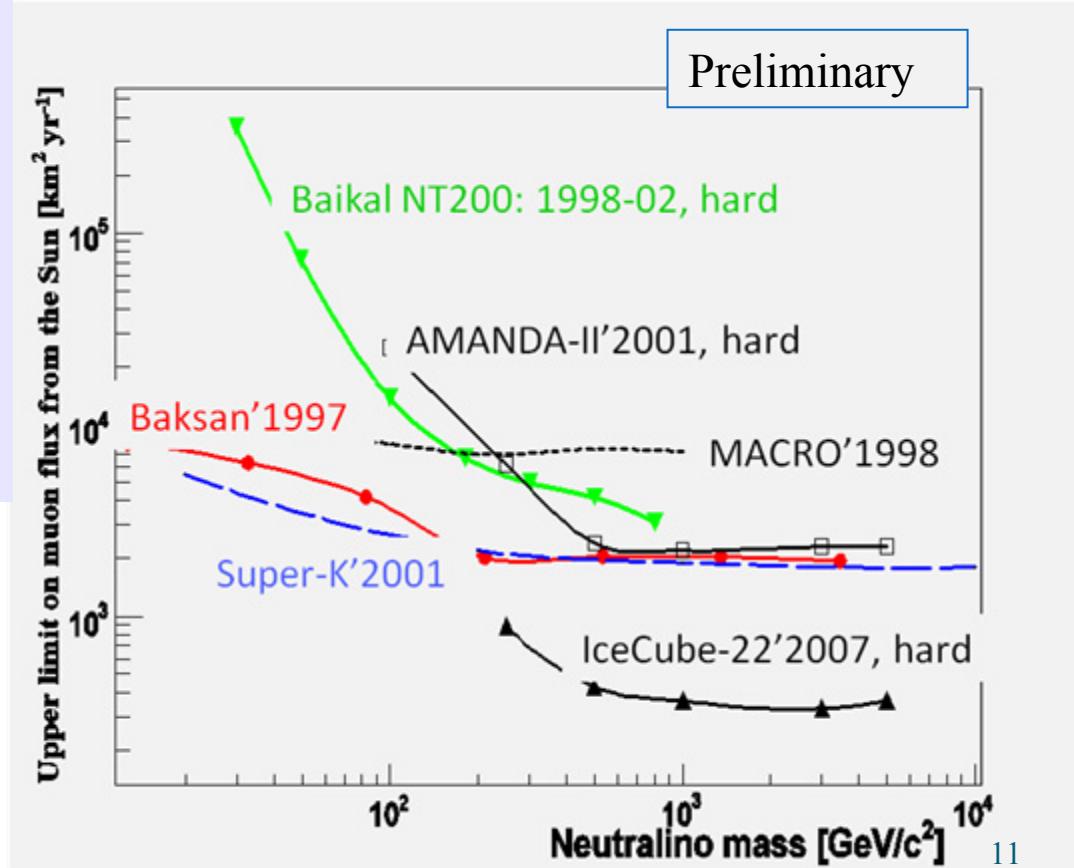
# WIMP Neutrinos from the Sun

- Neutralino (WIMP) as favored Dark Matter candidate
- Gravitationally trapped in the Sun (or Earth)
- the Sun would be a neutrino-source (annihilation) → “Indirect“ WIMP searches



*Sun-mismatch angle  $\Psi$  (Muon/Sun):  
data and background (histogram)*

No excess of events above  
atm.  $\nu$  BG → Flux Limits



# Search for fast monopoles

For a Dirac charge  $g = 68.5$  e, Cerenkov radiation emitted by monopoles is 8300 times more than that of a muon.

## Event selection criteria:

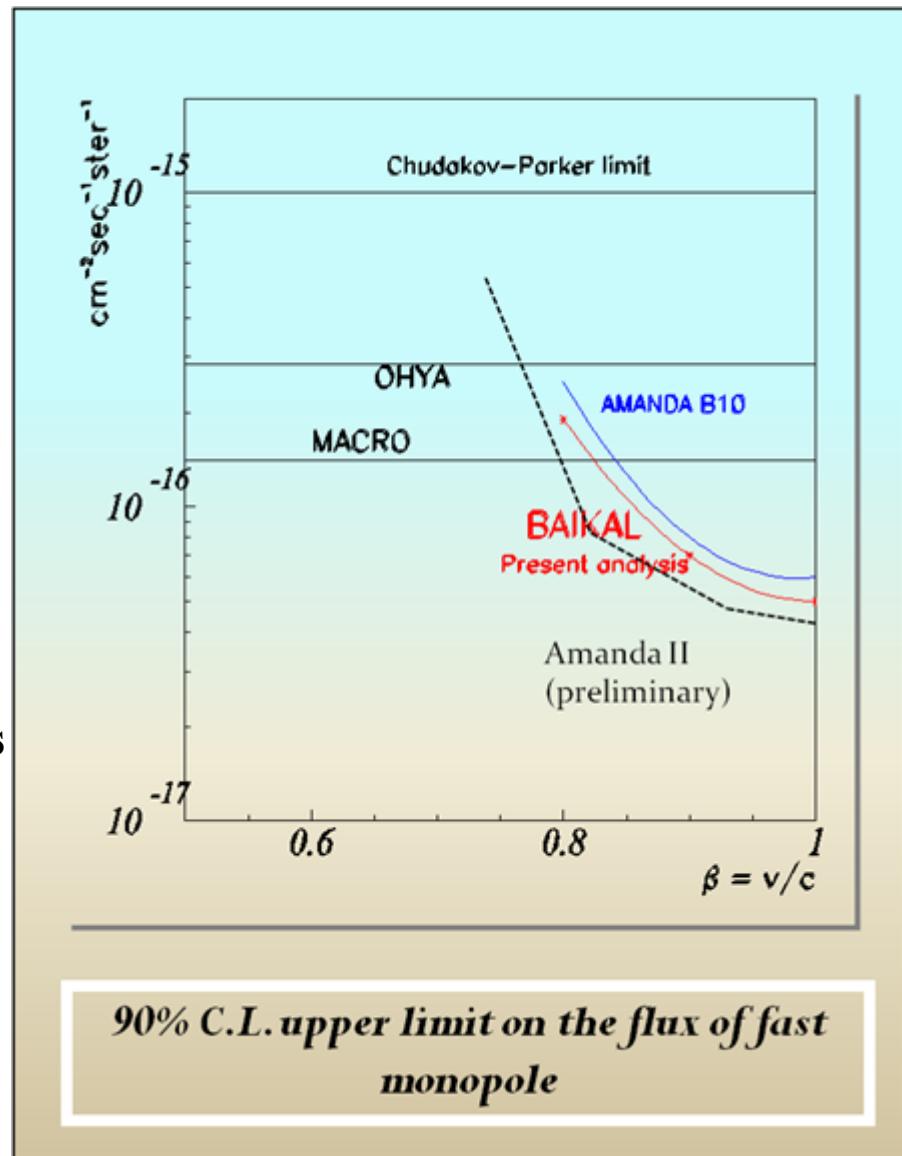
1. Hit channel multiplicity :  
 $>30$  pairs of PMTs hit.
2. Upward moving light patterns:  
time-vertical-coordinate correlation.

**Background** - atmospheric muons.

No excess over the expected background was found.

**Limit on a flux of relativistic magnetic monopoles (1003 days of live time):**

$$F < 4.6 \cdot 10^{-17} \text{ cm}^{-2} \text{ sec}^{-1} \text{ sr}^{-1}$$



90% C.L. upper limit on the flux of fast monopole

# Search for neutrinos from Gamma-Ray Bursts with NT200

**Analysis of time and directional correlations between NT200 events and GRB**

## Experimental data

NT200 data from April 1998 to May 2000

GRB data:

- basic BATSE 4B catalog (triggered bursts): 155
- non-triggered GRB: 148

## Signal search:

Time window:  $(t_{GRB} + T_{90} + 5s) - (t_{GRB} - 5s)$

Half angle of observation cone:  $\Psi = 5^\circ$

## Background:

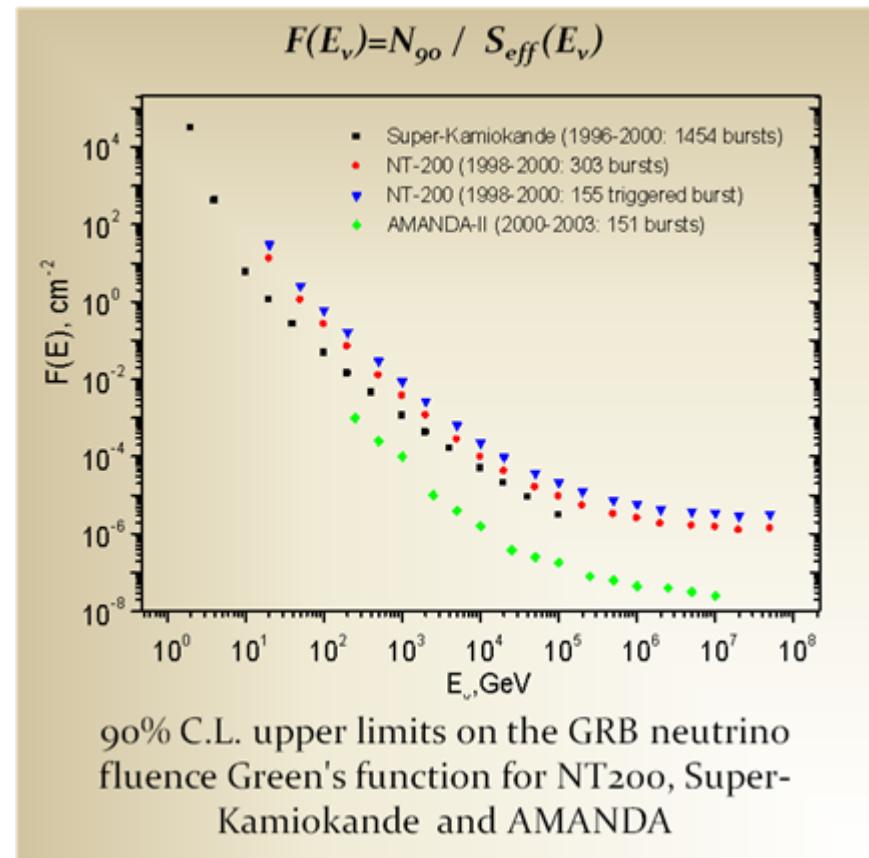
Time interval  $(t_{GRB} + 1000s) - (t_{GRB} - 1000s)$

(excluding signal window);  $\Psi = 10^\circ$ .

GRB	Signal	Backgr.	$\mu_{90}$	$N_{90}$
All GRB	1	2.7	2.1	$1.0 \times 10^{-2}$
Trig. GRB	1	1.6	2.8	$2.3 \times 10^{-2}$

$\mu_{90}$  - event upper limit

$N_{90}$  - 90% C.L. upper limit on the number of events per GRB

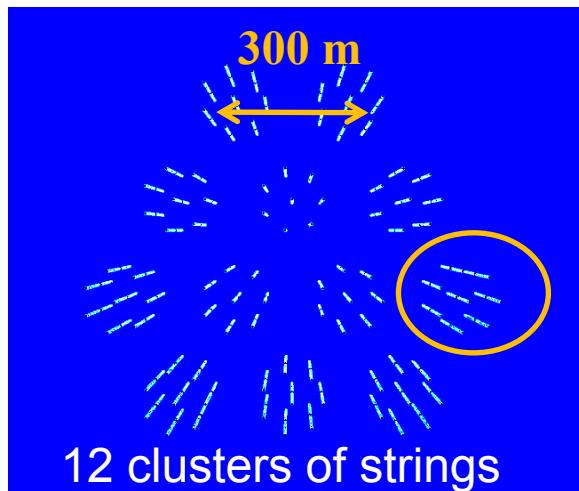


90% C.L. upper limits on the GRB neutrino fluence Green's function for NT200, Super-Kamiokande and AMANDA

For Waxman – Bahcall spectrum and triggered GRB  
 $E_\nu^2 \Phi_\nu \leq 1.1 \times 10^{-6} \text{ GeV cm}^{-2} \text{ s}^{-1} \text{ sr}^{-1}$ , for  $E_\nu > 100 \text{ TeV}$ .

# Gigaton Volume Detector in Lake Baikal – NT1000

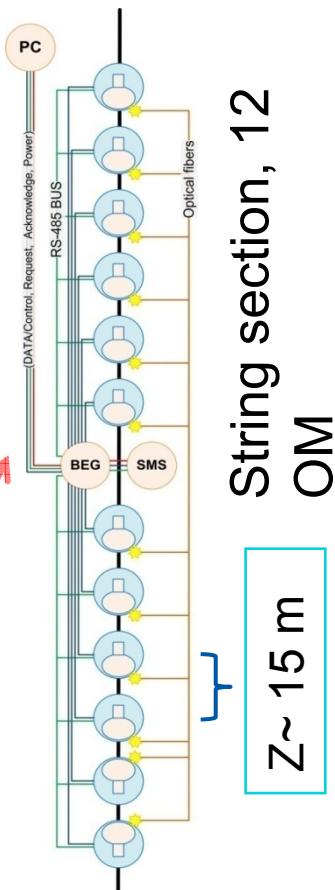
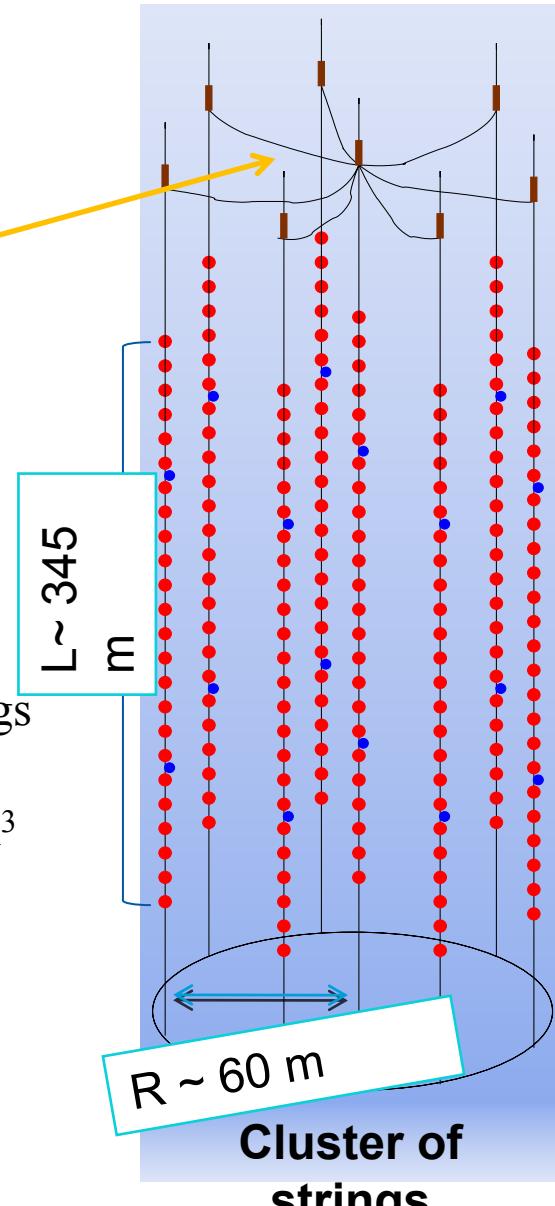
## Preliminary design



NT1000: top view

### Layout:

2304 Optical Modules (OM) at 96 String  
String: 24 OM → 2 Sections with 12 OM  
Strings are combined in Clusters → 8 strings



Cascades ( $E > 100$  TeV):  $V_{\text{eff}} \sim 0.3 - 0.8 \text{ km}^3$

$\delta(\lg E) \sim 0.1$ ,  $\delta\theta_{\text{med}} \sim 2^\circ - 4^\circ$

Muons ( $E > 10$  TeV):  $S_{\text{eff}} \sim 0.2 - 0.5 \text{ km}^2$

$\delta\theta_{\text{med}} \sim 0.5^\circ - 1^\circ$

# Optimisation of NT1000 configuration

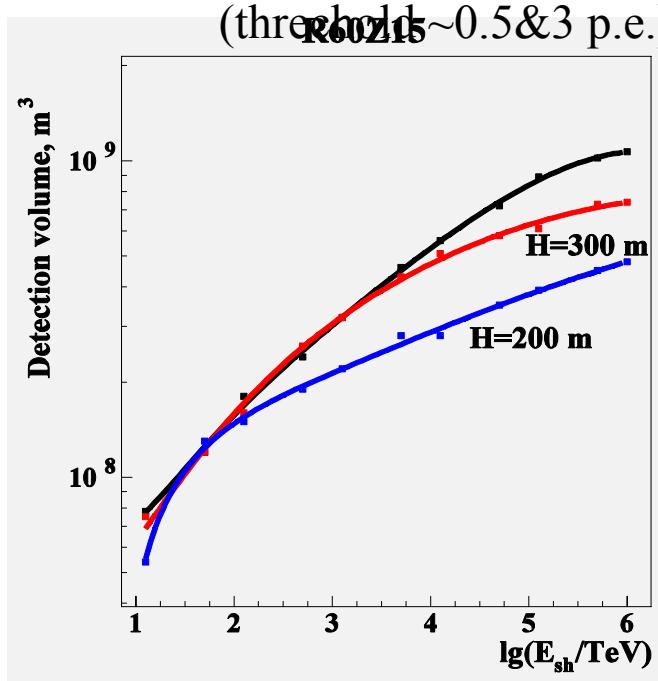
**Basic parameters for optimization:**

Z – vertical distance between OM

R – distance between string and cluster centre

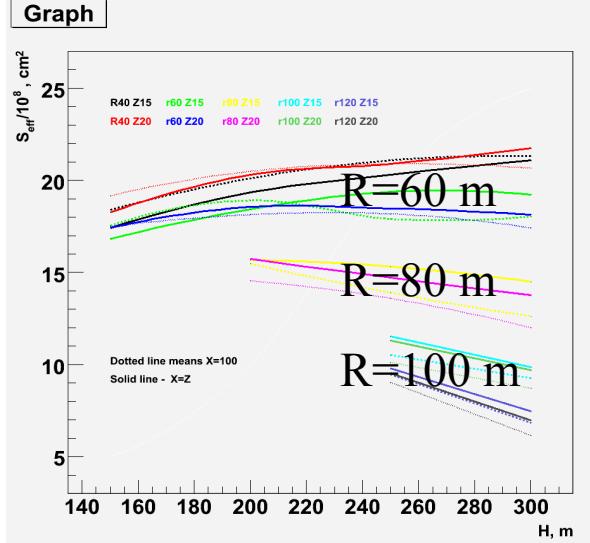
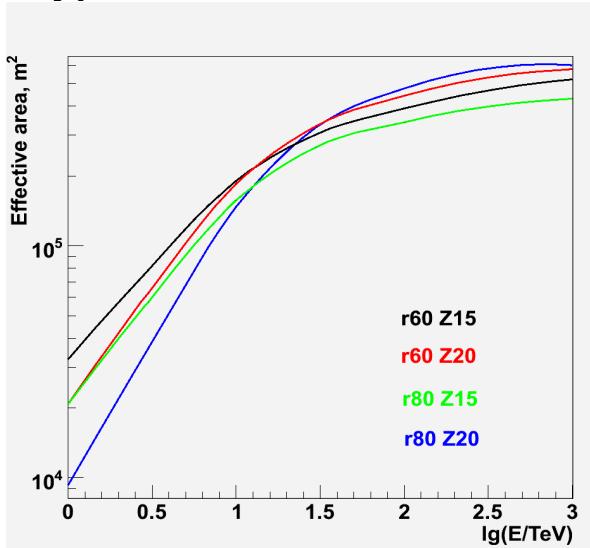
H – distance between cluster centres

**Trigger:** coincidences of neighbouring OM on string  
(threshold  $r_{60 Z15} \sim 0.5 \& 3$  p.e.)



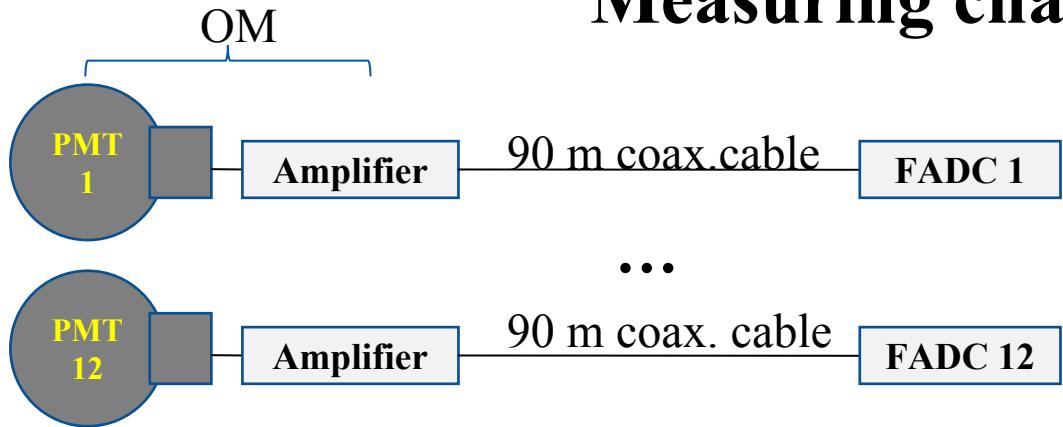
*Cascade detection volume*

The compromise between cascade detection volume and muon effective area:  
H=300 m  
R = 60 m  
Z = 15 m



*Muon effective area*

# Measuring channels



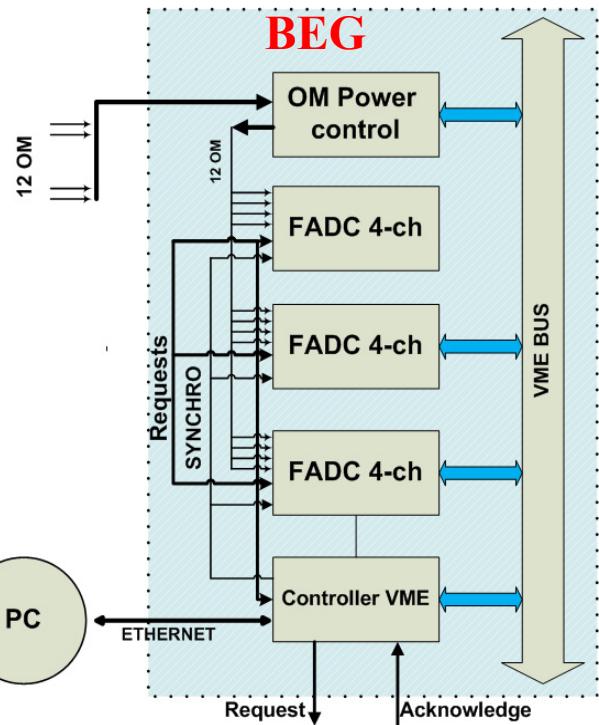
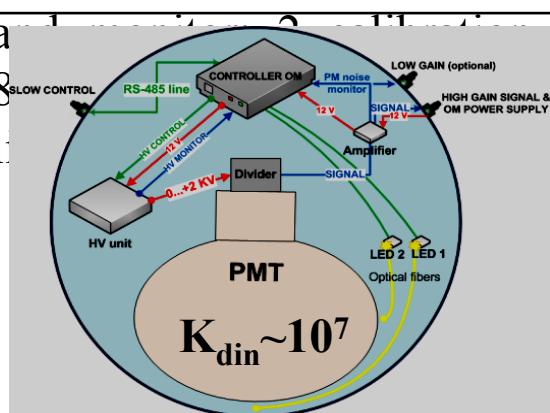
## The new generation Baikal Optical module

PM: XP1807(12’’), R8055(13’’),  
R7081HQE(10’’) QE ~0.24 QE ~0.2 QE~0.3

HV unit: SHV12-2.0K 1000N, TracoPower

OM controller: PM pulse counter; HV control

a  
48  
Ampl  
LEDs; RS-  
r),  $K_{\text{amp}} \sim 10$



## BEG (FADC Unit):

3 FADC-board: 4-channel, 12 bit, 200 MHz;

OM power controller: OM power on/off;

VME controller: trigger logic, data readout from

FADC-boards and connection via local

# Section of OM – basic cell of NT1000

**Section consists of :**

12 Optical Modules

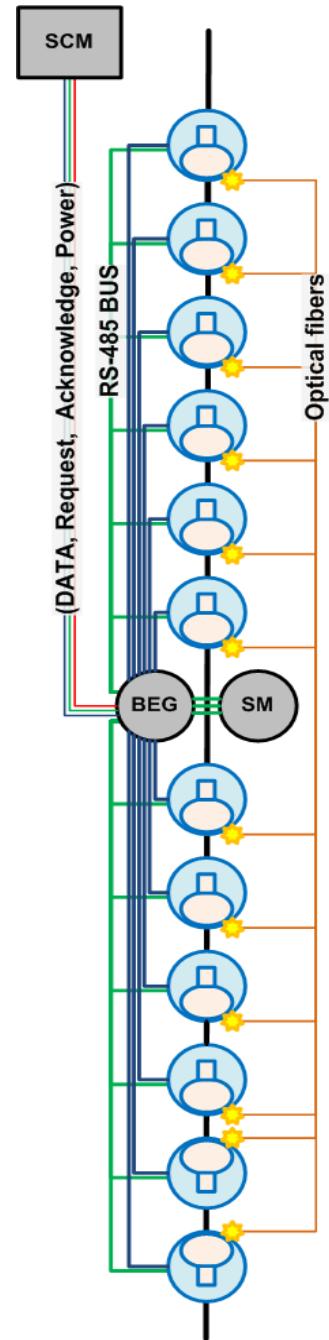
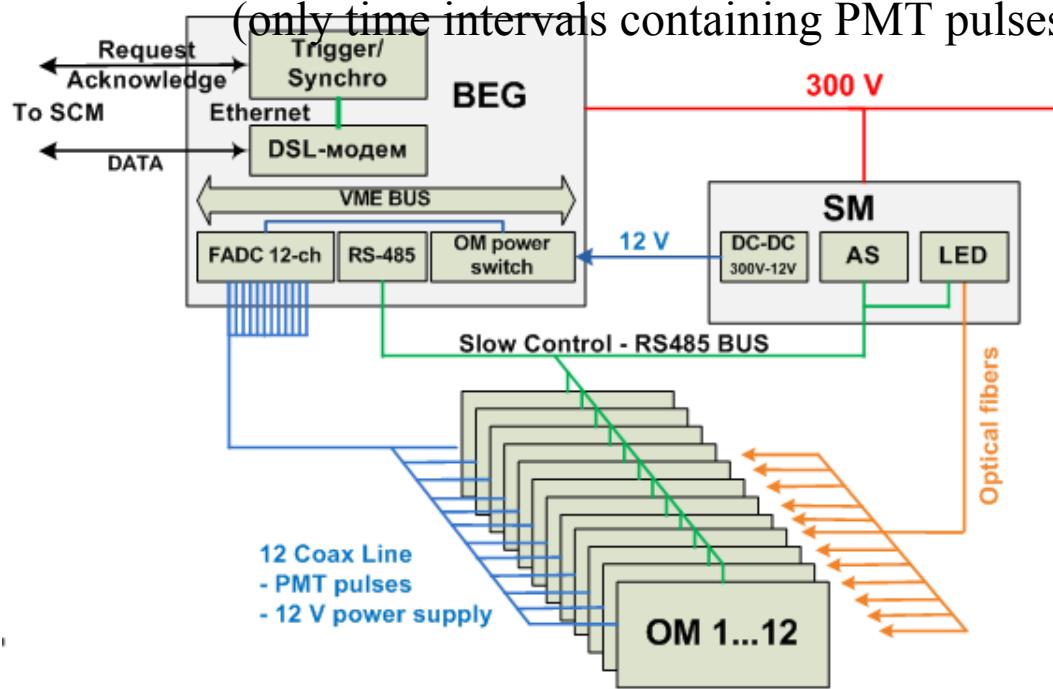
1 BEG with 12 FADC channels

1 Service Module (SM) with LED, OM power supply, and acoustic coordinate system.

**Trigger:** coincidences of neighbouring OM (threch.  $\sim 0.5\&3$  p.e.)  
count rate  $\sim 100$  Hz

**Data Communication** - DSL-modem: dataflow  $\sim 0.5$  Mbit/s

(only time intervals containing PMT pulses are transmitted)



# Cluster of strings

**Cluster:** 8 strings  $\times$  24 OM

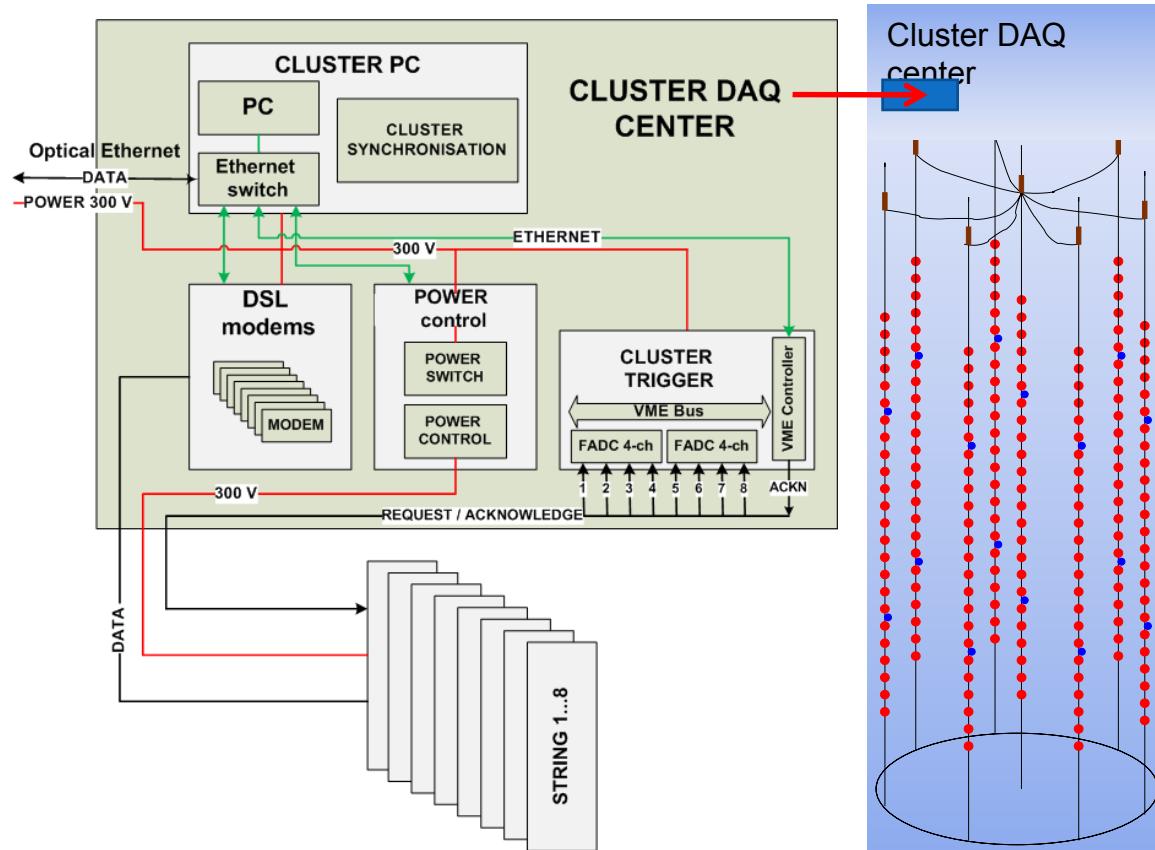
**Cluster DAQ Centre:**

PC module with optical Ethernet communication to shore;

Trigger module with 8 FADC channel for the measure of string trigger time;

DSL-modems for communication with all strings

Power control system.

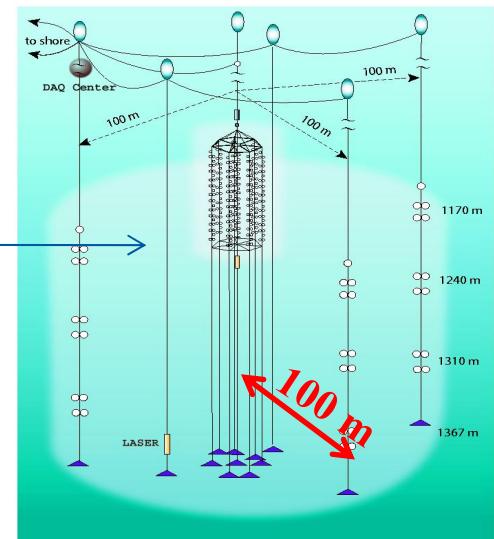
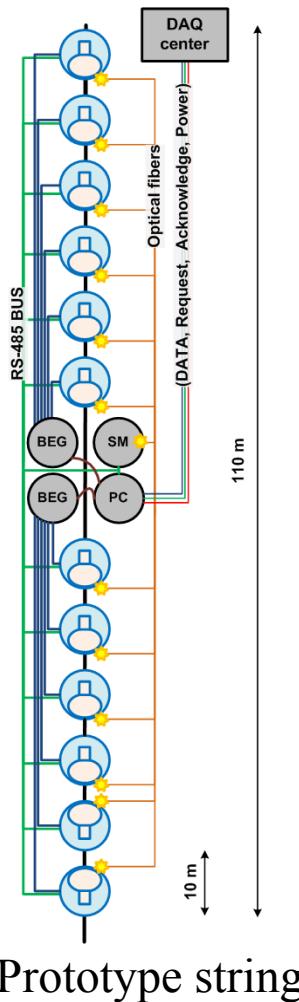


# NT1000 R&D

**NT200+ array is a first step toward NT1000**

NT200+ has approximately same scale as a cluster of NT1000 and has allowed to verify detector response simulation, communication and synchronization systems.

- NT1000 optimization on the basis of simulation program tested with NT200+;
- New communication system on the basis of underwater Ethernet and DSL-modems was development and studied with NT200+.
- String time synchronization with laser light source was tested with NT200+.



NT200+

**In-situ tests of new measuring system with prototype string**

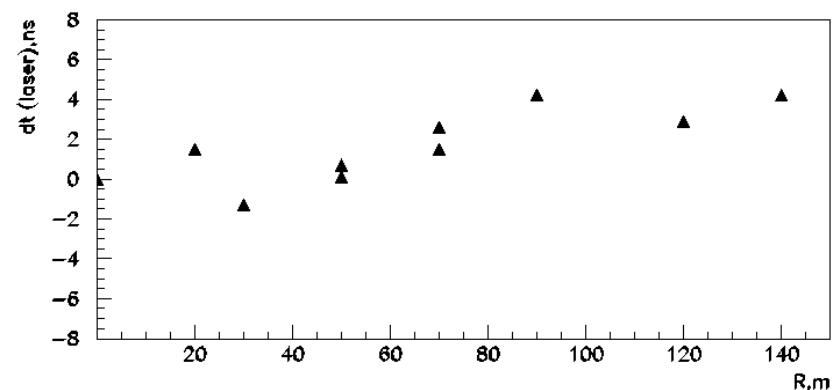
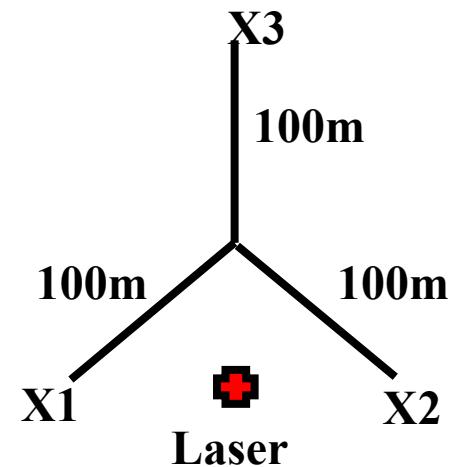
The basic goals: investigation and in-situ test of basic elements of the future detector – new optical modules, DAQ system, cabling system, triggering approaches.

# NT200+ time synchronization with Laser

NT200+ allows to test the time synchronization between the channels of different strings



Laser intensity : cascade energy  
 $(10^{12} - 5 \cdot 10^{13}) \gamma$  :  $(10 - 500)$  PeV  
→ Laser is visible >200m with high Ampl



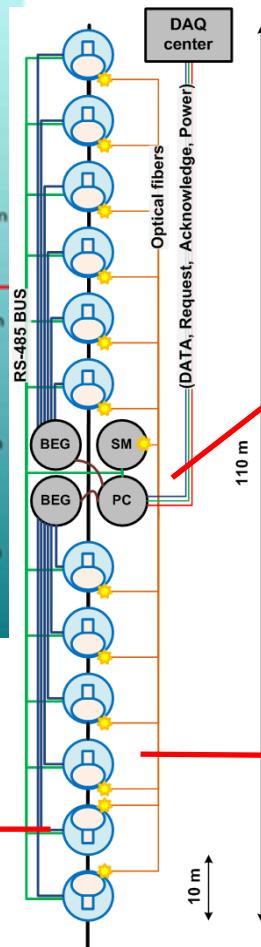
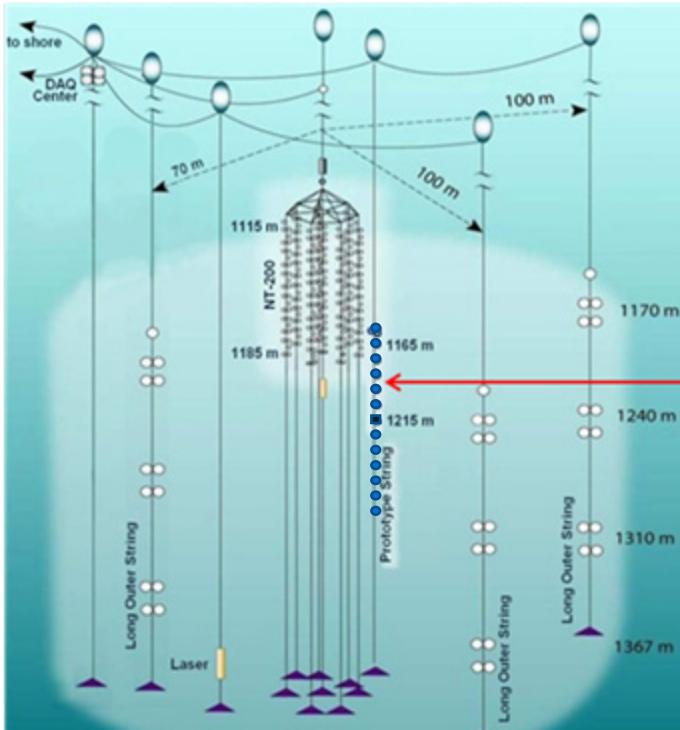
Precision of time synchronization  
3...4 ns up to distances ~150 m

Deviation of time differences between two NT200+ channels measured with laser from expected value in dependence on distances between channels

# NT1000 prototype string

April 2009: Start of prototype string operation as a part of NT200+  
2 Sections with 6 OM, two BEGs with FADC, PC Module, Service Module

NT200+ with experimental string



String communication center



Optical module

# Basic parameters of experimental string - 2009

String length: **110 m**

Number of Optical Modules: **12**

Number of OM Sections: **2 (6 OM, 6 FADC  
channels)**

Number of FADC channels: **12**

Type of PMT: **Photonis XP1807 (12") : 6**  
**Hamamatsu R8055 (13") : 6**

FADC Time Window: **5 mks**

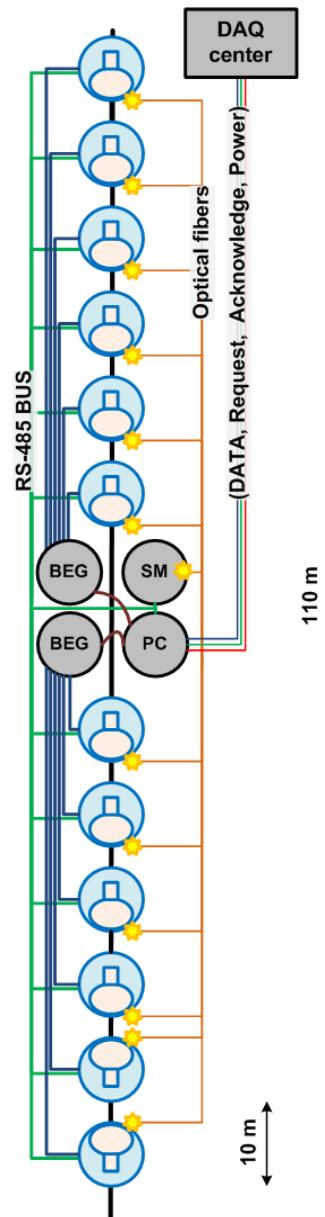
FADC frequency: **200 MHz**

LED - Optical Fiber calibration system: **1**

Data analysis in progress now

1. Monitoring of the optical module operation.
2. Test of the string with LED and LASER.

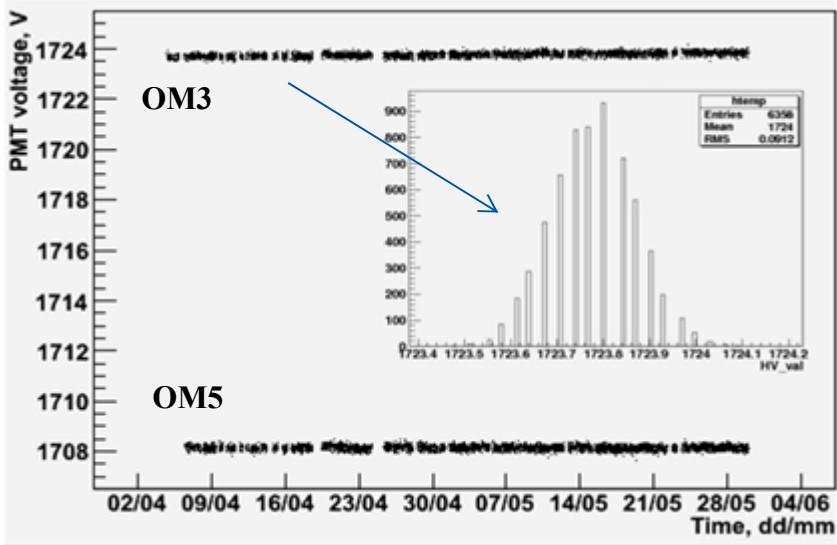
Experimental data: April – Jun 2009



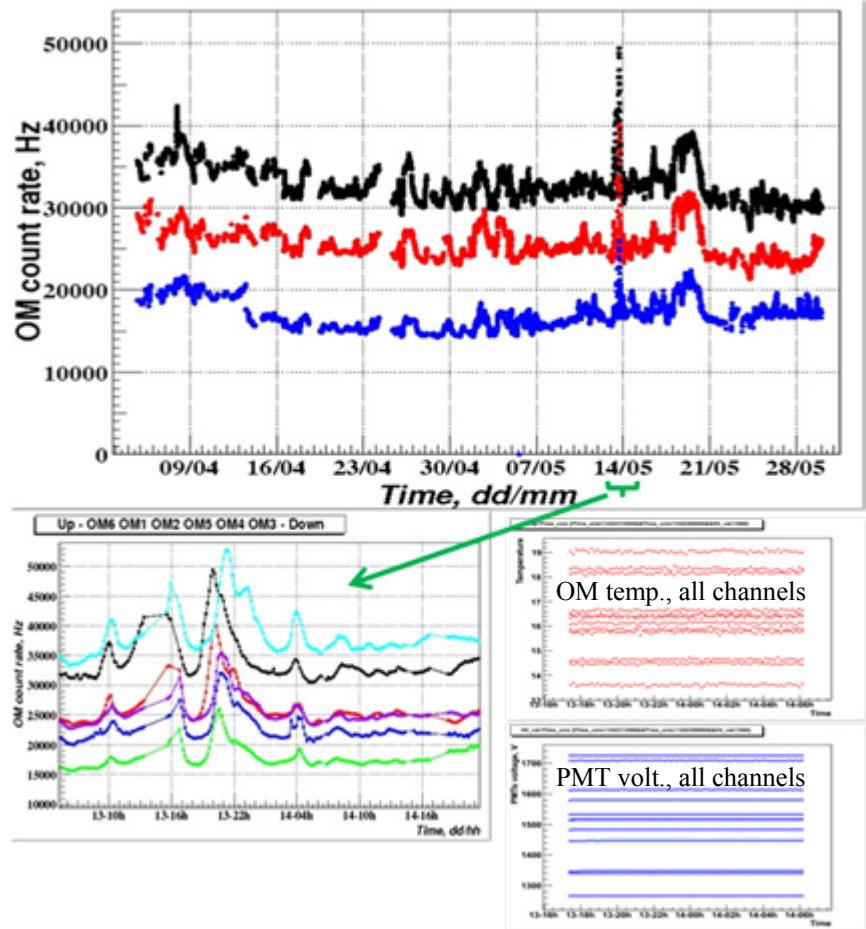
# Monitoring of Optical Module operation

## OM monitoring parameters:

- PMT voltage
- PMT count rate
- Temperature
- OM voltages: 12 V, 5 V, -5 V



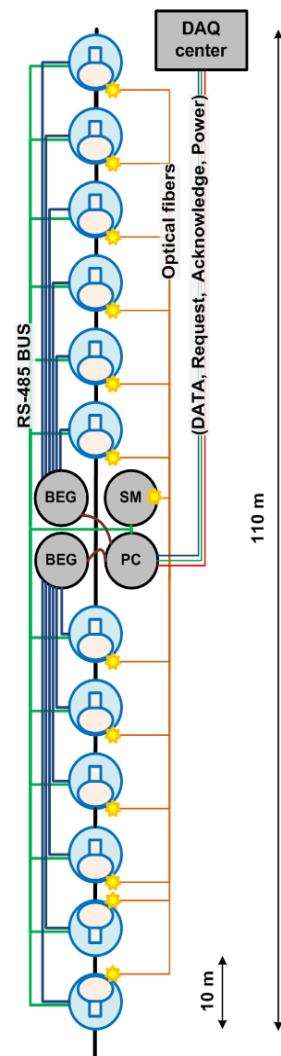
Distrribution on PMT voltage, two month exposition 2009



## Examples of PMT count rate monitoring:

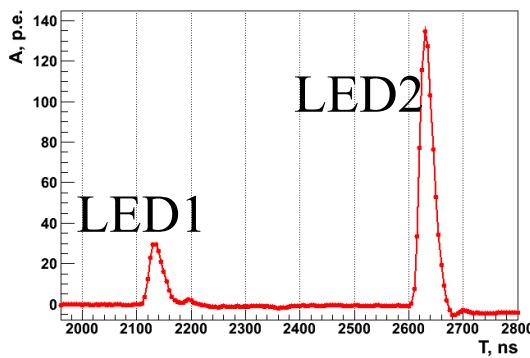
- Two month exposition 2009
- Selected time interval  $\sim 1$  day
- PMT voltage and OM temperature during this period for all OM of the string

# Time resolution of measuring channels

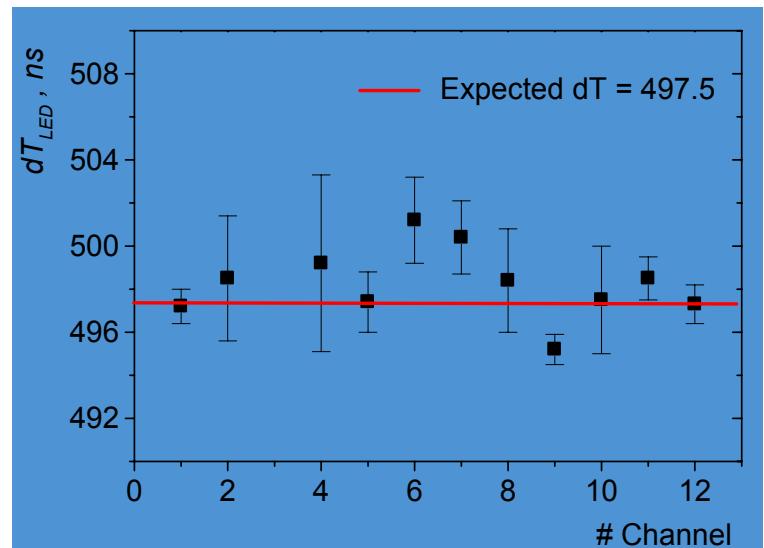


LED flasher produces pairs of delayed pulses. Light pulses are transmitted to each optical module (channel) via individual optical fibers. Delay values are calculated from the FADC data for all channels.

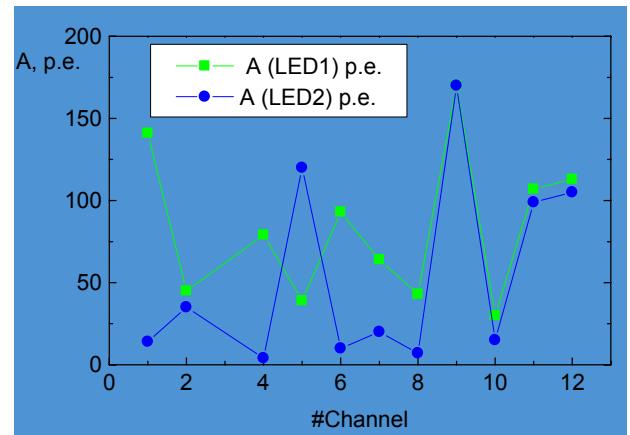
$$\begin{aligned} \text{dT(Expected)} &= 497.5 \text{ ns} \\ \text{dT(Experiment)} &= 498.3 \text{ ns} \\ \sigma(\text{dT}) &= 1.6 \text{ ns} \end{aligned}$$



Example of a two-pulse LED flasher event (channel #5)



Delay between two LED pulses  $dT$  measured for all measuring channel



LED1 and LED 2 pulse amplitude

# String time resolution

$$\sigma T_{\text{STRING}} \rightarrow \sigma T_{\text{CHANNEL}} \& \sigma T_{\text{SHIFT}}$$

$\sigma T_{\text{SHIFT}}$  - accuracy of compensation of channel delay differences measured with LED flasher:

$T_{\text{SHIFT}} \rightarrow \text{DelayPMT(HV)} + \text{DelayCable} + \text{DelayADC}$

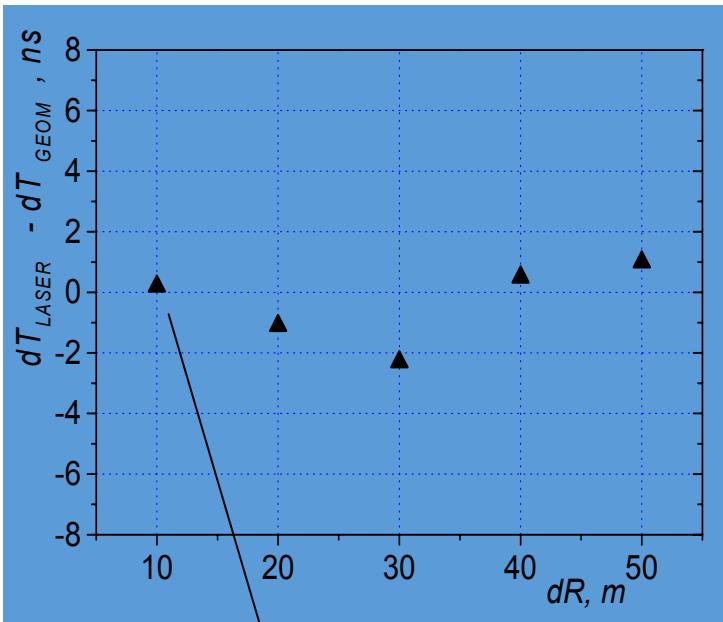
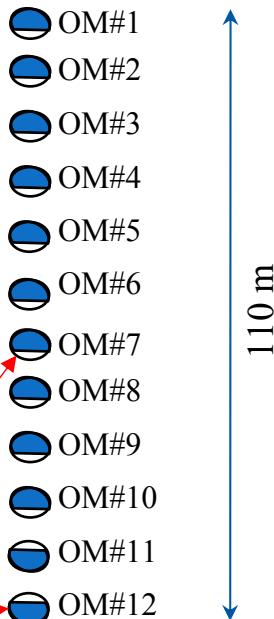
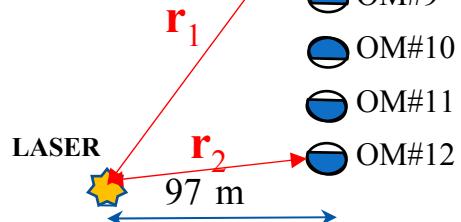
Test of  $\sigma T_{\text{STRING}}$  with LASER

$$dT = dT_{\text{LASER}} - dT_{\text{GEOM}},$$

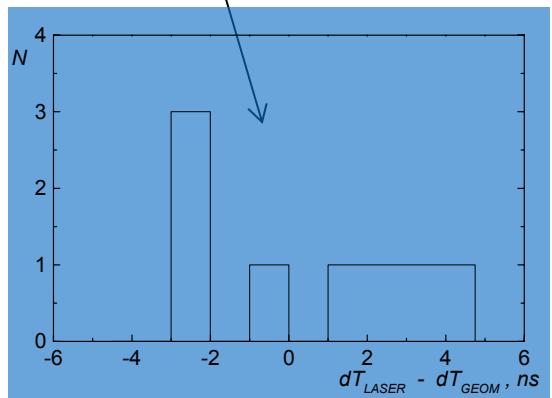
$$dT_{\text{GEOM}} = (\mathbf{r}_2 - \mathbf{r}_1) \times c_{\text{water}}$$

$dT$  averaged on all channel combinations with fixed R

$$\sigma T_{\text{STRING}} \sim 2 \text{ ns}$$



Differences between  $dT$  measured with Laser and calculated  $dT$  in dependence on distances between channels  $dR$



$dT$  distribution on channel combination

# CONCLUSION

1. BAIKAL lake experiment is successfully running since 1993

- The First Underwater Array
- First Neutrino Candidates

2. NEW configuration NT200+ starts work at April 2005

- Improved cascade reconstruction, increased effective volume for cascades;
- NT200+ gives good possibilities to optimise the design and to investigate the key elements of future NT1000 detector.

3. Start R&D for Gigaton Volume (km<sup>3</sup>-scale) Detector NT1000

- A “new technology” prototype string was installed: 12 OMs with 12”/13” PMT
- Preliminary in-situ tests of the prototype string with underwater laser and LED flasher shows good performance of all string elements.
- NT1000 Technical Design Report is expected at 2011

THANK YOU

